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Baudry, A., Wong, K., Etoke, S. et al (2023). ATOMIUM: Probing the inner wind of evolved O-rich stars with new, highly excited H $^{2}$ O and OH lines. *Astronomy and Astrophysics*, 674. <http://dx.doi.org/10.1051/0004-6361/202245193>

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# ATOMIUM: Probing the inner wind of evolved O-rich stars with new, highly excited H<sub>2</sub>O and OH lines

A. Baudry<sup>1</sup>, K. T. Wong<sup>2,9</sup>, S. Etoka<sup>3</sup>, A. M. S. Richards<sup>3</sup>, H. S. P. Müller<sup>4</sup>, F. Herpin<sup>1</sup>, T. Danilovich<sup>5,15</sup>, M. D. Gray<sup>3,6</sup>, S. Wallström<sup>5</sup>, D. Gobrecht<sup>5,11</sup>, T. Khouri<sup>7</sup>, L. Decin<sup>5</sup>, C. A. Gottlieb<sup>8</sup>, K. M. Menten<sup>10</sup>, W. Homan<sup>5</sup>, T. J. Millar<sup>12</sup>, M. Montargès<sup>13</sup>, B. Pimpanuwat<sup>3</sup>, J. M. C. Plane<sup>14</sup>, and P. Kervella<sup>13</sup>

(Affiliations can be found after the references)

Received 12 October 2022 / Accepted 2 April 2023

## ABSTRACT

**Context.** Water (H<sub>2</sub>O) and the hydroxyl radical (OH) are major constituents of the envelope of O-rich late-type stars. Transitions involving energy levels that are rotationally or vibrationally highly excited (energies  $\geq 4000$  K) have been observed in both H<sub>2</sub>O and OH. These and more recently discovered transitions can now be observed at a high sensitivity and angular resolution in the inner wind close to the stellar photosphere with the Atacama Large Millimeter/submillimeter Array (ALMA).

**Aims.** Our goals are: (1) to identify and map the emission and absorption of H<sub>2</sub>O in several vibrational states, and of OH in  $\Lambda$ -doubling transitions with similar excitation energies; and (2) to determine the physical conditions and kinematics in gas layers close to the extended atmosphere in a sample of asymptotic giant branch stars (AGBs) and red supergiants (RSGs).

**Methods.** Spectra and maps of H<sub>2</sub>O and OH lines observed in a 27 GHz aggregated bandwidth and with an angular resolution of  $\sim 0''.02$ – $1''.0$  were obtained at two epochs with the main ALMA array. Additional observations with the Atacama Compact Array (ACA) were used to check for time variability of water transitions. Radiative transfer models of H<sub>2</sub>O were revisited to characterize masing conditions. Up-to-date chemical models were used for comparison with the observed OH/H<sub>2</sub>O abundance ratio.

**Results.** Ten rotational transitions of H<sub>2</sub>O with excitation energies  $\sim 4000$ – $9000$  K were observed in vibrational states up to  $(v_1, v_2, v_3) = (0, 1, 1)$ . All but one are new detections in space, and from these we have derived accurate rest frequencies. Hyperfine split  $\Lambda$ -doubling transitions in  $v = 0$ ,  $J = 27/2$  and  $29/2$  levels of the  $^2\Pi_{3/2}$  state, as well as  $J = 33/2$  and  $35/2$  of the  $^2\Pi_{1/2}$  state of OH with excitation energies of  $\sim 4780$ – $8900$  K were also observed. Four of these transitions are new detections in space. Combining our measurements with earlier observations of OH, the  $v = 0$  and  $v = 1$   $\Lambda$ -doubling frequencies have been improved. Our H<sub>2</sub>O maps show compact emission toward the central star and extensions up to twelve stellar radii or more. The 268.149 GHz emission line of water in the  $v_2 = 2$  state is time variable, tends to be masing with dominant radiative pumping, and is widely excited in AGBs and RSGs. The widespread but weaker 262.898 GHz water line in the  $v_2 = 1$  state also shows signs of maser emission. The OH emission is weak and quasithermally excited. Emission and absorption features of H<sub>2</sub>O and OH reveal an infall of matter and complex kinematics influenced by binarity. From the OH and H<sub>2</sub>O column densities derived with nonmasing transitions in a few sources, we obtain OH/H<sub>2</sub>O abundance ratios of  $\sim (0.7$ – $2.8) \times 10^{-2}$ .

**Key words.** stars: AGB and post-AGB – supergiants – circumstellar matter – line: identification – instrumentation: interferometers – masers

## 1. Introduction

Water and the hydroxyl radical are formed from two of the three most abundant elements in the Universe. Many H<sub>2</sub>O and OH lines have now been observed in the radio, infrared, or visible domains in a broad range of astronomical objects ranging from the planetary and cometary atmospheres of our Solar System to the envelopes of evolved stars or the star-forming regions of our Galaxy. We note that H<sub>2</sub>O and OH are also present in the disks or nuclei of nearby and distant galaxies. The first radio identification of OH was reported at an 18-cm wavelength by Weinreb et al. (1963) in absorption toward the supernova remnant Cassiopeia A, and the 22.235 GHz (1.35 cm) radio signature of H<sub>2</sub>O was first reported by Cheung et al. (1969) in star-forming regions and by Knowles et al. (1969) in the red supergiant (RSG) VY CMa. These two centimeter-wave transitions often give rise to a remarkably bright cosmic maser emission which has been observed throughout the Universe in many different regions, including those near the massive black holes of

active galactic nuclei (e.g., 22.235 GHz image of NGC 3079, Kondratko et al. 2005). In addition to the strong H<sub>2</sub>O and OH 1.35- and 18-cm wave radiation, various rotational transitions of water in the ground and vibrationally excited states have been identified in several Galactic late-type stars from ground-based observatories (e.g., Menten & Melnick 1989; Menten et al. 1990a; Melnick et al. 1993; Menten & Young 1995; Gonzalez-Alfonso et al. 1998) or from space (e.g., Justtanont et al. 2012). Recently, Khouri et al. (2019) used their own and archival Atacama Large Millimeter/submillimeter Array (ALMA) data to identify highly excited OH transitions in a few O-rich evolved stars. In star-forming regions of the Galaxy, various rotationally excited transitions of H<sub>2</sub>O and a few low-lying energy transitions of OH have also been reported (e.g., Menten et al. 1990a,b; Baudry et al. 1997; Harvey-Smith & Cohen 2005; Hirota et al. 2012, 2014). Due to the absorption of water vapor from the Earth's atmosphere, astronomical observations of H<sub>2</sub>O are difficult. Nevertheless, high-lying energy transitions of water are accessible from Earth's dry sites or from airborne telescopes

(see e.g., references above, review by [Humphreys \(2007\)](#) or Tables 1 and 2 in [Gray et al. \(2016\)](#) predicting that several H<sub>2</sub>O lines are observable by (sub)millimeter telescopes). Many lines of water vapor remain, however, inaccessible inside the terrestrial atmosphere (e.g., the  $1_{1,0}-1_{0,1}$  transition at 556.936 GHz) and can only be observed from space observatories, such as the Infrared Space Observatory (ISO; [Neufeld et al. 1996](#); [Barlow et al. 1996](#)), the Submillimeter Wave Astronomy Satellite (SWAS; [Harwit & Bergin 2002](#)), the Odin satellite ([Justtanont et al. 2005](#)), and the *Herschel* Space Observatory ([Decin et al. 2010](#)). The role played by these space missions in our understanding of the interstellar water chemistry is described in [van Dishoeck et al. \(2013\)](#), for example.

In parallel with the observational work, chemical models have been developed to explain the ubiquitous presence of H<sub>2</sub>O and OH. In the general interstellar medium, the review work of [van Dishoeck et al. \(2021\)](#) demonstrates that these two molecular species are essential to explain the O budget of the molecular products observed in star-forming regions. Furthermore, H<sub>2</sub>O and OH are also known to play a central role in the production of many other species observed in the envelopes of evolved O-rich stars where they are the principal oxidizing agents (e.g., [Cherchneff 2006](#)), and they are essential to the dust nucleation processes leading, ultimately, to the formation of circumstellar dust grains (e.g., [Gobrecht et al. 2022](#)).

For the purposes of this study, we primarily used our discovery of highly excited H<sub>2</sub>O and OH radio lines (above ~4000 K) to probe the photospheric environment and the dust formation zone of O-rich late-type stars. As the nuclear burning reactions diminish in the stellar core, the O-rich stars, depending on their initial masses, evolve along the asymptotic giant branch (AGB) or the red supergiant (RSG) branch. The late evolutionary stages of these stars stem from complex mechanisms involving convection, stellar pulsation-driven wind, and shocks that can levitate stellar material above the photosphere. Many millimeter and submillimeter radio observations have shown that shocks and stellar winds offer the favorable conditions that stimulate an active gas chemistry (e.g., [Justtanont et al. 2012](#); [Alcolea et al. 2013](#); [Velilla-Prieto et al. 2017](#); [Gottlieb et al. 2022](#)), including OH and H<sub>2</sub>O that are formed near the photosphere. The *Herschel*/HIFI observations also provided the abundance of cool water in M-type AGB stars ([Khouri et al. 2014](#); [Maercker et al. 2016](#)). Clearly, the nonequilibrium conditions observed beyond the photosphere facilitate the formation of dust-forming clusters in O-rich stars ([Gobrecht et al. 2016, 2022](#); [Boulangier et al. 2019](#)) which later form the circumstellar dust grains.

The size of the dust formation zone around evolved stars was first estimated by infrared interferometry (e.g., [Danchi et al. 1994](#)). It has later been refined with radio interferometers in the continuum and in the SiO lines which give the size of the molecular shell centered on the photosphere (e.g., [Cotton et al. 2004](#)). The dust formation zone encompasses the warm molecular envelope invoked by [Tsuji et al. \(1997\)](#) to explain the observations made with the ISO grating spectrometer and the molecular layers observed in near-infrared molecular bands and modeled by [Perrin et al. \(2004\)](#). This zone is within the radio photosphere first described in [Reid & Menten \(1997\)](#). Beyond the dust formation region, the radiation pressure on the dust particles accelerates the gas flow to outer circumstellar layers (e.g., [Höfner & Olofsson 2018](#)), extending to hundreds of stellar radii ( $R_{\star}$ ).

The present work focuses on the identification and interpretation of new H<sub>2</sub>O and OH radio lines excited at energy levels

in the range ~3900–9000 K (~2700–6300 cm<sup>-1</sup>). These lines, observed in O-rich late-type stars, allowed us to probe the hot and dense gas above the photosphere and in the dust formation zone of the inner circumstellar wind. We adopted the inner wind terminology to include regions covering from the stellar surface to a few  $R_{\star}$  and up to ~30  $R_{\star}$  within which the dust was formed, the wind was launched, and where active gas and dust interactions were observed. Our data were acquired during the ALMA Cycle 6 Large Program 2018.1.00659.L ([Decin et al. 2020](#); [Gottlieb et al. 2022](#)). The main objectives of this program, named ATOMIUM, include the study of the molecular paths leading to the formation of the dust precursors as well as the study of the inner ( $\lesssim 30 R_{\star}$ ) and intermediate (~30 to hundreds of  $R_{\star}$ ) stellar wind morphology.

In Sect. 2 we present the observed sources (Sect. 2.1), main ALMA array observations (Sect. 2.2), and supplementary ALMA Compact Array (ACA) observations of H<sub>2</sub>O and other molecules (Sect. 2.3). Table 1 gives the ATOMIUM source sample and the radio detection (or not) of highly excited H<sub>2</sub>O and OH transitions in the stellar atmosphere of the ATOMIUM stars. Identification of H<sub>2</sub>O and OH transitions as well as some spectroscopy background for these two species are given in Sect. 3. Several stars exhibit very rich H<sub>2</sub>O and OH spectra (Tables 5 and 8) and a few of them display all or most of the H<sub>2</sub>O and OH lines reported in this work (e.g., R Hya). The source spectra and maps, and a first analysis of our data are presented in Sects. 4 and 5 for H<sub>2</sub>O, and in Sects. 8 and 9 for OH. The widespread 268.149 and 262.898 GHz H<sub>2</sub>O emissions and H<sub>2</sub>O maser modeling are discussed in Sects. 6 and 7, respectively. Furthermore, H<sub>2</sub>O and OH chemical considerations and the OH/H<sub>2</sub>O abundance ratio in the inner wind of AGBs are discussed in Sect. 10. Concluding remarks are given in Sect. 11 specifying the (sub)sections where the main results are acquired. The Appendices provide the OH  $\Lambda$ -doubling transitions and further H<sub>2</sub>O and OH spectra and maps.

## 2. Source sample and observations

### 2.1. Source sample

The ATOMIUM source sample includes seventeen O-rich evolved AGB or RSG stars covering a relatively broad range of properties in terms of variability type and mass-loss rate (Table 1). Sources are ordered by increasing mass-loss rate noting, however, that this rate is uncertain especially for the distant RSGs AH Sco, KW Sgr and VX Sgr. The source coordinates are obtained from the emission peak of 2D-Gaussian fits to the stellar continuum observed around 241.8 GHz with the ALMA extended configuration, see Table E.2 in [Gottlieb et al. \(2022\)](#). The astrometric accuracy is determined by the accuracy of phase referencing and of fitting to the stellar peak; it is in the range 5–10 mas for both factors.

Most of the adopted distances to the Mira and SR variables in Table 1 are extracted from the *Gaia* DR3 catalog ([Gaia Collaboration 2023](#)) which, however, must be used with care if the parallax uncertainties exceed ~20% ([Andriantsaralaza et al. 2022](#)). This is not the case here with the exception of GY Aql whose distance has been revised to 410 pc by [Andriantsaralaza et al. \(2022\)](#). For U Her and IRC–10529 we have also adopted the best distance estimates from [Andriantsaralaza et al. \(2022\)](#) and, for the three RSGs and IRC+10011, the distances are taken from VLBI radio measurements or other works as mentioned in [Gottlieb et al. \(2022\)](#). All other source distances in Table 1

**Table 1.** Main properties of the ATOMIUM stellar sample and H<sub>2</sub>O, OH detection.

Star	Variability <sup>(a)</sup> Spect. type	RA(radio) <sup>(b)</sup> ICRS (h m s)	Dec(radio) <sup>(b)</sup> ICRS (° ' ")	Distance <sup>(c)</sup> (pc)	Mass-loss rate <sup>(d)</sup> (M <sub>⊙</sub> yr <sup>-1</sup> )	2 R <sub>★</sub> <sup>(e)</sup> (mas)	v <sub>LSR</sub> <sup>obs</sup> <sup>(f)</sup> (km s <sup>-1</sup> )	v <sub>LSR</sub> <sup>new</sup> <sup>(g)</sup> (km s <sup>-1</sup> )	H <sub>2</sub> O, OH <sup>(h)</sup> (yes/no)
S Pav	SRa M8	19 55 14.0055	-59 11 45.194	174	8.0 × 10 <sup>-8</sup>	(11.6)	-20.0	-18.2	y, y
T Mic	SRb M7-8	20 27 55.1797	-28 15 39.553	186	8.0 × 10 <sup>-8</sup>	(9.3)	25.3	25.5	y, y
U Del	SRb M4-6	20 45 28.2500	+18 05 23.976	335	1.5 × 10 <sup>-7</sup>	7.9	-6.4	-6.8	y, n
RW Sco	Mira M6e	17 14 51.6867	-33 25 54.544	578	2.1 × 10 <sup>-7</sup>	(4.9)	-72.0	-69.7	y, y
V PsA	SRb M7-8	22 55 19.7228	-29 36 45.038	304	3.0 × 10 <sup>-7</sup>	(11.4)	-11.1	-11.1	y, n
SV Aqr	LPV M8	23 22 45.4003	-10 49 00.187	431	3.0 × 10 <sup>-7</sup>	(5.7)	8.5	6.7	y, n
R Hya	Mira M6-9e	13 29 42.7021	-23 16 52.515	148	4.0 × 10 <sup>-7</sup>	23.7	-11.0	-10.1	y, y
U Her	Mira M6.5-8e	16 25 47.4514	+18 53 32.666	271	5.9 × 10 <sup>-7</sup>	11.2	-14.5	-14.9	y, y
π <sup>1</sup> Gru	SRb S5,7	22 22 44.2696	-45 56 53.007	162	7.7 × 10 <sup>-7</sup>	18.4	-13.0	-11.7	n, n
AH Sco	SRc M5Ia-7Ib	17 11 17.0159	-32 19 30.764	2260	1.0 × 10 <sup>-6</sup>	5.8	-4.0	-2.3	y, y
R Aql	Mira M6.5-9e	19 06 22.2567	+08 13 46.678	234	1.1 × 10 <sup>-6</sup>	10.9	47.0	47.2	y, y
W Aql	Mira S6, 6e	19 15 23.3781	-07 02 50.331	374	3.0 × 10 <sup>-6</sup>	11.6	-25.0	-23.0	n, n
KW Sgr	SRc M4Ia	17 52 00.7282	-28 01 20.572	2400	5.6 × 10 <sup>-6</sup>	3.9	4.0	-4.4	y, n
IRC-10529	OH/IR M?	20 10 27.8713	-06 16 13.740	930	6.7 × 10 <sup>-6</sup>	(6.5)	-18.0	-16.3	y, n
IRC+10011	Mira M8	01 06 25.9883	+12 35 52.849	740	1.9 × 10 <sup>-5</sup>	(6.5)	10.0	10.1	y, y
GY Aql	Mira M8	19 50 06.3148	-07 36 52.189	410	3.0 × 10 <sup>-5</sup>	(20.5)	34.0	34.0	y, n
VX Sgr	SRc M8.5Ia	18 08 04.0460	-22 13 26.621	1560	6.1 × 10 <sup>-5</sup>	8.8	5.3	5.7	y, y

**Notes.** <sup>(a)</sup>SR stands for semi-regular pulsations; SRa and SRb are AGB stars with persistent and poorly defined periodicity, respectively; SRc is used for the supergiants. <sup>(b)</sup>Stellar peak measured in the continuum around 241.8 GHz for the extended configuration (Gottlieb et al. 2022); astrometric accuracy ~5–10 mas (see Sect. 2.2). The position is corrected for proper motion at the time of the observations. <sup>(c)</sup>See Sect. 2.1 for adopted distances. <sup>(d)</sup>Mass-loss rate from references in Table 1 of Gottlieb et al. (2022) with a few revisions (see Sect. 2.1). <sup>(e)</sup>Angular diameter from Table 1 of Montargès et al. (2023). Figures in brackets are for calculated and not measured diameters; the derived optical diameter for GY Aql appears to be too large as our radio continuum (~250 GHz) uniform disk size is 14.0 mas. <sup>(f)</sup>Local standard of rest velocity used as input for the ATOMIUM observations. <sup>(g)</sup>Newer LSR velocity derived from a sample of lines with well-behaved line profiles (Gottlieb et al. 2022). <sup>(h)</sup>y or n indicates detection or not of at least one transition of H<sub>2</sub>O (first entry) and OH (second entry).

are from *Gaia* DR3<sup>1</sup> (Gaia Collaboration 2023). The mass-loss rates in Table 1 are taken from Gottlieb et al. (2022); they are updated for GY Aql and IRC-10529 because of their revised distances. The Local Standard of Rest (LSR) velocity used at the time of the ATOMIUM observations of each star is given in the eighth column of Table 1; the ninth column gives the LSR velocity based on a sample of various lines according to Gottlieb et al. (2022). Table 1 also indicates whether at least one highly excited H<sub>2</sub>O and OH transition is observed in the stellar atmosphere of

each source, while the second last and last columns of Tables 2 and 3 give the number of detected sources for each transition.

## 2.2. Main array observations, data reduction, and products

We observed in three array configurations, extended, mid and compact configurations providing angular resolutions of approximately 0'.02–0'.05, 0'.15–0'.3 and 1'.0, respectively, henceforth high, mid and low resolutions. In the present paper, we identify and analyze the H<sub>2</sub>O and OH lines falling in the observed bandwidth within the total frequency range covering from 213.83 to 269.71 GHz. We observed 16 spectral windows, or cubes, within this range using four tunings (four spectral windows per tuning), giving an actual bandwidth of 26.8 GHz for the extended and

<sup>1</sup> DR3 measurements are robust, although photospheric structures could affect the photocenter position. Data processing of the next data release will be improved with more astrometric measurements.

**Table 2.** Observable transitions of H<sub>2</sub><sup>16</sup>O covered by the ATOMIUM program.

Line number	$\nu^{(a)}$ (MHz)	Unc. <sup>(a)</sup> (MHz)	QN <sub>vib</sub> <sup>(b)</sup>	QN <sub>rot</sub> <sup>(c)</sup>	$S_p^{(d)}$	$E_{up}^{(e)}$ (cm <sup>-1</sup> ) (K)	$A^{(f)}$ (×10 <sup>-6</sup> s <sup>-1</sup> )	$n_{det.}^{(g)}$	Reference <sup>(h)</sup>
1	222014.12	4.53	(0,3,0)–(0,3,0)	8 <sub>3,6</sub> – 7 <sub>4,3</sub>	<i>o</i>	5790.1	15.6	8	W2020
	222017.31	1.50				8331			TW
2	227780.09	0.29	(1,0,0)–(0,2,0)	7 <sub>0,7</sub> –8 <sub>3,6</sub>	<i>o</i>	4232.2	0.003	0	JPL
3	230191.53	0.53	(0,0,1)–(0,2,0)	8 <sub>3,5</sub> –9 <sub>5,4</sub>	<i>o</i>	4792.3	0.03	0	JPL
						6895			
4	236805.40	1.82	(0,0,1)–(0,0,1)	14 <sub>3,12</sub> –13 <sub>4,9</sub>	<i>p</i>	6263.9	2.11	1 <sup>(i)</sup>	JPL
	236797.0 <sup>(i)</sup>	2.00				9012			TW
5	244330.37	3.44	(1,1,0)–(0,1,1)	4 <sub>2,2</sub> –3 <sub>2,1</sub>	<i>p</i>	5557.9	4.84	4 <sup>(j)</sup>	W2020
	abso. <sup>(j)</sup>					7997			TW
6	252172.25	0.38	(1,0,0)–(0,2,0)	7 <sub>4,3</sub> –8 <sub>5,4</sub>	<i>o</i>	4572.4	0.55	8	JPL
	252170.56	2.00				6579			TW
7	254039.88	0.15	(0,0,1)–(0,0,1)	3 <sub>1,3</sub> –2 <sub>2,0</sub>	<i>o</i>	3895.6	9.55	9	JPL, P1995
	254039.75	2.00				5605			TW
8	254052.55	3.42	(0,2,0)–(0,2,0)	14 <sub>3,12</sub> –13 <sub>4,9</sub>	<i>o</i>	5790.4	6.76	6	JPL
	254055.18	2.00				8331			TW
9	254234.65	3.00	(0,1,1)–(1,1,0)	7 <sub>3,4</sub> –6 <sub>5,1</sub>	<i>p</i>	6179.8	2.06	2? <sup>(k)</sup>	W2020
						8891			
10	259952.18	0.20	(0,0,0)–(0,0,0)	13 <sub>6,8</sub> –14 <sub>3,11</sub>	<i>p</i>	2748.1	3.24	10	JPL, A1991
	259951.87	2.00				3954			TW
11	262555.55	1.42	(0,2,0)–(1,0,0)	10 <sub>7,3</sub> –11 <sub>4,8</sub>	<i>p</i>	5473.8	0.09	0	JPL
						7876			
12	262897.75	0.15	(0,1,0)–(0,1,0)	7 <sub>7,1</sub> –8 <sub>6,2</sub>	<i>p</i>	3109.9	3.97	12	JPL, P1991
	262897.87	1.50				4475			TW
13	266574.10	1.85	(0,0,0)–(0,0,0)	21 <sub>4,17</sub> –20 <sub>7,14</sub>	<i>o</i>	5748.1	4.32	7	JPL
	266567.52	2.00				8270			TW
14	268149.12	0.15	(0,2,0)–(0,2,0)	6 <sub>5,2</sub> –7 <sub>4,3</sub>	<i>o</i>	4197.3	15.3	15	JPL, P1991
	268148.51	1.50				6039			TW

**Notes.** <sup>(a)</sup>Transition frequency and uncertainty for the given line number: first entry is from the reference given in the last column; second entry is the frequency determination in this work (TW in last column) from observed spectra (see Sect. 3.2). No second entry means no detection. Uncertainty refers to: JPL laboratory measurements or calculations (first entry) and to our own estimates from this work (second entry). <sup>(b)</sup>Vibrational quantum number:  $(v'_1, v'_2, v'_3) - (v''_1, v''_2, v''_3)$ . <sup>(c)</sup>Rotational quantum number:  $J'_{K'_a, K'_c} - J''_{K''_a, K''_c}$ . <sup>(d)</sup>Spin modification: ortho or para H<sub>2</sub>O. <sup>(e)</sup>Upper state energy in cm<sup>-1</sup> and K (italics below cm<sup>-1</sup>). <sup>(f)</sup>Spontaneous emission rate from the HITRAN data base (<https://lweb.cfa.harvard.edu/HITRAN/>) except for line 3 where it is not available; uncertainty is larger for transitions between different vibrational states because of limited wavenumber precision in data base. Spontaneous emission rate in line 3 is taken from <https://splatalogue.online/> from which line strengths ( $S\mu^2$  in D<sup>2</sup>) can also be retrieved for most lines listed here. <sup>(g)</sup>Number of ATOMIUM sources detected in H<sub>2</sub>O transition. <sup>(h)</sup>JPL catalog (Pickett et al. 1998), W2020 (Furtenbacher et al. 2020), P1991 (Pearson et al. 1991), P1995 (Pearson 1995), A1991 (Amano & Scappini 1991), TW (this Work). <sup>(i)</sup>Transition identified in R Hya only (uncertain identification in S Pav) and rest frequency derived from extended array data. See R Hya combined array spectra in Appendix C. <sup>(j)</sup>244.330 GHz line absorption observed in R Hya, S Pav, R Aql and IRC+10211 (Sect. 4.4.). <sup>(k)</sup>Uncertain identification of line 9 near strong  $v = 0$  <sup>30</sup>SiO line wing in AH Sco and VX Sgr (see spectra and discussion in Appendix C).

mid configurations and 12.9 GHz for the compact configuration. The central frequency and velocity width of the 16 spectral windows are given in Table 2 of Gottlieb et al. (2022). The exact spectral coverage of each window depends on the adjustment to the adopted LSR velocity on the dates of the observations. The frequency tunings for the three array configurations are also specified in Gottlieb et al. (2022). The highest spatial resolution allows us to resolve the inner few, to few tens of stellar radii for sources in our sample. The extended, mid and (where available) compact configuration data were also combined to provide higher sensitivity to the overlapping angular scales, and could be weighted to provide a range of resolutions.

The maximum recoverable angular sizes are  $\sim 0''.4$ – $0''.6$ ,  $\sim 1''.8$ – $3''.0$  and  $\sim 10''.0$  for the high, mid and low resolutions, respectively. Hence, emission which is smooth on larger scales

would not be detected. The H<sub>2</sub>O and OH emission or absorption lines studied here are probably not affected, being much more compact than  $\sim 3$  arcsec, as they are excited at very high energies and all maps are dominated by compact structures within the inner layers of the stellar envelope. This is verified by comparing the total flux density detected in different configurations.

Our data were acquired between 2018 and 2021. The extended configuration observations were all performed in June and/or July 2019 under good atmospheric conditions with low precipitable water vapor. The exact dates of observations for each line and each source in our sample can be retrieved from Table E.1 in Gottlieb et al. (2022). All observations were calibrated, imaged and continuum-subtracted in CASA<sup>2</sup> as

<sup>2</sup> <https://casa.nrao.edu/>

**Table 3.** Observable  $v = 0$  and 1,  $\Delta J = 0$ ,  $\Delta F = 0$  transitions of OH in the ATOMIUM frequency line setting (excluding  $\Delta F = \pm 1$  and very high  $N$ ,  $J$  transitions).

Line	JPL Frequency <sup>(a)</sup> (MHz)	Unc. <sup>(b)</sup> (MHz)	$v, N, J$ <sup>(c)</sup>	$F_{\text{up}} - F_{\text{low}}$ <sup>(c)</sup>	$E_{\text{up}}$ <sup>(d)</sup> (cm <sup>-1</sup> , K)	$A$ <sup>(e)</sup> ( $\times 10^{-6}$ s <sup>-1</sup> )	$n_{\text{det.}}$ <sup>(f)</sup>
1	221335.49	0.29	0, 13, 27/2	13 <sup>-</sup> –13 <sup>+</sup>	3319.3, <i>4776</i>	2.39	6
2	221353.48	0.29		14 <sup>-</sup> –14 <sup>+</sup>			7
3	223683.92	1.53	1, 17, 33/2	17 <sup>-</sup> –17 <sup>+</sup>	8889.8, <i>12791</i>	0.90	0
4	223713.79	1.53		16 <sup>-</sup> –16 <sup>+</sup>			0
5	236328.12	0.56	0, 17, 33/2	17 <sup>-</sup> –17 <sup>+</sup>	5533.5, <i>7962</i>	1.07	4 <sup>(g)</sup>
6	236359.64	0.56		16 <sup>-</sup> –16 <sup>+</sup>			2 <sup>(h)</sup>
7	252127.10	0.43	0, 14, 29/2	14 <sup>+</sup> –14 <sup>-</sup>	3819.1, <i>5495</i>	3.03	6
8	252145.35	0.43		15 <sup>+</sup> –15 <sup>-</sup>			9
9	265734.66	0.80	0, 18, 35/2	18 <sup>+</sup> –18 <sup>-</sup>	6157.7, <i>8860</i>	1.38	2 <sup>(i)</sup>
10	265765.32	0.80		17 <sup>+</sup> –17 <sup>-</sup>			? <sup>(i)</sup>
11	269530.55	1.09	1, 15, 31/2	15 <sup>-</sup> –15 <sup>+</sup>	7752.6, <i>11155</i>	3.23	0
12	269547.76	1.09		16 <sup>-</sup> –16 <sup>+</sup>			0

**Notes.** <sup>(a)</sup>Frequency taken from version 5 of the JPL catalog; frequency and uncertainty from observations of ATOMIUM stars are given in Table 4. <sup>(b)</sup>Calculated JPL catalog uncertainty derived from laboratory measurements. <sup>(c)</sup>Quantum numbers defined in Sect. 3.3. <sup>(d)</sup>Upper energy level in cm<sup>-1</sup> and K (in italics). For a same value of  $J$ , the  $F_{\text{up}}$  and  $F_{\text{low}}$  level energies are identical within one tenth of a cm<sup>-1</sup>. <sup>(e)</sup>Einstein  $A$  spontaneous emission probability; see also last row in Appendix A tables. <sup>(f)</sup>Number of detected OH sources in ATOMIUM program. <sup>(g)</sup>Unambiguous assignment of hyperfine transition for R Hya, S Pav and R Aql; in U Her assignment to  $F' - F'' = 17 - 17$  from OH line stacking (see Sect. 8.2.3). <sup>(h)</sup>Unambiguous hyperfine identification for R Hya and identification from OH line stacking for T Mic. <sup>(i)</sup>Line 9 is observed at the  $\sim 5\sigma$  level in R Hya (Sect. 8.2.3) and in Mira (Fig. F.1). The  $J = 35/2$  line 10 is detected at low ( $\sim 2.5\sigma$ ) significance in R Hya and blended with TiO<sub>2</sub> in Mira (Fig. F.1).

described in Sect. 3.2 of Gottlieb et al. (2022). All the data for a specific star and configuration were combined, and aligned on the stellar peak of the first epoch present. When combining configurations, the most accurate measurements, at the highest resolution, were used. After subtracting continuum (mostly stellar) emission, spectral image cubes were made, adjusted to constant frequency in the LSR frame. All imaging is in total intensity (both observed polarizations combined). Standard cubes were made for each tuning with the angular resolution ranges described above, the exact resolutions depending on observing frequency, target elevation and exact array configuration. In most cases, these spectral cubes were used for analysis but, where appropriate, we made additional cubes around specific lines (see, e.g., Sect. 8.2), optimizing the trade-off between sensitivity and synthesized beam size. The continuum and line clean beams are given in Tables E.2 and E.3 of Gottlieb et al. (2022).

Our channel maps are measured in flux density per clean beam which is a surface brightness over an area converted to Gaussian beam units. For simplicity and consistency with many previous publications, we label the map intensity scale as “flux density” in mJy per beam. The typical rms noise outside the line-emission channels is in the range 0.5–1 and  $\sim 2$  mJy beam<sup>-1</sup> for the high and mid resolutions, respectively. The conversion of mJy per beam to brightness temperature in degrees Kelvin is explained in Sect. 5.1. The spectral channel separation of 976.6 kHz in the ALMA correlator gives a velocity resolution of  $\sim 1.1$  to  $\sim 1.3$  km s<sup>-1</sup> depending on the observing frequency. The flux density scale errors are typically around 10% per array configuration. However, total uncertainties may exceed 10% due to various amplitude and phase noise effects generated during the data reduction, especially when combining observations made at different times. We adopt here a conservative 15% flux density scale uncertainty.

We extracted H<sub>2</sub>O and OH spectra from our image cubes for various aperture sizes (larger than the synthesised beam

and smaller than the maximum recoverable scale), using circular apertures of diameters typically 0'08, 0'4 and 4'0 for the high, mid and low resolution cubes. Comparisons between these (and with the ACA data, Sect. 2.3) showed that in most cases no additional flux was detected in larger apertures except where variability is likely (Sect. 6.1), confirming that we are not resolving out significant emission from these lines. In some cases, the flux density may appear higher at the highest resolution, due to a combination of the lower map noise in these data and possibly less effective “cleaning” of weak emission much smaller than the lower resolutions.

The H<sub>2</sub>O and OH spectra and maps referred to in this paper are shown in Sects. 4 and 8 and in Appendices D, C, E and F. For both species the extracted spectral lines are non-Gaussian but the brighter channels within a line profile are well identifiable and used in this work (e.g., Table 5) with an uncertainty of a few mJy for the high resolution data. The velocity extent of the identified H<sub>2</sub>O lines is determined from the blue and red line wing velocities at the  $2.5\sigma$  level of each detected line as in the ATOMIUM molecular line inventory (Wallström et al., in prep.). The velocity uncertainty is on the order of one channel,  $\sim 1.1$ – $1.3$  km s<sup>-1</sup>, for each line wing determination.

The angular extent of the emitting or absorbing H<sub>2</sub>O and OH regions is determined without beam de-convolution from our channel maps or from the velocity-integrated intensity maps (zerth moment or mom 0 maps) using the velocity ranges identified in the spectra or channel maps. For simplicity again, the moment 0 maps intensity scale is labeled as integrated intensity in Jy beam<sup>-1</sup> km s<sup>-1</sup>. The angular extent in our clean images is often irregular and cannot be modeled with simple Gaussian or uniform disk profiles. However, typical or maximum H<sub>2</sub>O and OH extents can be estimated from the maximum and minimum dimensions within the  $3\sigma$  contour of our channel maps or mom 0 maps. For the most compact H<sub>2</sub>O emission sources, we have also used in the AIPS (Astronomical Image Processing

**Table 4.** Frequencies of OH  $\Lambda$ -doubling transitions from astronomical observations and comparison with calculated frequencies.

$\nu, N, J^{(a)}$	$F_{\text{up}} - F_{\text{low}}^{(a)}$	Frequency <sup>(b)</sup> (MHz)	Unc. <sup>(b)</sup> (MHz)	O–C <sup>(c)</sup> (MHz)	Observed source <sup>(d)</sup>	Line <sup>(e)</sup>
0, 12, 23/2	12 <sup>+</sup> –12 <sup>–</sup>	107037.11	0.72	0.2923	R Dor	
0, 12, 23/2	11 <sup>+</sup> –11 <sup>–</sup>	107073.45	0.81	0.3731	R Dor	
0, 13, 25/2	13 <sup>–</sup> –13 <sup>+</sup>	130078.59	0.68	0.3580	W Hya	
0, 13, 25/2	12 <sup>–</sup> –12 <sup>+</sup>	130113.79	0.67	0.3499	W Hya	
0, 13, 27/2	13 <sup>–</sup> –13 <sup>+</sup>	221333.34	1.50	–0.6893	R Hya, R Aql, S Pav	1
0, 13, 27/2	14 <sup>–</sup> –14 <sup>+</sup>	221351.17	1.50	–0.8575	R Hya, R Aql, S Pav	2
0, 17, 33/2	17 <sup>–</sup> –17 <sup>+</sup>	236327.29	1.50	0.5849	R Hya, R Aql, S Pav	5
0, 17, 33/2	16 <sup>–</sup> –16 <sup>+</sup>	236356.12	2.00	–2.0998	R Hya	6
0, 14, 29/2	14 <sup>+</sup> –14 <sup>–</sup>	252123.22	1.50	–1.6962	R Hya, R Aql, S Pav	7
0, 14, 29/2	15 <sup>+</sup> –15 <sup>–</sup>	252141.78	1.50	–1.3937	R Hya, R Aql, S Pav	8
0, 14, 29/2	14 <sup>+</sup> –14 <sup>–</sup>	252124.11	1.63	–0.8102	IK Tau	
0, 14, 29/2	15 <sup>+</sup> –15 <sup>–</sup>	252143.25	1.66	0.0764	IK Tau	
0, 14, 29/2	14 <sup>+</sup> –14 <sup>–</sup>	252124.49	1.65	–0.4272	R Dor	
0, 14, 29/2	15 <sup>+</sup> –15 <sup>–</sup>	252143.01	1.65	–0.1597	R Dor	
0, 18, 35/2	18 <sup>+</sup> –18 <sup>–</sup>	265731.67	2.00	–0.9819	R Hya	9
0, 18, 35/2	18 <sup>+</sup> –18 <sup>–</sup>	265733.29	1.38	0.6351	W Hya	
0, 18, 35/2	17 <sup>+</sup> –17 <sup>–</sup>	265763.71	1.37	0.3956	W Hya	
0, 16, 33/2	16 <sup>+</sup> –16 <sup>–</sup>	317390.81	1.85	–1.5320	R Dor	
0, 16, 33/2	17 <sup>+</sup> –17 <sup>–</sup>	317408.68	1.60	–2.2051	R Dor	
0, 17, 35/2	17 <sup>–</sup> –17 <sup>+</sup>	351583.57	1.78	–3.4769	R Dor	
0, 17, 35/2	18 <sup>–</sup> –18 <sup>+</sup>	351602.50	1.77	–3.1278	R Dor	
1, 10, 21/2	10 <sup>+</sup> –10 <sup>–</sup>	130639.84	0.82	0.9539	W Hya	
1, 10, 21/2	11 <sup>+</sup> –11 <sup>–</sup>	130653.94	0.74	–0.4141	W Hya	
1, 17, 35/2	17 <sup>–</sup> –17 <sup>–</sup>	333386.59	1.71	–1.7442	W Hya	
1, 17, 35/2	18 <sup>–</sup> –18 <sup>+</sup>	333405.24	1.87	–0.4388	W Hya	

**Notes.** <sup>(a)</sup>Quantum numbers defined in Sect. 3.3. <sup>(b)</sup>Observed frequency and uncertainty, rounded to 2 dp, from this work (line number in last column) and from Khouri et al. (2019). <sup>(c)</sup>O–C derived from observed frequency (third column in this table) minus calculated frequency (see frequency column in  $\nu = 0, 1$  OH frequency tables in Appendix A) and rounded to 4 dp. <sup>(d)</sup>Observed sources are: R Hya, R Aql and S Pav (this work) and, IK Tau, R Dor, W Hya (Khouri et al. 2019). <sup>(e)</sup>Line number as in Table 3.

System) package<sup>3</sup> a specific task to fit Gaussian models by least squares to our images (see Sect. 4.5). In OH, despite often irregular emission or absorption contours, we have used a  $3\sigma$  contour mask in CARTA<sup>4</sup> to fit 2D Gaussians to the observed regions (see Sects. 8.2.1 and 8.2.2).

### 2.3. ACA observations

To follow up on the widespread detection of the 268.149 GHz H<sub>2</sub>O line in the ATOMIUM sample, we performed standalone observations with the ACA toward a number of ATOMIUM sources. The main goals of the ACA observations are to cover H<sub>2</sub>O lines at 268.149, 254.040, and 254.053 GHz (see Table 2) at a higher spectral resolution and to measure the H<sub>2</sub>O line flux densities at an additional epoch. Three high-spectral resolution windows are placed at 268.15 (H<sub>2</sub>O), 254.04 (H<sub>2</sub>O), and 255.48 GHz (<sup>29</sup>SiO  $\nu = 1$ ) at the spectral resolution of 61 kHz (0.07 km s<sup>–1</sup>) with a bandwidth of 0.25 GHz (at 268.15 GHz) or 0.125 GHz (at 254.04 and 255.48 GHz). In addition, two wide band windows of 2 GHz each are centered at 252.0 and 266.5 GHz at the resolution of 976 kHz (1.1–1.2 km s<sup>–1</sup>). Observations were carried out under the ALMA project 2019.2.00234.S in September 2021 during the Return to Operations phase of Cycle 7 toward three stars: R Aql, GY Aql,

and VY CMa. In this paper, we focus only on the results obtained for R Aql and GY Aql. All ACA data were reduced with the Cycle 8 ALMA pipeline (version 2021.2.0.128) in CASA 6.2.1–7. The products are essentially the same as those delivered to the ALMA Archive after the QA2 process, except that we have manually identified the continuum spectral ranges in our post-delivery pipeline reduction. Furthermore, we have carried out one round of phase-only self-calibration on the continuum of R Aql and GY Aql using the target scan length as the solution interval. The achieved angular resolutions at the 268.149 GHz H<sub>2</sub>O line are roughly 7''.4 × 4''.3 and 6''.9 × 4''.4 for R Aql and GY Aql, respectively.

## 3. H<sub>2</sub>O and OH spectroscopy, line identification

This section briefly describes the principles leading to the spectroscopic determination of H<sub>2</sub>O line frequencies (Sect. 3.1) and our line frequency detection criteria (Sect. 3.2). A similar approach is followed for OH in Sects. 3.3 and 3.4. Identification of which H<sub>2</sub>O and OH lines are observed in which source is given in Tables 4 and 5, respectively.

### 3.1. The water molecule, spectroscopy background

The rotational and rovibrational energy levels of light hydrides, molecules consisting of one or more H atoms and at most one light non-H atom, are often difficult to describe by a conventional Watson-type Hamiltonian because of the large effects of

<sup>3</sup> <http://www.aips.nrao.edu>

<sup>4</sup> <https://cartavis.org/>

**Table 5.** H<sub>2</sub>O peak flux density (first entry in mJy) and velocity extent (second entry in km s<sup>-1</sup>, highlighted with italics) of observed lines for an aperture diameter of 0'.08 extracted from the extended configuration.

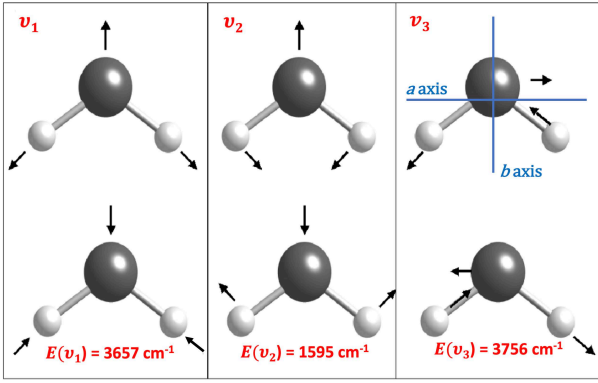
Star	Line 1 222.014	Line 4 236.805	Line 5 244.330	Line 6 252.172	Line 7 254.040	Line 8 254.053	Line 10 259.952	Line 12 262.898	Line 13 266.574	Line 14 268.149
S Pav	15 <i>10.5</i>	–	–10 <sup>(a)</sup> <i>11.3</i>	18 <i>11.6</i>	9 <i>?</i> <sup>(c)</sup>	7 <i>?</i> <sup>(c)</sup>	28 <i>12.4</i>	31 <i>13.4</i>	18 <i>6.6</i>	173 <i>19.7</i>
T Mic	–	–	–	15 <i>10.4</i>	10 <i>8.6</i>	abso. <i>?</i> <sup>(d)</sup>	16 <i>7.9</i>	21 <i>8.9</i>	11 <i>5.5</i>	99 <i>22.9</i>
U Del	–	–	–	–	–	–	–	–	–	5 <i>14.5</i>
RW Sco	–	–	–	–	–	–	–	25 <i>5.6</i>	–	93 <i>10.9</i>
V PsA	–	–	–	–	–	–	–	14 <i>8.9</i>	–	23 <i>16.4</i>
SV Aqr	–	–	–	–	–	–	–	–	–	10 <i>5.5</i>
R Hya	16 <i>10.5</i>	5? <i>13.0?</i>	–8 <sup>(c)</sup> <i>12.0</i>	20 <i>16.3</i>	14 <i>12.7</i>	10 <i>8.1</i> <sup>(c)</sup>	29 <i>15.8</i>	38 <i>15.6</i>	23 <i>12.1</i>	119 <i>22.9</i>
U Her	10 <i>6.6?</i>	–	–	10 <i>7.0</i>	12 <i>6.3</i>	–	16 <i>11.3</i>	36 <i>15.6</i>	–	209 <i>18.6</i>
AH Sco	8 <i>7.9</i>	–	–	6 <i>4.8</i>	10 <i>5.8</i>	–	33 <i>5.6</i>	20 <i>5.6</i>	9 <i>5.5</i>	68974 <i>29</i> <sup>(e)</sup>
R Aql	10 <i>14.3</i>	–	–7.5 <sup>(a)</sup> <i>6.5</i>	22 <i>11.6</i>	10 <i>?</i> <sup>(c)</sup>	7 <i>?</i> <sup>(c)</sup>	18 <i>17.7</i>	43 <i>12.3</i>	21 <i>8.8</i>	220 <i>8.6</i>
GY Aql	–	–	–	–	–	–	25 <i>7.1</i>	35 <i>7.8</i>	–	159 <i>13.1</i>
KW Sgr	–	–	–	–	–	–	–	–	–	10 <i>8.5</i>
IRC–10529	14 <i>6.2</i>	–	–	–	31 <i>5.8</i>	17 <i>?</i>	39 <i>9.0</i>	45 <i>6.7</i>	–	92 <i>6.5</i>
IRC+10011	37 <i>6.6</i>	–	abso. <sup>(b)</sup>	19 <i>6.9</i>	49 <i>10.4</i>	13 <i>7.6</i>	86 <i>10.1</i>	100 <i>11.1</i>	43 <i>9.9</i>	1823 <i>15.3</i>
VX Sgr	8 <i>6.6</i>	–	–	14 <i>7.0</i>	11 <i>5.8</i>	–	27 <i>13.7</i>	49 <i>17.8</i>	20 <i>6.6</i>	440 <i>25</i> <sup>(e)</sup>

**Notes.** The approximate frequency is given in GHz below each line number (quantum numbers and exact frequency given in Table 2). Typical peak flux density uncertainties are 1–4 mJy. The velocity range is defined as the difference between the red and blue velocities for line emission above  $2.5\sigma$ . A question mark indicates an uncertain value. No detection is indicated with –. <sup>(a)</sup>Absorption line profiles and maps in Figs. 5 and 8. <sup>(b)</sup>Uncertain line parameters; zeroth moment map shows unresolved  $3\sigma$  absorption with the combined array (Fig. 8). <sup>(c)</sup>Uncertain velocity extent due to absorption on redshifted side of emission line. <sup>(d)</sup>Apparent redshifted absorption in extended and combined configuration data without emission. <sup>(e)</sup>Estimate of the 268.149 GHz velocity extent hindered by a nearby broad SO<sub>2</sub> feature.

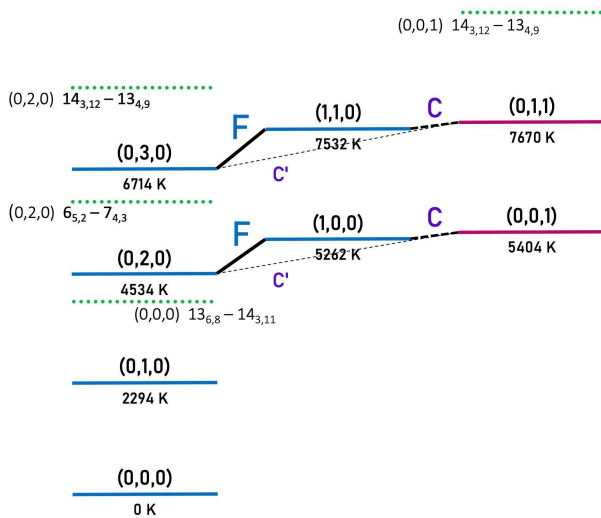
centrifugal distortion (Pickett et al. 2005). The water molecule, H<sub>2</sub>O, is a prototype in this regard and an overview of alternative models developed to fit rotational and rovibrational spectra of H<sub>2</sub>O is presented in Pickett et al. (2005).

H<sub>2</sub>O is an asymmetric rotor with energy levels described by  $J_{K_a, K_c}$  where  $J$  is the rotational quantum number and  $K_a$  and  $K_c$  are the projections of the total angular momentum along two of the three axes,  $a$ ,  $b$  and  $c$  used to derive the three principal moments of inertia of water. The  $a$ -axis is parallel to the H to H direction and orthogonal to the  $b$ -axis that crosses the O atom and along which the H<sub>2</sub>O permanent dipole moment is observed (see right panel in Fig. 1). A precise value of the dipole moment along the  $b$ -axis was obtained by Shostak et al. (1991),  $\mu_0 = 1.855$  D. We point out that vibration and distortion corrections to the dipole moment are required to accurately model intensities and derived quantities (Shostak et al. 1991; Shostak & Muentzer 1991; Grechko et al. 2012). H<sub>2</sub>O has three fundamental vibrational modes, the symmetric stretching mode

$\nu_1$  and the bending mode  $\nu_2$  both of  $a_1$  symmetry, and the antisymmetric stretching mode  $\nu_3$  of  $b_2$  symmetry. A common shorthand notation to describe any vibrational states is a triplet which indicates the degree of excitation of each fundamental mode in the form  $(\nu_1, \nu_2, \nu_3)$ . The three fundamental modes of vibration are schematically represented in Fig. 1 and the lowest eight vibrational states of H<sub>2</sub>O are displayed in Fig. 2 together, as an example, with the  $(\nu_1, \nu_2, \nu_3)$   $J_{K_a, K_c}$  upper energy levels of four transitions detected in this work (see Fig. 2 caption and Table 2). The vibrational states (0,2,0), (1,0,0) and (0,0,1) as well as (0,3,0), (1,1,0) and (0,1,1) are quite close in energy (Fig. 2). As a consequence, rotational levels with the same total angular momentum  $J$  may interact if they are nearly degenerate and obey certain selection rules (i.e., there may be mutual interaction of nearby, unperturbed levels). Fermi interaction may occur between rotational levels involving the (0,2,0) and (1,0,0), and (0,3,0) and (1,1,0) vibrational states; the quantum numbers of the  $J_{K_a, K_c}$  levels need to differ in  $K_a$  and  $K_c$  by even numbers. The



**Fig. 1.** Three fundamental vibrational modes of water vapor. They are denoted  $\nu_1$  and  $\nu_3$  for the symmetric and asymmetric stretchings, and  $\nu_2$  for the symmetric bending. The arrows simulate the direct and reciprocal vibrational motions of the O and the two H atoms (adapted from Schroeder 2002). The O to H bond length is nearly 0.1 nm and the H-O-H average angle is  $104^\circ$ . The  $a$  and  $b$  axes discussed in Sect. 3.1 intersect at the center of mass of the molecule. The energy of the three fundamental vibrational states  $\nu_1$ ,  $\nu_2$ ,  $\nu_3$  are 3657, 1595 and  $3756\text{ cm}^{-1}$ , respectively; the equivalent state temperature and wavelengths are 5262, 2294 and 5404 K (see also Fig. 2) and 2.73, 6.27 and  $2.66\text{ }\mu\text{m}$ .



**Fig. 2.** Lowest eight vibrational states of water vapor and their quantum numbers  $(\nu_1, \nu_2, \nu_3)$ . States of  $a_1$  symmetry are referred to by horizontal blue lines, states of  $b_2$  symmetry by aubergine lines. Vibration-rotation interactions are indicated by lines connecting the interacting states. The labels F, C and C' symbolize Fermi, Coriolis, and rotational (or Coriolis-type) interactions. The energy of each vibrational band origin is given in Kelvin below each horizontal solid line. The rotational and ro-vibrational transitions in the range of energy covered by the ATOM-IUM project are listed in Table 2. Four examples, corresponding to lines 10, 14, 8 and 4 in Table 2, are shown with their quantum identification, in the form of dotted horizontal green lines; they are ordered by increasing energy.

interaction effects are usually largest if  $K_a$  and  $K_c$  are identical. Coriolis interaction of  $c$ -type may occur between levels involving  $(1,0,0)$  and  $(0,0,1)$ , and  $(1,1,0)$  and  $(0,1,1)$ ; the quantum numbers need to differ in  $K_a$  by an odd number and in  $K_c$  by an even number. The interaction effects are usually largest if  $K_a$  differs by one. The interaction between  $(0,2,0)$  and  $(0,0,1)$ , and  $(0,3,0)$  and  $(0,1,1)$  is called rotational or Coriolis-type, or, frequently, just Coriolis (C' in Fig. 2). This is appropriate as the operators describing the interaction are the same as for a proper Coriolis

interaction. However, this type of interaction is of higher order and usually has relatively small effects.

The energy difference between two interacting rotational levels is larger than in the noninteracting case and tends to mix levels. One consequence in the case of the Fermi interaction between  $(0,2,0)$  and  $(1,0,0)$  is that if a transition from one level in  $(0,2,0)$  to an interacting level in  $(0,2,0)$  is allowed, then a transition from the first level in  $(0,2,0)$  to the corresponding interacting level in  $(1,0,0)$  is also allowed; the strength of the latter transition depends on the degree of the mixing between the two interacting levels. Such transitions can also occur for other types of vibration-rotation interaction. An additional effect in the rotational spectrum of  $\text{H}_2\text{O}$  is that the presence of two equivalent H nuclei leads to spin-statistical weights of three and one for levels of ortho and para  $\text{H}_2\text{O}$ , respectively. The ortho and para states for vibrations with  $a_1$  symmetry are described by  $K_a + K_c$  being odd and even, respectively, while it is the opposite for vibrations with  $b_2$  symmetry. The states of  $a_1$  and  $b_2$  symmetry are labeled in blue and aubergine in Fig. 2, respectively.

The rotational spectrum of  $\text{H}_2\text{O}$  in low-lying vibrational states has been investigated extensively. A fairly recent and extensive study of THz and far IR transitions of water in the lowest five vibrational states was published by Yu et al. (2012). Their analysis included numerous transitions in the range 293–2723 GHz (determined with  $\sim 1$ –100 kHz accuracy) and in the  $50$ – $600\text{ cm}^{-1}$  far IR region (with accuracy up to a few tens of MHz). These data, taking into account earlier data, are the current basis for the JPL catalog (Pickett et al. 1998) entries of  $\text{H}_2\text{O}$  in its ground vibrational state and in its next four excited vibrational states,  $(0,1,0)$ ,  $(0,2,0)$ ,  $(1,0,0)$  and  $(0,0,1)$ . Shortly thereafter, Yu et al. (2013) determined transition frequencies for the next three vibrational states (the second triad) and redetermined some frequencies in the first five states. Coudert et al. (2014) provided additional transition frequencies of the lowest eight vibrational states in the far IR region; they cover, in particular, highly rotationally excited states. They also presented a catalog file for the second triad consisting of the  $(0,3,0)$ ,  $(1,1,0)$ , and  $(0,1,1)$  states. Unfortunately, no frequencies have been calculated below 500 GHz.

### 3.2. Identification of highly excited water lines

Water vapor has a rich spectrum of pure rotational transitions as well as many rovibrational transitions spanning a broad range of wavelengths from the IR to the submm/mm domain. The first excited states of the symmetric and asymmetric stretching modes observed around  $2.7\text{ }\mu\text{m}$  ( $\nu_1$  and  $\nu_3$  bands) and the first excited state of the bending mode observed at  $6.27\text{ }\mu\text{m}$  ( $\nu_2$  band) are the most important IR transitions of water (Fig. 1). Other vibrational transition bands have long been identified in the low dispersion astronomical spectra of Mira stars, (e.g., Spinrad & Newburn 1965; Hinkle & Barnes 1979).

The high sensitivity and high spectral resolution achieved with ALMA allow us to search for various ro-vibrational or pure rotational transitions of water in different vibrational states so that a broad range of energies and physical conditions can be probed with an appropriate selection of water transitions. Using the JPL catalog (Pickett et al. 1998)<sup>5</sup> and the W2020 data base (Furtenbacher et al. 2020) and, limiting ourselves to energy levels up to  $\sim 6500\text{ cm}^{-1}$  (9400 K), we have searched for all pure rotational or ro-vibrational dipolar electric transitions of water in

<sup>5</sup> <https://spec.jpl.nasa.gov/ftp/pub/catalog/>

our frequency settings, without any a priori spectral line intensity cut-off. We found fourteen transitions of the main isotopic species of water with energy up to  $\sim 9000$  K; they are listed in Table 2. Ten are safe detections in the present work and were identified in different targets (see last two columns in Table 2 for number of detected sources and spectroscopic references). Six are ortho  $\text{H}_2^{16}\text{O}$  and four para  $\text{H}_2^{16}\text{O}$  transitions; one ortho  $\text{H}_2^{16}\text{O}$  transition is uncertain (line 9 in Table 2; see Appendix C). The first entry in the second and third columns in Table 2 gives the rest frequency and uncertainty from the JPL catalog (c018003 and c018005 files) or from the W2020 data base. The second entry in the second and third columns of Table 2 for lines 1, 4, 5, 6, 7, 8, 10, 12, 13 and 14 gives our own rest frequency measurements and estimated maximum uncertainties (see discussion below). Using the same line selection criterion as above, there are one  $\text{H}_2^{17}\text{O}$  and four  $\text{H}_2^{18}\text{O}$  transitions in our frequency setup. None of them are in the ground vibrational state and no signal was observed in the vicinity of the expected frequencies. Moreover, the predicted line intensities are too weak for reliable identification.  $\text{H}_2\text{O}$ , and later OH, without superscript in this article, always refer to  $\text{H}_2^{16}\text{O}$  and  $^{16}\text{OH}$ .

We have assigned to  $\text{H}_2\text{O}$  the high signal-to-noise ratio (S/N) features identified in our spectra when such features, once corrected for the systemic velocity of the star, coincide within a few MHz with transitions of  $\text{H}_2\text{O}$  in the JPL or W2020 data bases. The spectra used for this identification have been extracted from both the high and mid resolution data cubes for different aperture diameters ( $0''.08$  and  $0''.4$  diameters are used in general for high and mid, respectively). The number of sources for which we have a spectral identification as defined above, varies from fifteen (line 14 in Table 2) to four (line number 5, in absorption) or just one or perhaps two (line 4, see Appendix C). Line identification did not suffer from spectral confusion problems. In addition, we used the CDMS<sup>6</sup> (Müller et al. 2005; Endres et al. 2016) data base to check for possible misidentifications due to the spectral proximity with the molecular species in the ATOMIUM chemical inventory (Wallström et al., in preparation). Lines 4, 6 and 8 in our Table 2 lie close to  $\text{SO}^{18}\text{O}$  at 236.805, 252.185 and 254.067 GHz but only  $\text{SO}_2$  and  $^{34}\text{SO}_2$  are identified in the ATOMIUM inventory. We note the frequency proximity of the  $\text{H}_2\text{O}$  line 1 at 222.014 GHz with the  $v = 0$ ,  $J_{K_a, K_c} = 8_{3,6} - 7_{4,3}$  transition of  $\text{SiC}_2$  at 222.009 GHz, but this species is identified only in the S-type star W Aql which has no water emission.

Despite uncertainties discussed below, we have used the observed emission line peak for a given transition (or the average of a few line channels for flat-emission features) to estimate our own line rest frequency and confirm line identification. The average of our frequency measurements in different stars for a given transition, corrected for the stellar velocity used during the observations, is our observed rest frequency. It is shown in the second column of Table 2 below the JPL or W2020 rest frequency; we add TW (for This Work) as appropriate in the last column of Table 2. (We have not made a frequency estimate for line 5 seen in absorption near 244.330 GHz.) There are a few caveats to our line frequency estimates. Line opacity effects or line profiles skewed by line wing absorption due to gas infall, for example, may eventually bias our measurements. However, the high energy transitions of water studied here are not optically thick in general (Sect. 5.2); for line 14 which tends to be masing in some sources (Sect. 6) specific velocity components could be enhanced, however. We have used as much as possible “well-behaved” line profiles with the hope that averaging independent

frequency measurements in different stars minimizes the errors. Our main sources of error most probably come from limited spectral resolution,  $\sim 1$  MHz, and the stellar velocity uncertainty. The latter uncertainty is small as suggested by comparing the velocities given in the eighth and ninth columns of Table 1. There are eight sources with differences below  $0.4 \text{ km s}^{-1}$ , or less than  $0.36$  MHz at  $268.149$  GHz, and eight other sources with differences  $\leq 0.9 - 2.0 \text{ km s}^{-1}$  or below  $1.8$  MHz. Despite all potential errors we find that, for those transitions for which we have a significant number of independent measurements, the variance of our frequency calculations is  $\lesssim 1$  MHz. We adopt  $1.5$  or  $2$  MHz as our total frequency uncertainty in Table 2 and note that in spite of various uncertainties, the frequency discrepancy between our calculations and the JPL catalog remains within  $\sim 0.2 - 3$  MHz, except for the  $\sim 9000$  and  $8300$  K high energy lines 4 and 13 where it is larger. We further note that our rest frequency determinations are in good agreement with those measured in the laboratory; this is well verified for lines 7, 10, 12 and 14 in Table 2. In the case of relatively high uncertainties for the calculated rest frequencies in catalogs our rest frequency determinations could be better, especially for lines 1, 8 and 13.

We stress that, as far as we know, nine out of the ten water transitions detected in this work are new radio detections in space. Line 14 in the  $v_2 = 2$  state at  $268.149$  GHz is the only transition that was first observed as a strong emission in VY CMa (Tenenbaum et al. 2010) and a weak line in IK Tau (Velilla-Prieto et al. 2017). We find here that this line is excited in twelve AGBs and three RSGs of the ATOMIUM sample. The only two targets without any  $\text{H}_2\text{O}$  transition, are the two S-type stars in Table 1 with a water abundance expected to be lower than in the O-rich M-type stars (compare e.g., the  $1.5 \times 10^{-5}$  water abundance derived by Danilovich et al. 2014 in W Aql with the higher water abundance obtained by Khouri et al. 2014 and Maercker et al. 2016 in M-type stars).

The  $268.149$  and  $262.898$  GHz transitions in the  $(0,2,0)$  and  $(0,1,0)$  states are widespread in evolved stars and will be analyzed in Sect. 6. Line 1 in the  $(0,3,0)$  state at  $222.014$  GHz is the first radio detection of water in such a high vibrational state; it also seems to be widespread in O-rich stars. Finally, we note that the three ro-vibrational transitions without detection in stars, lines 2, 3 and 11, have low spontaneous emission rates ( $A$  value) implying weak spectroscopic line strengths. However, the  $A$  value for line 6, with eight sources detected, is not much larger than that of line 11 with no detection. We also point out that line 4 with the highest energy levels observed in this work is identified in at most two stars (see footnote in Table 2).

### 3.3. The OH radical, spectroscopy background

The hydroxyl radical, OH, exhibits a complex spectrum because of its unpaired electron and coupling with the nuclear spin of the hydrogen atom. The electronic ground state of OH is a  $^2\Pi$  state according to the value of one for its electronic orbital angular momentum projection along the OH internuclear axis. Accounting for the spin-orbit coupling, the total electronic angular momentum along the OH axis is described by the quantum number  $\Omega$  which takes the value  $3/2$  or  $1/2$ . The OH states are designated  $^2\Pi_{3/2}$  and  $^2\Pi_{1/2}$ ,  $^2\Pi_{3/2}$  being lower in energy. The spin-orbit splitting of OH is appropriately described by Hund’s case (a) for lower quantum numbers while Hund’s case (b), where the spin is not coupled to the internuclear axis, is more appropriate for higher quantum numbers. In addition, the energy of the weak coupling of the OH rotational angular momentum with the total electronic angular momentum depends on their

<sup>6</sup> <https://cdms.astro.uni-koeln.de/classic/entries/>

respective sense of rotation. Hence, each degenerate rotational energy level is split into  $\Lambda$ -doublets with nearby energy levels and different parity. For the higher rotational levels, where case (b) applies, the orbital electronic momentum and the rotational molecular momentum form a resultant vector described by the scalar number  $N$  which, combined with the scalar value of the spin vector  $S = \pm 1/2$ , gives  $J = N + 1/2$  and  $N - 1/2$  in the  ${}^2\Pi_{3/2}$  and  ${}^2\Pi_{1/2}$  states. Hund's case (b) is appropriate for the ATOMIUM high- $J$  OH observations.

Another weak magnetic coupling between the unpaired electron spin of OH and the hydrogen nuclear spin, described by the total quantum number  $F = J \pm 1/2$ , is observed at high spectral resolution both in the laboratory and in space. The  $\Delta F = 0$  hyperfine transitions within a given  $\Lambda$ -doublet ( $\Delta J = 0$ ) are called principal (or main) lines because their local thermodynamic equilibrium (LTE) intensities relative to the so-called satellite lines (for which  $\Delta F = \pm 1$ ) are 5:1 and 9:1 in the  ${}^2\Pi_{3/2}$ ,  $J = 3/2$  ground state; these relative intensities can reach much higher values at higher rotational levels.

There are twelve  $\Delta F = 0$  lines of OH in the  $v = 0$  and 1 vibrational states falling in the ATOMIUM frequency range. They are given in Table 3 and ordered by increasing frequency. We have not included in Table 3 the very weak  $\Delta F = \pm 1$  satellite lines nor the five very weak  $J = 41/2 - 43/2$ ,  $\Delta F = 0, \pm 1$  transitions in the  $v = 0$  and 1 vibrational states, although they fall in our frequency setup. (Other weak  ${}^{17}\text{OH}$  and  ${}^{18}\text{OH}$  transitions, which are not observed in our data, are not discussed either.) The rest frequencies, based on rotational spectra of OH and isotopologs (Drouin 2013), and associated errors rounded to ten kHz are taken from the JPL catalog (c017001 file, version 5) and given in the second and third columns of Table 3 together with some relevant quantum numbers, the upper energy level and Einstein- $A$  coefficient. The number of OH sources detected per transition in this work is shown in the last column of Table 3. We note that lines in Tables 3 and 4 can also be identified from their  ${}^2\Pi_{3/2}$  or  ${}^2\Pi_{1/2}$  electronic ground state. Lines 5 and 8 for example, observed in four and nine different sources, correspond to  ${}^2\Pi_{1/2}$ ,  $v = 0$ ,  $J = 33/2$ ,  $F' - F'' = 17^- - 17^+$  and  ${}^2\Pi_{3/2}$ ,  $v = 0$ ,  $J = 29/2$ ,  $F' - F'' = 15^+ - 15^-$  near 236.328 and 252.145 GHz, respectively.

The  $\Lambda$ -doubling frequencies of OH derived from the ALMA observations in this work and in Khouri et al. (2019) are gathered in Table 4. The observed OH frequencies (third column in Table 4) may sometimes differ from the JPL frequencies (second column in Table 3). This discrepancy is commented on in Sect. 3.4 where we also derive new OH  $\Lambda$ -doubling frequencies. The O-C column in Table 4 corresponds to the difference between the frequency derived from the astronomical observations and our new frequency calculation described in Sect. 3.4 and Appendix A.

### 3.4. Identification of OH lines, improving $\Lambda$ -doubling frequencies

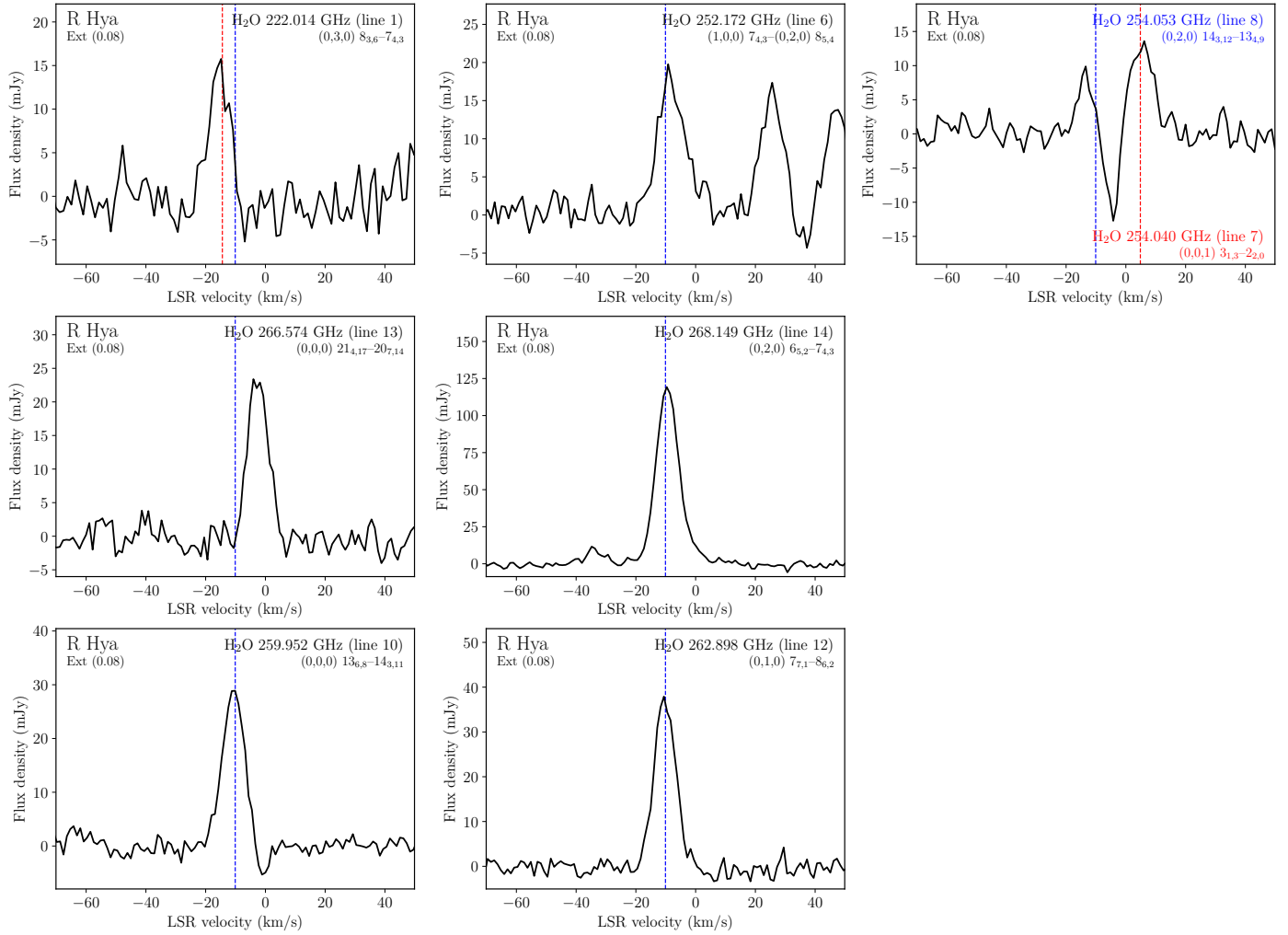
Identification of the OH lines in the ATOMIUM sample primarily rests on the JPL catalog line frequencies. We have used the observation of a spectral feature at the expected frequency and the observation in our channel maps and spectra of two nearby features with a frequency separation as predicted from an OH  $\Lambda$ -doublet as a secure identification of a  $\Lambda$ -doublet. Four OH  $\Lambda$ -doublets in  $J = 27/2, 29/2, 33/2$  and  $35/2$  corresponding to seven, and possibly eight, different  $\Delta F = 0$  hyperfine transitions have been identified. As for water in Sect. 3.2, we have verified that there is no misidentification with the lines in the ATOMIUM

chemical inventory. OH line 10 in  $J = 35/2$  is still uncertain in R Hya (see footnote in Table 3). In Mira (Fig. F.1) as in R Hya, the  $J = 35/2$  line 9 is weakly detected while line 10 is blended with the relatively strong  $v = 0$ ,  $J = 24_{2,22} - 24_{1,23}$  transition of  $\text{TiO}_2$  at 265 770.5 MHz. (This  $\text{TiO}_2$  transition is not present in our R Hya data.) We also note the proximity, but without spectral confusion, of the OH  $J = 29/2$ ,  $F' - F'' = 15 - 15$  transition (line 8) with the  $(1,0,0) - (0,2,0)$   $J_{K_a,K_c} = 7_{4,3} - 8_{5,4}$  transition of water at 252.172 GHz (see right-hand panels in Fig. 20). The last column in Table 3 shows that the most frequently detected hyperfine line pairs are observed in the  $J = 27/2$  and  $29/2$  levels.

For a closer comparison of the observed OH transitions with the JPL catalog frequencies we have derived the hyperfine transition frequencies in the  $J = 27/2$  and  $29/2$  states after we have extracted the OH spectra for an aperture diameter of  $0''.08$  from the high resolution data cubes. The OH line profiles are often slightly asymmetric. However, our stronger OH sources, R Hya, S Pav or R Aql, show well-identified line peaks which we have used with the LSR stellar velocity adopted during the observations to derive the observed rest frequencies. We have also verified that in R Hya, the observed peak frequencies are identical within 0.5 MHz for spectra extracted for a total size region larger than about  $0''.1$ . Optical depth effects of these weak OH lines are not expected to play any significant role in our frequency determinations and, to minimize any possible frequency skew due to gas kinematics, we adopt the average of our frequency measurements obtained from independent observations in different stars as our OH rest frequencies; they are given in Table 4 together with an adopted uncertainty of 1.5 MHz. The lines 6 and 9 in  $J = 33/2$  and  $35/2$ , were observed in one star only, R Hya. In that case the uncertainty is determined from the difference between, the intensity-weighted average of all channels with detected emission, and the direct frequency average of these channels; it is maximized to 2 MHz (Table 4).

Our OH frequency measurements differ by  $\sim 2-4$  MHz from the JPL frequencies in Table 3 thus exceeding the uncertainties estimated by combining our estimated uncertainties with those quoted in the JPL catalog. (The O-C in Table 4 are nearly always smaller than  $\sim 1-2$  MHz.) Similarly, Khouri et al. (2019) have noted deviations of up to a few MHz between the calculated  $\Lambda$ -doubling transitions and their radio observations. These discrepancies are, on average, systematic, increasing with  $J$  and  $v$ , and cannot be explained by an uncertainty in the observed star's systemic velocity or by some intrinsic high velocity motions of the gas where the OH lines are excited. In addition, we do not see in our data that the OH emission comes from a single side of the star's limb. We give at the beginning of Appendix A the various limitations in the laboratory spectroscopic measurements that can explain why our observations, as well as those of Khouri et al. (2019), suffer from deviations up to a few MHz between the calculated and observed  $\Lambda$ -doubling transitions in high- $J$  levels. Details on our improved  $\Lambda$ -doubling calculations for energy levels in the  $v = 0$  and 1 states from  $\sim 1300$  to  $\sim 10\,500$   $\text{cm}^{-1}$  are presented in four tables of Appendix A: Tables A.1 and A.2 for the  $v = 0$ ,  ${}^2\Pi_{3/2}$  and  ${}^2\Pi_{1/2}$  states; Tables A.3 and A.4 for the  $v = 1$ ,  ${}^2\Pi_{3/2}$  and  ${}^2\Pi_{1/2}$  states<sup>7</sup>.

<sup>7</sup> Further calculations of the OH rotational spectra in  $v = 0$  to 2 are given in the CDMS catalog (Müller et al. 2005; Endres et al. 2016). OH entries and our fit results and line list with frequencies from the radio astronomy observations are available from <https://cdms.astro.uni-koeln.de/classic/entries/archive/OH/>



**Fig. 3.** Water line profiles extracted from the extended configuration of the main array for an aperture diameter of  $0''.08$  in R Hya. First two rows: six transitions of ortho  $\text{H}_2\text{O}$  as defined in Table 2: lines 1, 6, 8 (including line 7 separated by 12.7 MHz, or  $15.0 \text{ km s}^{-1}$ , from line 8) and lines 13 and 14. Last row: two transitions of para  $\text{H}_2\text{O}$  as defined in Table 2: lines 10 and 12. The spectra are converted from the observed frequency to the LSR frame using the  $\text{H}_2\text{O}$  catalog line rest frequencies given in Table 2. In all spectra, the blue vertical line indicates the adopted new LSR systemic velocity as shown in Table 1. For line 1 (upper left panel), the red vertical line shows the LSR velocity for the slightly different frequency determined in this work. Spectral resolution varies from  $\sim 1.1 \text{ km s}^{-1}$  (268 GHz) to  $\sim 1.3$  (222 GHz)  $\text{km s}^{-1}$ .

## 4. $\text{H}_2\text{O}$ source properties

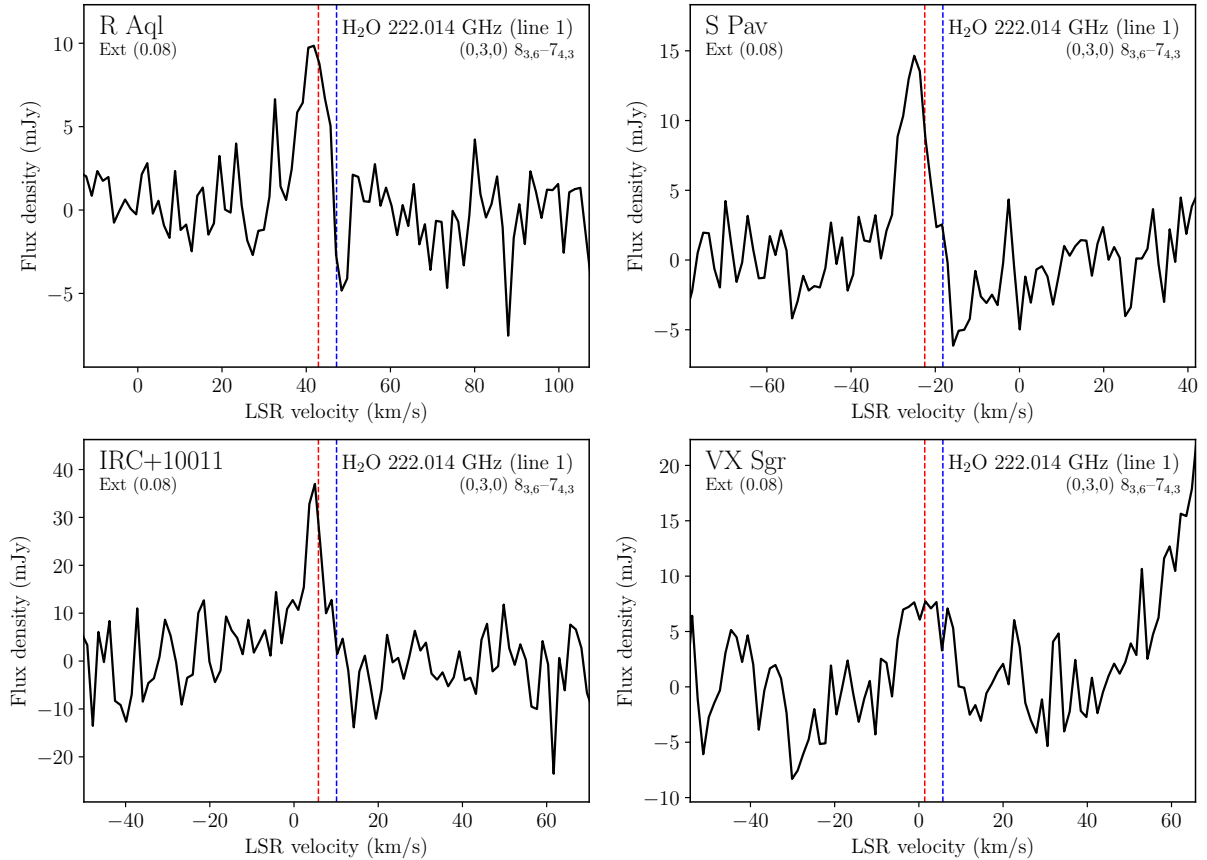
### 4.1. Observation of highly excited transitions of water

As described in Sect. 3.2, ten different transitions of water have been identified on the basis of a close coincidence of an observed spectral feature with a transition in the JPL or the W2020 catalog. An overview of the  $\text{H}_2\text{O}$  spectral line properties extracted from the high resolution image cubes for a circular aperture of  $0''.08$  can be found in Table 5 which brings together the peak line flux density and the velocity extent as defined in Sect. 2.2. Comparing the high resolution spectra with those extracted from the mid resolution data in a  $0''.4$  diameter aperture shows that there is no systematic difference in intensity and we consider that the  $0''.08$  aperture reveals the spectral behavior of the most compact structures close to the star and within the maximum recoverable angular size. We also point out that our mid resolution data do not reveal any new  $\text{H}_2\text{O}$  transition in any source.

Examples of  $\text{H}_2\text{O}$  spectra extracted from the high resolution data cubes in R Hya are presented in Fig. 3; eight out of ten lines of both the ortho and para species are strongly detected in this source. All spectra in all sources have been gathered in

Appendix B except those for the faint line 4 emission discussed in Appendix C.  $\text{H}_2\text{O}$  line 5 absorption is shown in Fig. 5 and in Appendix B. As far as we know, the  $(0,3,0) 8_{3,6}-7_{4,3}$  transition at 222.014 GHz (line 1) is the first ever mm-wave transition observed in the  $(0,3,0)$  vibrational state toward several AGBs and RSGs (see Fig. 4 and all detections in Appendix B). (Throughout this paper we only quote once the vibrational triplet for pure rotational transitions whose quantum numbers are specified without adding  $J_{K_a, K_c}$ ; for ro-vibrational transitions we give the two vibrational triplets.)

Because the transitions in Table 5 arise from high-lying levels between  $\sim 4000$  and  $9000 \text{ K}$  (note that line 4 at 236.805 GHz has the highest excitation energy), the observed peak line intensities are generally low. Our new detections significantly increase the number of  $\text{H}_2\text{O}$  rotational transitions that are currently observed with ground-based radio telescopes in evolved stars. The range of excitation energies now extends to much higher values than those observed previously ( $\sim 470-2400 \text{ K}$ ). From space, however, water line detections have been reported at high energy levels (e.g., up to  $\sim 7700 \text{ K}$  in VY CMa; Alcolea et al. 2013). Apart from line 4 which was observed in only one source (or



**Fig. 4.** Typical line profiles of the  $(0,3,0) 8_{3,6}-7_{4,3}$  transition of  $\text{H}_2\text{O}$  at 222.014 GHz in R Aql, S Pav, IRC+10011 and VX Sgr. The upper left panel in Fig. 3 shows the same transition in R Hya. Spectra are extracted for an aperture diameter of  $0''.08$  from the extended configuration and converted from the observed frequency to the LSR frame using the  $\text{H}_2\text{O}$  catalog line rest frequency given in Table 2. The red and blue vertical lines indicate the new LSR systemic velocity (see Table 1) corresponding to our frequency determination and to the catalog frequency, respectively. The spectral resolution is  $\sim 1.3 \text{ km s}^{-1}$ .

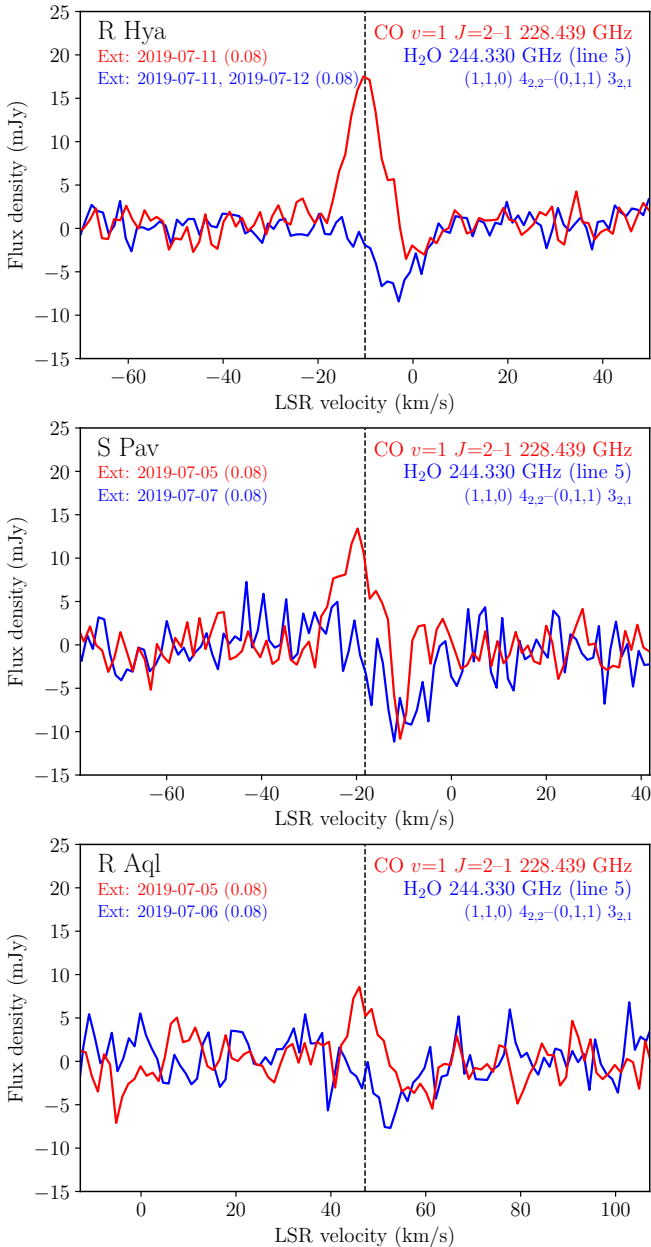
perhaps two) and line 5 in four sources, all other lines in Table 2 are identified in 4 to 15 ATOMIUM sources (see Table 5 and column  $n_{\text{det}}$  in Table 2).

S Pav, R Hya, R Aql and IRC+10011 are the sources in our sample with the richest water vapor spectra (Appendix B). All  $\text{H}_2\text{O}$  lines observed in the present work are excited in these four sources, except line 4 which is only observed in R Hya (and perhaps in S Pav). On the other hand, four other sources exhibit only two or three water transitions (RW Sco, V PsA, SV Aqr and GY Aql) and two stars which are generally line-poor, U Del and the distant SRc variable KW Sgr, are weakly detected at 268.149 GHz only. We also note that in IRC+10011, despite its large distance, the peak flux density of each detected transition, except at 268.149 GHz, tends to be stronger than in other sources.

Although our source sample is small, it does not seem to show any dependence of the line detection rate with physical parameters such as the mass-loss rate; in fact, the star with the lowest mass-loss rate, S Pav, exhibits as many detected transitions as our highest mass-loss rate star, VX Sgr. This is confirmed by the correlation analysis of Wallström et al. (in prep.) between several physical parameters and the number of  $\text{H}_2\text{O}$  lines. We note that the line source detection rate observed here tends to increase as the energy of the transition decreases, that is to say lower states ( $\sim 4000\text{--}5600 \text{ K}$ ) are detected in nearly two times more sources than in higher states ( $\sim 8000\text{--}9000 \text{ K}$ ). Such a trend is not surprising “a priori” since we expect the highest energy levels to be less easily populated.

#### 4.2. Water line absorption

The absorption of a water line was first observed with the longest baselines of ALMA toward Mira by Wong et al. (2016). Their spatially resolved images of the  $(0,2,0) 5_{5,0}-6_{4,3}$  transition at 232.687 GHz reveal  $\text{H}_2\text{O}$  line absorption against the background continuum and a line emission region that closely corresponds to that of the highly excited  $v = 2$ , SiO line. In our ATOMIUM high-angular resolution data, we also observe both line emission, which is dominant, and weak absorption. At 259.952 GHz (para  $\text{H}_2\text{O}$  line 10), absorption is detected at the level of  $\sim 5\text{--}15 \text{ mJy}$  for the extended configuration and  $0''.08$  aperture diameter, together with relatively strong emission toward R Hya (Fig. 3), R Aql, S Pav and U Her. Absorption is also seen in one or two of the nearby frequencies at 254.040 and 254.053 GHz (lines 7 and 8 in two different vibrational states) in the four objects cited above and in IRC-10529, IRC+10011 and T Mic. In R Hya, an absorption feature is observed in Fig. 3 on the redshifted side of the  $(0,2,0) 14_{3,12}-13_{4,9}$  main emission line profile at 254.053 GHz and is confirmed by the absorption map of this feature (see end of Sect. 4.4). The para  $\text{H}_2\text{O}$  line profile at 244.330 GHz (line 5) shows only absorption, which is in contrast with, for example, the  $v = 1$ , CO(2-1) line profile, expected to be excited in a similar region and that shows both absorption and emission. The spectra of the stronger 244.330 GHz absorption sources are presented in Fig. 5 and compared with those of the  $v = 1$ ,  $J = 2 - 1$  high energy transition of CO ( $\sim 4400 \text{ K}$ ). The water line absorption is



**Fig. 5.** Absorption spectra of para H<sub>2</sub>O at 244.330 GHz in R Hya, S Pav and RAql (blue profiles) and, emission/absorption spectra of the  $v = 1$  transition of CO(2–1) at 228.439 GHz in the same sources (red profiles). The spectra are converted from the observed frequency to the LSR systemic velocity using the H<sub>2</sub>O line 5 (Table 2) and  $v = 1$ , CO(2–1) rest frequencies. All spectra are extracted from the high resolution data cubes for an aperture diameter of 0".08. The vertical black dotted lines indicate the adopted new LSR systemic velocities (see Table 1).

spectrally narrow and lies on the red side of the bulk of the CO emission profiles suggesting an infall of the water-bearing matter (see Sect. 4.4).

#### 4.3. Channel maps, angular sizes, ring-like structures

Channel maps have been produced for each source in our sample for both the extended and mid array configurations and for all detected transitions listed in Table 2. The angular sizes and structure of the H<sub>2</sub>O emitting regions observed with the extended configuration are discussed here while the line 5 absorption

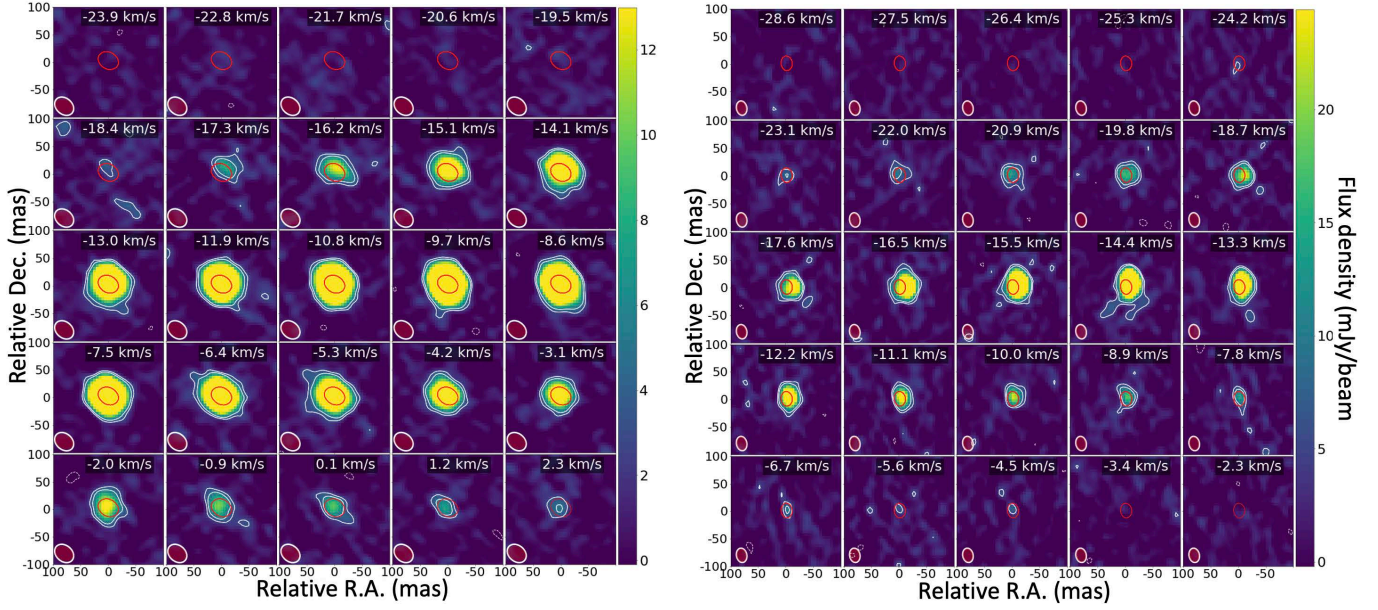
is presented in Sects. 4.4 and 5.4. To illustrate the discussion on the H<sub>2</sub>O emission, we have selected channel maps for transitions that reflect the variety of excitation conditions, that is maps of the: (i) strong (0,2,0)  $6_{5,2}-7_{4,3}$  emission at 268.149 GHz (line 14), but with relatively high excitation energy levels, in R Hya and U Her (Fig. 6), in S Pav and IRC+10011 (Figs. D.1 and D.2), and two RSGs, VX Sgr (Fig. D.3) and AH Sco (Fig. D.4); (ii) two relatively weak transitions, with close frequencies but with well separated energy levels, (0,0,1)  $3_{1,3}-2_{2,0}$  and (0,2,0)  $14_{3,12}-13_{4,9}$  (lines 7 and 8) at 254.040 and 254.053 GHz in R Hya (Fig. 7); (iii) relatively low energy transitions (0,0,0)  $13_{6,8}-14_{3,11}$  at 259.952 GHz (line 10 in R Hya, S Pav, IRC+10011 and VX Sgr, Figs. D.8 and D.9) and (0,1,0)  $7_{7,1}-8_{6,2}$  at 262.898 GHz (line 12 in R Hya, U Her, S Pav, IRC+10011, VX Sgr and AH Sco, Figs. D.5–D.7).

The majority of the water emission is closely associated with the underlying continuum source although the emission peak may not exactly coincide with the central star; for more details, readers can refer to the channel maps in R Hya and U Her at 268.149 GHz (Fig. 6) or at 222.014 GHz in R Hya (Fig. D.10), for example. Weak, diffuse emission is also observed away from the central object and, in a few cases, apparent ring-like structures are present in our channel maps (see discussion at the end of this section).

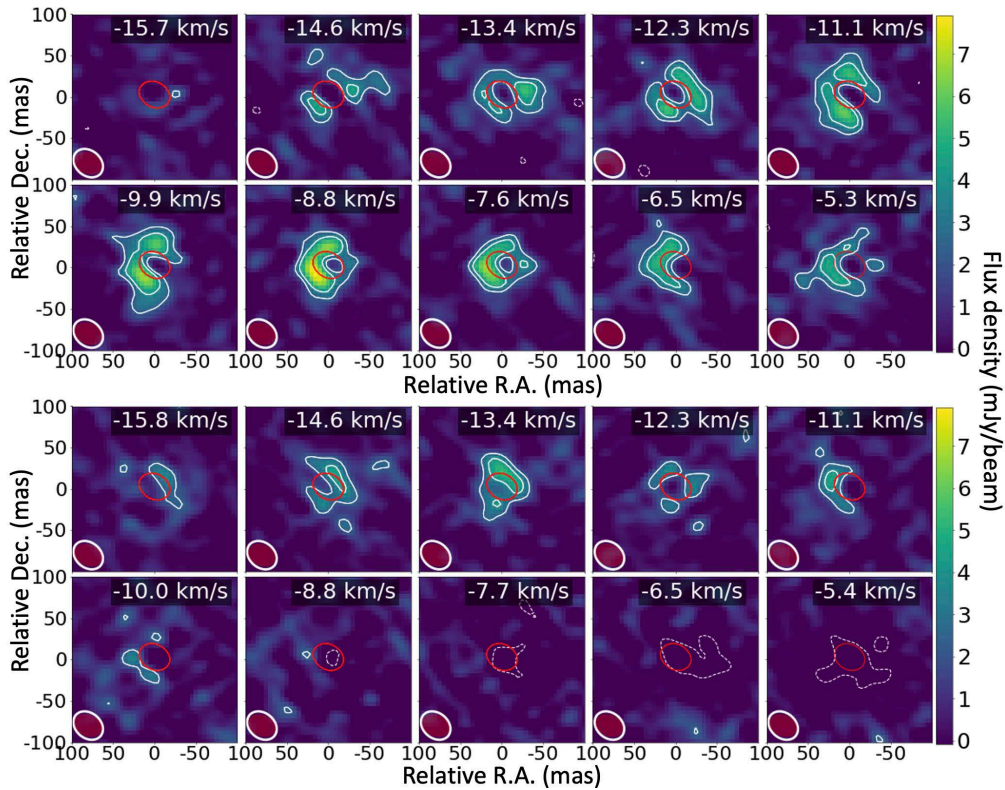
The typical angular sizes of the nonmaser line emission regions are obtained in two different ways from the high resolution channel maps without de-convolving the data from the clean beam since the surface brightness distribution is irregular and not Gaussian at the high resolution achieved here. In a first approach, sizes are derived from the geometric mean of the maximum and minimum angular radii of the emission centered on the star and enclosed within the  $3\sigma$  contour, noting that the fitting accuracy to this contour is on the order of one third of the beam. In the second approach, the radial distances are obtained from azimuthal averaging of the emission within the  $3\sigma$  contour of the moment 0 maps in a manner similar to that used by Danilovich et al. (2021) in W Aql. Compiling our H<sub>2</sub>O measurements from the two approaches above we find that the angular radii cover the range  $\sim 10-50$  mas for all stars and all detected lines (reaching  $\sim 60$  mas for line 14 in AH Sco and IRC+10011). These radii may differ by a factor of  $\sim 2-3$ , for different lines detected in a given star, and a factor of  $\sim 3-4$  for the three more widespread transitions observed in different stars (lines 10, 12 and 14).

The largest angular separation from the central star to the  $3\sigma$  contour gives an estimate of the maximum H<sub>2</sub>O excitation size. It varies in the range  $\sim 15-65$  mas in general for all detected lines in all stars (except in AH Sco where it reaches 83 mas at 268.149 GHz). These maximum sizes correspond to  $\sim 2.5-12 R_{\star}$  when they are compared to the optical radius of the central star and even reach  $\sim 20$  and  $29 R_{\star}$  at 268.149 GHz in IRC+10011 and AH Sco, respectively.

The precise size of the actual H<sub>2</sub>O molecular extent is difficult to assess. Firstly, it is important to note that the radio continuum disk size is larger at millimeter wavelengths than the photosphere and may have nonspherical symmetry or exhibit outward motions (Vlemmings et al. 2019), suggesting that the inner gas layers within  $\sim 15-50\%$  of the optical diameter could be obscured to line emission. This is confirmed by our Band 6 continuum observations (central frequency  $\sim 250$  GHz) of the ATOMIUM stars for which we measure a uniform disk size  $\sim 10-100\%$  larger than the optical angular diameter. In R Hya (see also Homan et al. 2021), U Her, and R Aql, for example, the respective uniform disk sizes are 27.1, 18.5, and 15.0 mas,



**Fig. 6.** High resolution channel maps of  $\text{H}_2\text{O}$   $(0,2,0) 6_{5,2}-7_{4,3}$  transition at 268.149 GHz in R Hya and U Her. Each map (R Hya and U Her, left and right panels) shows offsets in the R.A. and Dec. directions which we call throughout this work “Relative RA” and “Relative Dec”. The corresponding angular offsets cover  $100 \times 100$  mas from the continuum emission peak at  $(0,0)$  position (coordinates given in Table 1). Each channel velocity is in the LSR frame from  $-23.9$  to  $2.3 \text{ km s}^{-1}$  (R Hya) and  $-28.6$  to  $-2.3 \text{ km s}^{-1}$  (U Her). White light contours are at  $-3$ ,  $3$  and  $5\sigma$ . A few negative contours, when present, are dashed. The line peak and typical rms noise are  $65$  and  $1 \text{ mJy beam}^{-1}$  (R Hya), and  $122$  and  $1.5 \text{ mJy beam}^{-1}$  (U Her). The red contour at  $(0,0)$  delineates the extent at half peak intensity of the continuum emission. We characterize the elliptical Gaussian clean beams by their major and minor axes and position angle (PA) at half power, hereafter HPBW clean beam parameters. For the line observations of R Hya and U Her, these are  $(38 \times 29)$  mas at PA  $48^\circ$  and  $(26 \times 19)$  mas at PA  $11^\circ$ , respectively. The corresponding continuum parameters are  $(34 \times 25)$  mas at PA  $67^\circ$  for R Hya and  $(24 \times 18)$  mas at PA  $8^\circ$  for U Her. The line and continuum beams are shown at the bottom left of each map in white and solid dark-red, respectively. The scale of the line flux density per beam (in  $\text{mJy beam}^{-1}$ ) is linear and shown in the vertical bar on the right side of each channel map.



**Fig. 7.** High resolution channel maps of the  $(0,0,1) 3_{1,3}-2_{2,0}$  and  $(0,2,0) 14_{3,12}-13_{4,9}$  transitions of water near 254 GHz in R Hya. The upper and lower panels correspond to the 254.040 GHz and 254.053 GHz transitions, respectively (lines 7 and 8 in Table 2). Caption as in Fig. 6 except for the velocity range and the line peak,  $8 \text{ mJy/beam}$ ; the typical r.m.s. noise is  $1 \text{ mJy/beam}$ . The HPBW is  $(39 \times 30)$  mas at PA  $49^\circ$  and  $(34 \times 25)$  mas at PA  $67^\circ$  for the line and continuum, respectively.

that is  $\sim 14$ ,  $65$ , and  $38\%$  larger than the optical diameter given in Table 1. The size discrepancy reaches  $\sim 100\%$  for the two RSGs AH Sco and VX Sgr<sup>8</sup>. Secondly, we point out that the maximum radial extents obtained from the mid resolution moment 0 maps of water can be well above 100 mas and may even reach several hundred mas at 268.149 GHz in the RSG AH Sco (Wallström et al., in prep.). A preliminary population diagram analysis in AGBs suggests that, at large distances from the star, H<sub>2</sub>O tends to be thermally excited and its abundance is well below that measured within the inner gas layers ( $\leq 10$ – $20 R_*$ ). Full analysis of the sensitivity to low surface brightness emission in the mid resolution data is beyond the scope of the present work and will be presented elsewhere.

There is not much apparent complexity in the continuum-subtracted high resolution channel maps of water. However, the (0,0,1)  $3_{1,3}$ – $2_{2,0}$  and (0,2,0)  $14_{3,12}$ – $13_{4,9}$  transitions at 254.040 and 254.053 GHz exhibit a ring-like structure around R Hya (Fig. 7). The outer ring diameter, depending on the channel velocity, varies from  $\sim 70$  to  $\sim 90$  mas and encompasses the continuum emission contour at half the peak intensity (red contour centered on the star in Fig. 7) and extends over roughly four times the photospheric diameter. A similar structure is also well delineated in the 254.040 GHz velocity-integrated absorption map of S Pav (Fig. D.14). It is perhaps also present in R Aql and T Mic, but is not apparent in the rather strong 254.040 GHz emission in IRC+10011 or VX Sgr.

Overall, water emission from these high-lying transitions is predominantly detected in a patchy ring around the star. R Hya is surrounded by  $v = 0$ , SiO emission but the most compact peaks form a flattened ring with blue- (red-)shifted emission with respect to the stellar velocity in the south and west (north and east). Homan et al. (2021) interpret this as a rotating disk inclined at an angle of about  $30^\circ$  to the line of sight. The R Hya water emanates from a similar region with some tendencies to a similar offset (Figs. 6, 7, D.8, D.10.) Other interpretations are possible for the R Hya observations. An emitting hollow shell with appropriate gas motions and geometry could explain the apparent water ring-like structure. Supposing the hollow shell wind is rapidly accelerating (infall or outflow) the emission appears ring-like with the brightest region being close to the stellar velocity, because of greater velocity-coherent paths in the tangential direction, while the front and back caps are weak or not detected. The water lines discussed here sometimes show absorption against the central star, for example in Fig. 7, so the front cap is not seen in emission whilst the back cap is obscured by the star. We suggest, although our source sample is small, that the absorption tends to be present in stars with a lower mass-loss rate and thinner circumstellar envelope. This is the case in R Hya and S Pav (see spatial structure and discussion in Sect. 4.4) while in some other stars (e.g., IRC+10011 with a higher mass-loss rate, Table 1) the observed emitting gas is warmer than the underlying continuum source and there is no absorption.

#### 4.4. Absorption maps, comparison of absorbing/emitting regions

Figure 8 shows at 244.330 GHz the mom 0 absorption maps of R Hya, S Pav and R Aql obtained with spatial resolutions in the

<sup>8</sup> Our Band 6 uniform disk sizes are 13.5 and 17.2 mas for AH Sco and VX Sgr, respectively. In Betelgeuse the radio continuum diameter (O’Gorman et al. 2017) and the IR/optical diameter (Montargès et al. 2014) are 58 and 42 mas, respectively.

range  $\sim 35$  mas (R Hya) to  $\sim 25$  mas (S Pav, R Aql), together with the IRC+10011 mom 0 map obtained with  $\sim 55$  mas resolution with the combined high and mid resolution arrays. Detection is at the  $3$ – $5\sigma$  level in front of the central star and is essentially unresolved even at our highest angular resolution.

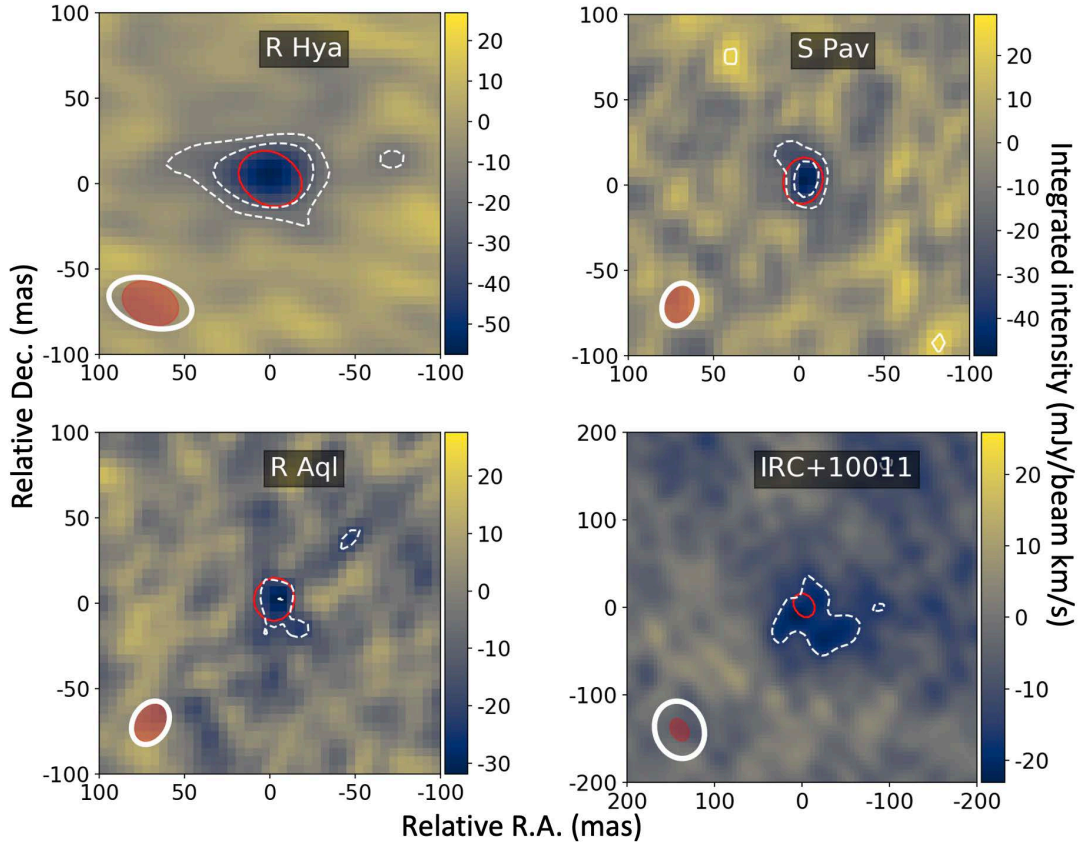
We have also compared the 244.330 GHz absorption with the mom 0 maps of the emission/absorption of water at 259.952 GHz, and the mom 0 maps of the  $v = 1$ , CO(2–1) emission/absorption at 228.439 GHz whose energy levels are close to those of the 259.952 GHz line. This is presented for R Hya in Fig. 9, left panel, where the  $v = 1$ , CO(2–1) absorption coincides with the stellar continuum and the 259.952 GHz absorption of water. These absorptions are poorly resolved and coincide with the 244.330 GHz water absorption. The right panel in Fig. 9 shows that the 259.952 GHz water emission, which is slightly resolved, and the  $v = 1$ , CO(2–1) emission (not shown here for clarity) have a comparable size,  $\sim 50$  mas, as the 244.330 GHz water absorption.

The absorption feature observed in S Pav and R Hya between the two transitions of ortho H<sub>2</sub>O at 254.040 and 254.053 GHz (see spectra in Fig. B.4 and in upper right panel of Fig. 3 for R Hya) has also been mapped (Fig. D.14). Our mom 0 maps are sensitive enough to reveal spatially compact absorption structures with angular sizes as small as  $\sim 20$ – $40$  mas, or slightly more in R Hya, that are closely associated with the continuum emission from the central star. Such absorption features (see other examples in T Mic or R Aql (Fig. B.4) are redshifted by a few  $\text{km s}^{-1}$  with respect to the stellar systemic velocity and can be interpreted as being due to the presence of infalling matter (see Sect. 5.4).

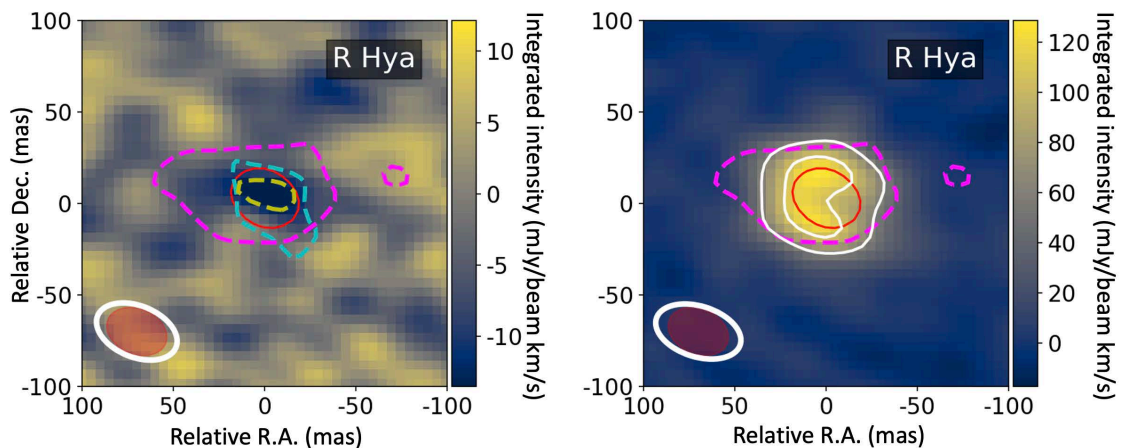
#### 4.5. Tracing the inner-wind regions at small scales

The majority of the H<sub>2</sub>O emission at 268.149 and 262.898 GHz, the two most widespread transitions, tends to show an organized “position versus velocity” structure in some stars. This is suggested in the channel maps where a slight displacement of the emission peak is present in different channels against the continuum emission source (e.g., 268.149 GHz line in R Hya and U Her, Fig. 6, or in IRC+10011, Fig. D.2). To better trace the deepest motions of the inner stellar wind, we have conducted a Gaussian analysis of the most compact, nondiffuse regions of water emission mapped in different transitions using the task SAD in AIPS<sup>9</sup>. The component fitting and sorting was performed here as in Etoka & Diamond (2004). We have used 5 times the rms noise level in the spectral channels as our typical threshold to extract components. We then built tables of velocity, line width and intensity components, applying additional criteria in terms of velocity and spatial “coherence” following the “maser feature” approach described in Sect. 2.2 of Richards et al. (2011). Maser emission usually has a genuinely Gaussian angular profile (e.g., Richards et al. 1999) and 2D Gaussian components can be fitted with accuracy determined by the S/N, as  $\sim 0.5$ – $1 \times (\text{beam}/\text{S/N})$ . In theory the multiplier is 0.5 (see Condon 1997) but it can be greater (e.g., Richards et al. 1999). The accuracy is limited by deviations from a theoretical Gaussian and the dynamic range which can exceed 100 here; therefore, the lower limit to the errors is expected to be well below 1 mas. In practice, a component is deemed to be real if it is part of a spectral feature

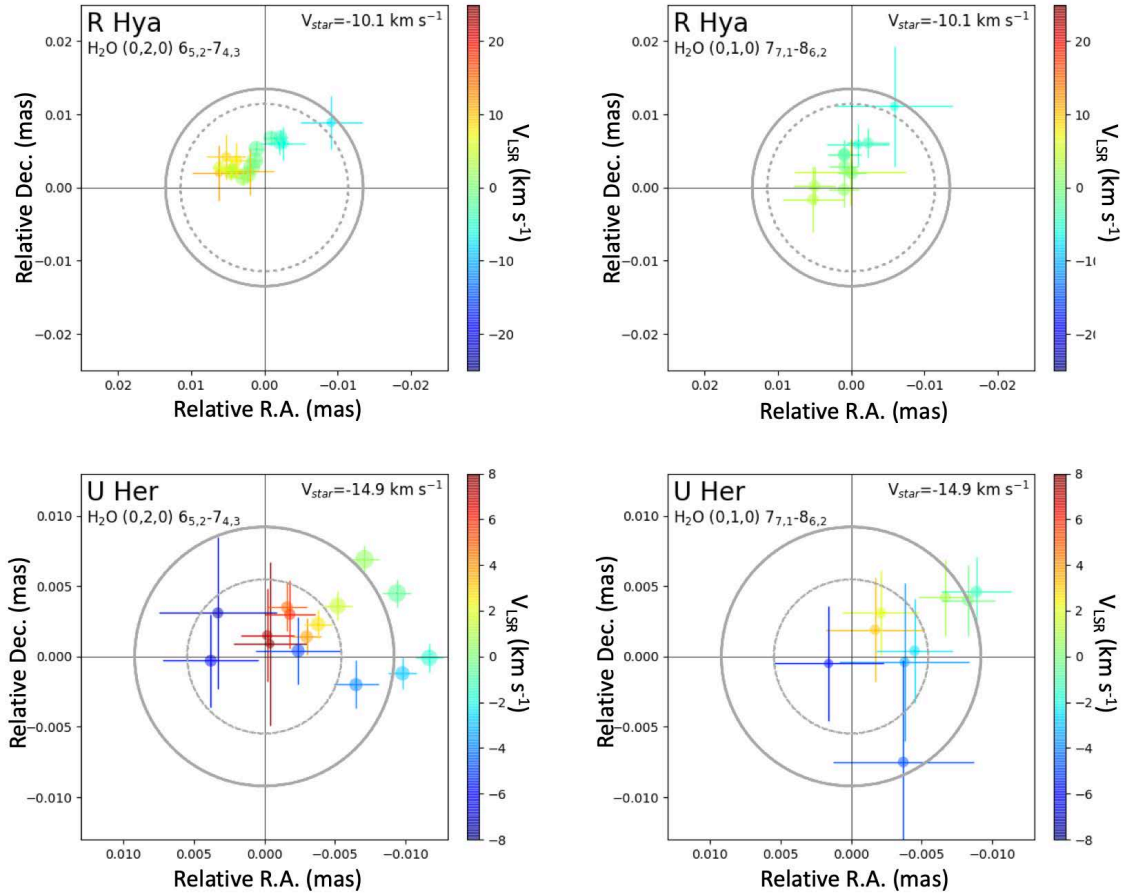
<sup>9</sup> SAD (Search And Destroy) enables to search for potential sources within an image and fits Gaussian components. It is useful for the analysis of complex images provided that they contain compact enough emission (e.g., Etoka & Diamond 2004; Baudry & Diamond 1998).



**Fig. 8.** Zeroth moment absorption maps of the  $(1,1,0)-(0,1,1)$   $J_{K_a,K_c} = 4_{2,2}-3_{2,1}$  transition of para  $\text{H}_2\text{O}$  at 244.330 GHz in R Hya, S Pav, R Aql and IRC+10011. The extended configuration was used in R Hya, S Pav and R Aql while in IRC+10011 the combined extended and mid arrays were used. The map field of view is  $100 \times 100$  mas except for IRC+10011 where it is  $200 \times 200$  mas. The dotted white lines delineate the  $-3$  and  $-5\sigma$  absorption contours. The red contour at  $(0, 0)$  position delineates the extent at half intensity of the continuum emission. The noise level is 5.4, 6.9, 5.2 and 6.3 mJy/beam  $\text{km s}^{-1}$  for R Hya, S Pav, IRC+10011 and R Aql, respectively. The HPBW line beam (white ellipse) is  $(50 \times 28)$  mas at PA  $75^\circ$  in R Hya,  $(26 \times 20)$  mas at PA  $-20^\circ$  in S Pav,  $(27 \times 20)$  mas at PA  $-30^\circ$  in R Aql and  $(59 \times 50)$  mas at PA  $12^\circ$  in IRC+10011. The continuum beam (red ellipse) is  $(34 \times 25)$  mas at PA  $67^\circ$  in R Hya,  $(25 \times 20)$  mas at PA  $-13^\circ$  in S Pav,  $(24 \times 22)$  mas at PA  $-13^\circ$  in R Aql and  $(55 \times 44)$  mas at PA  $34^\circ$  in IRC+10011. (The velocity intervals are  $-19.0$  to  $4.9$ ,  $-19.7$  to  $4.3$ ,  $15.3$  to  $21.2$  and  $35.3$  to  $64.1 \text{ km s}^{-1}$  for R Hya, S Pav, IRC+10011 and R Aql, respectively.)



**Fig. 9.** Comparison of absorption and emission lines of water with  $v = 1$ , CO(2-1) absorption in R Hya. Left panel: Magenta and cyan dashed contours delineate the  $-5\sigma$  levels of the 244.330 GHz (line 5) and 259.952 GHz (line 10) mom 0 absorption maps. The underlying map is the mom 0 absorption map of  $v = 1$ , CO(2-1) with the yellow dashed contour at the  $-3\sigma$  level. The line beam width is  $50 \times 28$  mas with  $70^\circ$  orientation (white ellipse in bottom left corner). The red solid contour delineates the 50% level of the peak continuum emission (the continuum beam width is the dark-red ellipse in the bottom left corner). Right panel: the magenta dashed contour and red solid contour indicate the 244.330 GHz absorption and mm-wave continuum emission as in the left panel. The underlying map is the 259.952 GHz mom 0 emission, with the white solid contours at the  $20$  and  $35\sigma$  levels. (Line and continuum beam widths as in the left panel.) The noise level is  $3 \text{ mJy beam}^{-1} \text{ km s}^{-1}$  for water in both panels and  $4.3 \text{ mJy/beam km s}^{-1}$  for CO. The velocity intervals of the mom 0 maps are:  $-13.2$  to  $4.3$  and  $-2.3$  to  $1.5 \text{ km s}^{-1}$  for the 244.330 and 259.952 GHz absorptions;  $-18.8$  to  $-3.0 \text{ km s}^{-1}$  for the 259.952 GHz emission of water;  $-2.2$  to  $6.0 \text{ km s}^{-1}$  for the CO(2-1) absorption.



**Fig. 10.** Maps of the different velocity components of water identified in the Gaussian-fit procedure at 268.149 and 262.898 GHz toward R Hya and UHer for the extended configuration of the main array. The size of the colored symbols varies as the square root of the integrated flux density of the Gaussian component; the crosses show the position uncertainty for each component. The velocity scale colors are given on the right side of each map with respect to the stellar system velocity taken to be  $-10.1$  and  $-14.9$  km s $^{-1}$  in the LSR frame for R Hya and U Her, respectively. The dashed gray circle represents the size of the optical photosphere (23.7 and 11.2 mas for R Hya and U Her, respectively) and the larger gray circle represents the 250 GHz continuum emission size (27.1 and 18.5 mas for R Hya and U Her).

comprising at least 3 neighboring components with  $\sim 2$  km s $^{-1}$  velocity coherence.

Figure 10 presents examples of “position–velocity” maps of the various Gaussian-fitted velocity components identified in two prominent H<sub>2</sub>O sources, R Hya and U Her at 268.149 and 262.898 GHz. The fitted position uncertainty is reflected in the error bars of Fig. 10. In R Hya, both transitions show a quasi-linear position-velocity structure extending along a SE-NW axis  $\sim 16$  mas long across the 23.7 mas photosphere and well within the 27.1 mas radio continuum size measured at 250 GHz by Homan et al. (2021). On the other hand, the same two transitions in U Her show a more complex velocity field and a wider extent but, again, with most of the blueshifted and redshifted components seen against the optical photosphere and the 250 GHz radio continuum disk (11.2 mas and 18.5 mas, respectively). For both stars, we know that any maser components seen in the direction of the star must be on the near side but we do not know directly the distance along the line of sight. We do not exclude that an infalling gas clump on the far side of the star’s limb overlaps an outflowing gas clump on the near side (or vice versa) but note that such cases would concern a small fraction of components, outside the line of sight to the radio continuum disk. The complex gas motions observed here, pointing to infall and outflow of gas, are consistent with other observations discussed later in Sect. 5.4.

## 5. An initial H<sub>2</sub>O line analysis: Physical conditions

We derive here the H<sub>2</sub>O line brightness temperature, the H<sub>2</sub>O column density and address questions related to the gas motion near the photosphere. Our calculations and discussion below are supported primarily by the H<sub>2</sub>O spectral data in Table 5 which reflect the diversity of physical conditions in different sources for a given water transition and the diversity of the line excitation processes within a source.

### 5.1. Brightness temperature

Brightness temperature is an important quantity for qualifying the line excitation conditions. It is measured in two different ways. Firstly, a good approximation to the brightness temperature,  $T_b$ , of compact sources is derived from the peak flux density per beam in our channel maps and the synthesized beam. The uncertainty in  $T_b$  is estimated from the typical noise in maps and the beam area. Our estimates are given in the last two columns of Table 6 for the two strongest water transitions, lines 12 and 14 at 262.898 and 268.149 GHz in  $v_2 = 1$  and 2, respectively. The highest 268.149 GHz brightness temperature is observed in U Her, VX Sgr, IRC+10011 and in AH Sco where  $T_b$  reaches  $1.1 \times 10^6$  K. The 262.898 GHz transition is not as strong as the 268.149 GHz transition reaching, however,  $1.1 \times 10^3$  K in

**Table 6.** Maximum brightness temperatures of ortho and para water at 268.149 and 262.898 GHz.

Source	$T_{\text{Gauss}}(268)$ <sup>(a)</sup> (K)	$T_{\text{b}}(268)$ <sup>(b)</sup> (K)	$T_{\text{b}}(262)$ <sup>(b)</sup> (K)
S Pav		$3.1 \times 10^3 \pm 40$	$535 \pm 40$
T Mic		$1.9 \times 10^3 \pm 30$	$385 \pm 70$
RW Sco		$3.2 \times 10^3 \pm 70$	$745 \pm 150$
R Hya		$1.0 \times 10^3 \pm 15$	$295 \pm 15$
U Her	$(1.2 \pm 0.3) \times 10^4$	$4.2 \times 10^3 \pm 70$	$535 \pm 30$
AH Sco	$(9.0 \pm 3.0) \times 10^6$	$1.1 \times 10^6 \pm 20$	$370 \pm 75$
R Aql		$3.3 \times 10^3 \pm 30$	$745 \pm 35$
GY Aql		$1.6 \times 10^3 \pm 100$	$460 \pm 90$
IRC-10529		$0.98 \times 10^3 \pm 95$	$845 \pm 125$
IRC+10011	$(1.1 \pm 0.3) \times 10^5$	$4.4 \times 10^4 \pm 75$	$1090 \pm 70$
VX Sgr		$6.5 \times 10^3 \pm 50$	$695 \pm 55$

**Notes.** <sup>(a)</sup>Brightness temperature and uncertainty derived from a Gaussian fit as described in Sect. 5.1 for the maser sources. <sup>(b)</sup>Brightness temperature measured from the high resolution channel map peak flux density and synthesized beam size.

IRC+10011. The above temperatures are lower limits to the actual brightness temperature for spatial components smaller than the beam size. Secondly, another approach to estimating the brightness temperature is provided by the de-convolved sizes of the Gaussian components fitted, as described in Sect. 4.5, to the 268.149 GHz features with a peak  $S/N \gtrsim 10$ . The calculated temperature,  $T_{\text{Gauss}}$ , is given in the second column of Table 6 and its uncertainty is estimated from the flux density error and the component size.  $T_{\text{Gauss}}$  is roughly 2.5 times larger than  $T_{\text{b}}$  derived from the channel maps for U Her and IRC+10011, and 8 times larger for AH Sco where it reaches  $9 \times 10^6$  K. These results indicate that the brightest 268.149 GHz emission features in these three stars are consistent with strong maser action.

## 5.2. Population diagrams, opacity estimates

Our data provide only one pair of detected lines in the same vibrational state of the ortho H<sub>2</sub>O species (lines 8 and 14), and there is no similar line pair for the para species. Therefore, line intensity ratios derived from several transitions cannot be used to establish possible deviations from the LTE conditions (see also discussion in Sect. 6.3). However, an estimate of the excitation gas temperature and column density can be made from a population diagram (Goldsmith & Langer 1999) provided that the range of line energy used in such a diagram is large enough and that we are not too far from the optically thin case. (Deviations from the optically thin conditions could eventually be corrected at a later stage although this is uncertain as different transitions can have different opacities; such corrections are not attempted here.) We have constructed population diagrams for a few sources in our sample with at least six lines and with known velocity-integrated flux densities using the spectra extracted for an aperture diameter of 0''.08 from our spectral cubes. A linear least-squares fit to the data in various vibrational states gives the excitation temperature,  $T_{\text{ex}}$ , and the quantity  $\ln(N/Q)$  where  $N$  is the column density and  $Q$ , the partition function. The fit accounts for the uncertainty in the observed integrated flux density and we assume that each line uniformly fills a circular aperture of 0''.08 in diameter with a rough estimate of the filling factor uncertainty. The H<sub>2</sub>O partition function includes the spin degeneracy (3/4 and 1/4 for ortho

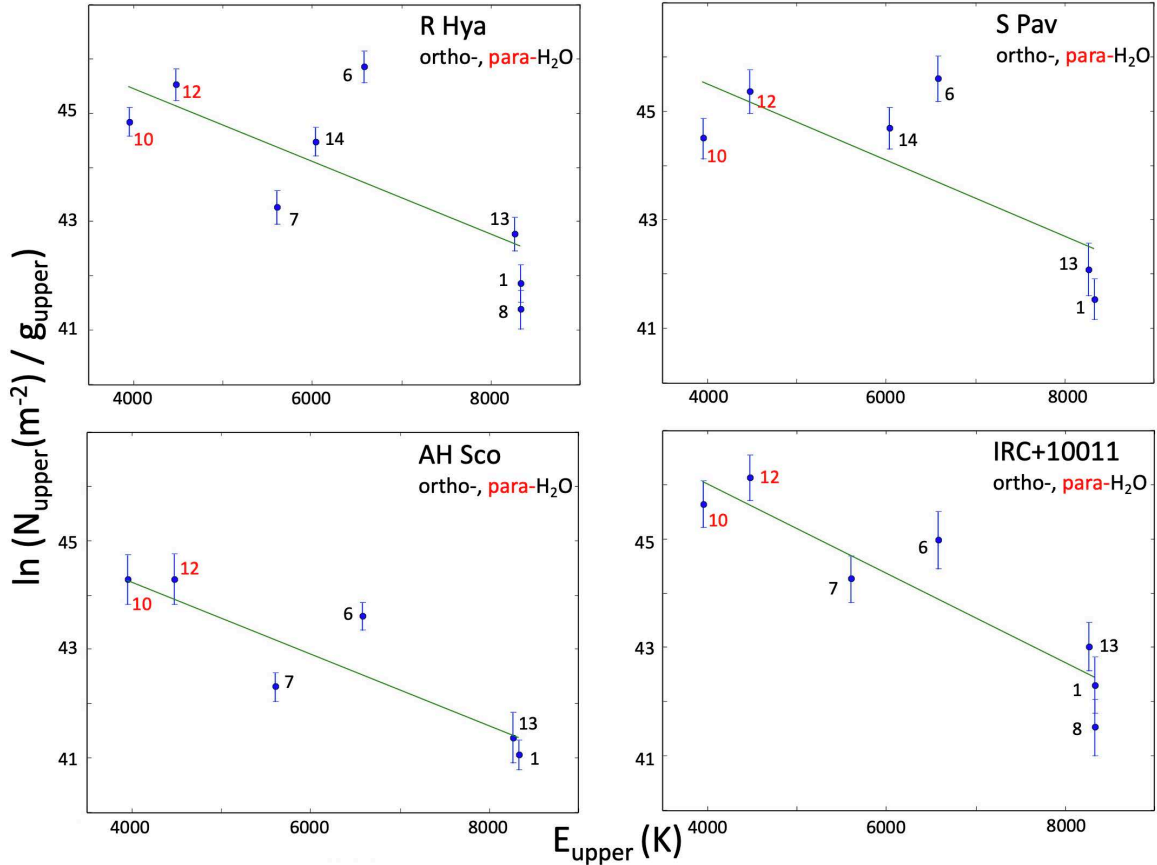
and para H<sub>2</sub>O) and does not separate the rotational from the vibrational partition functions for a better accuracy (Polyansky et al. 2018).

Examples of population diagrams for the observed ortho and para H<sub>2</sub>O emission lines are given in Fig. 11 using the high resolution data all observed within a few weeks and thus not affected by variability. In AH Sco and IRC+10011 we have excluded line number 14 which is masing (the corresponding data points lie well above the least squares line fit). Line 5 shows absorption only and is not used in our population diagrams. We derive  $T_{\text{ex}}$  in the range  $\sim 1000$ – $1500$  K for all sources and column densities  $N(\text{H}_2\text{O}) \sim 0.6, 2.2, 2.5$  and  $4.5 \times 10^{20} \text{ cm}^{-2}$  in AH Sco, R Hya, S Pav and IRC+10011, respectively. In R Aql where the dispersion of the data points is larger than in the other four sources we derive  $N(\text{H}_2\text{O}) \sim 5.8 \times 10^{20} \text{ cm}^{-2}$ . The uncertainty in the least squares fit slope may result in a temperature uncertainty as high as  $\sim 700$  K at least (and higher in R Aql) but we note that, because the partition function varies monotonically with the temperature, this does not impact the estimate of the column density. The actual uncertainty in the projected density is difficult to evaluate, however. It is not very sensitive to uncertainties in the integrated line intensity used to derive  $N$  whereas the number of transitions and the range of energy covered in the population diagrams have an important impact. Discarding any line in the R Hya diagram for example, does not change significantly the  $N(\text{H}_2\text{O})$  estimates and, from random trials we suggest a statistical uncertainty of the column density at the level of  $\sim 25\%$ .

The column density can be used to estimate the average water number density, provided the extent of the emission is known. In R Hya, the water emission has an extent of at least 3 times the continuum and from maps in different H<sub>2</sub>O transitions the likely depth of the water region is  $\sim 60$ – $90$  mas. At a distance of  $\sim 150$  pc, the R Hya extent corresponds to 9–13 au which gives an average density of roughly  $(1.1$ – $1.6) \times 10^6 \text{ cm}^{-3}$ . For a fractional water abundance of  $3 \times 10^{-5}$  (used in Sect. 7) the H<sub>2</sub> density is then  $(3.7$ – $5.3) \times 10^{10} \text{ cm}^{-3}$  which is commensurate with the critical density derived in the next section. Our estimate of the H<sub>2</sub> density in the inner regions of R Hya and in other sources remains uncertain, however, because of the difficulty in deriving a reliable emission water extent and since the H<sub>2</sub>O/H<sub>2</sub> fractional abundance used here is debatable.

The opacity at the line center,  $\tau_c$ , can be estimated from the quantity  $N/Q$  and the excitation temperature determined above, the energy of the transition and the observed line width. We derive  $\tau_c \sim (5.145 \times 10^{-4} \times A_{21} \int n_2 dl) / (\nu^2 \times \Delta\nu_0 \times T_{12})$  where  $\nu$  is in GHz for the transition from level 2 (upper) to 1 (lower),  $A_{21}$  the spontaneous emission rate in  $\text{s}^{-1}$ ,  $T_{12}$  the line excitation temperature,  $\Delta\nu_0$  the line width at half intensity and  $\int n_2 dl$  the integrated density in  $\text{cm}^{-2}$ . The latter quantity is obtained from the integrated Boltzmann population of the upper energy level using  $N/Q$  and  $T_{12}$  determined from the population diagrams. In R Hya, for example, we derive  $\tau_c \sim 0.25, 0.3, 0.15$  and  $0.1$  for lines 1, 10, 12 and 13, respectively. We point out that at 268.149 GHz (line 14) where maser excitation can be observed in some stars, our models indicate that negative opacities may become large (see Sect. 7). In R Hya, however, line 14 does not seem to be masing, or is not strongly masing, and we derive from our population diagram  $\tau_c \sim 0.45$  which is larger than the above opacities. In general,  $\tau_c$  remains relatively small in other sources. However, for the low energy levels of line 10, we get  $\tau_c \sim 0.4$  in S Pav and even 0.75 in the thicker shell of IRC+10011.

Line 5 at 244.330 GHz shows only absorption which can be used to make another estimate of the gas opacity in the region



**Fig. 11.** Population diagrams for ortho and para H<sub>2</sub>O transitions (black and red numbers, respectively) in R Hya, S Pav, AH Sco and IRC+10011. The number near each data point corresponds to the numbering used to identify each line in Table 2. The vertical bar in each data point includes the  $\pm 1\sigma$  formal error of the integrated flux density and a rough estimate of the filling factor uncertainty. The green line is the regression line across the data points from which the rotational temperature and the column density are derived (see Sect. 5.2). The strong 268.149 GHz maser line (line 14) is excluded from the IRC+10011 and AH Sco plots while it is kept in R Hya and S Pav.

where this line is excited. Toward R Hya, S Pav and R Aql, the absorption peak flux density reaches  $-8$  to  $-10$  mJy against the continuum source (Fig. 5) and the line excitation temperature must be below the continuum brightness temperature which, for Band 6 in R Hya, is  $\sim 2500$  K (Homan et al. 2021). We derive  $\tau_{244} \sim 0.015-0.08$  for an excitation temperature close to gas temperatures in the range  $1000-2000$  K as predicted in models (e.g., Gobrecht et al. 2016). We also expect small opacities at 244.330 GHz in S Pav and R Aql for continuum and excitation temperatures similar to those in R Hya.

### 5.3. Critical density

A full interpretation of all results in Table 5 requires a detailed modeling of water transitions up to the highest energy levels and is not attempted here (but see Sects. 6 and 7 for discussion on maser amplification). However, we can estimate for various H<sub>2</sub>O lines the critical density,  $n_c$ , above which collisions with H<sub>2</sub> dominate over spontaneous radiative de-excitation. This is done by comparing the spontaneous emission rates and collision rate coefficients for all downward transitions connected to the upper level of the transition under consideration (see discussion in Faure & Josselin 2008). Adopting the collisional rate coefficients in Faure & Josselin (2008) for a range of different rotational transitions in, for example, the (0,1,0) vibrational state, we get  $n_c \sim 5-2 \times 10^{10} \text{ cm}^{-3}$  for temperatures  $\sim 1000-4000$  K,

including the 658.007 GHz transition discussed in Sect. 6.3. Another rough estimate for the widespread transition at 268.149 GHz in the (0,2,0) vibrational state gives  $n_c \sim 10^{11} \text{ cm}^{-3}$ . These estimates and the H<sub>2</sub> densities considered in the chemistry models above the photosphere in regions  $\sim 1-10 R_\star$  of typical AGBs (e.g., Cherchneff 2006; Gobrecht et al. 2016) suggest that collisions become the prevalent excitation process in the post-shock gas layers where the rate of formation of water from OH is optimal. The above considerations cannot replace of course multilevel radiative transfer models including exact collisional rates of water with H<sub>2</sub>. Such models are needed to explain supra-thermal excitation of water and why some water transitions are masing in the circumstellar envelopes of AGBs (see Sect. 7).

### 5.4. Gas motion in the inner-wind region

The motion of circumstellar gas toward and beyond the photosphere of an evolved late-type star is the result of complex interactions between shock-driven pulsations, gravity and radiation pressure in the regions where new molecules and dust grains are formed. Radial motions, even if they are dominant during the pulsation cycles of the central object, can be perturbed by random motions within the inner-wind region or by gravitational interactions with a companion. Some of these questions are briefly discussed below in the light of our water line data.

In spite of a modest velocity resolution, the Gaussian component analysis of the most compact regions of water emission presented in Sect. 4.5 shows that an outflow or infall of gas can be traced in the 262.898 and 268.149 GHz lines. We find that the emission can be asymmetrically distributed around the central star and we observe velocity gradients or gas motions with an amplitude of several  $\text{km s}^{-1}$ . An infall of matter toward the central star is directly suggested from our 244.330 GHz spectra which show a  $\sim 6\text{--}9 \text{ km s}^{-1}$  redshift with respect to the systemic stellar velocities in R Hya, S Pav and R Aql (Fig. 5), and from the 244.330 GHz absorption maps in front of the same stars (Fig. 8). The gas infall interpretation is also strengthened by the inverse P Cygni profiles of the  $v = 1$ , CO(2–1) transition in R Hya, S Pav and R Aql (Fig. 5) and by line maps showing a close spatial association of the CO and water molecular species within a few stellar radii (Fig. 9). We further note that inverse P Cygni profiles similar to our CO(2–1) profiles have been observed with ALMA in  $v = 1$ , CO(3–2) toward R Aqr and R Scl by [Khoury et al. \(2016\)](#) who concluded that the gas falls on these objects within  $\lesssim 3 R_*$  with a velocity of  $7\text{--}8 \text{ km s}^{-1}$  (and a dispersion of  $4 \text{ km s}^{-1}$ ). They also derived, in the molecular layers before the wind starts, densities on the order of a few  $10^{10} \text{ cm}^{-3}$  to  $\sim 4 \times 10^{11} \text{ cm}^{-3}$  which are comparable to or above the critical density estimated in Sect. 5.3. Infall velocities of  $\sim 5\text{--}10 \text{ km s}^{-1}$  magnitude estimated from our water and CO radio line observations, and from the CO lines in [Khoury et al. \(2016\)](#) are close to model predictions. In particular, the models developed by [Nowotny et al. \(2010\)](#) to synthesize the observed CO or CN infrared line profiles and to match the velocity variations observed in the dust-free  $\sim 1\text{--}2 R_*$  region of AGBs require infall/outflow velocities of  $\sim 5\text{--}10 \text{ km s}^{-1}$ . Motions of deeper and pulsating gas layers were studied from the CO near-infrared overtone bands (e.g., [Hinkle et al. 1984](#)). We also point out that in complex stellar systems, such as the symbiotic star R Aqr, the gas motions are affected by the presence of the stellar companion (e.g., [Bujarrabal et al. 2013](#)).

The water line velocity of the peak emission extracted from the observations provides an estimate of the systemic stellar velocity but little information on the atmospheric dynamics. To tentatively compare the observed radial velocity information with models we use the total velocity range between the red and blue extremes of each line,  $\Delta v$ , as defined in Sect. 2.2 and given in Table 5. We first point out that in most cases the emission at extreme velocities is within  $0''.04$  of the star and thus, using for spectra an extraction aperture diameter larger than  $0''.08$  would not change  $\Delta v$  significantly; in fact, similar  $\Delta v$  is usually measured in an aperture half that size. Occasionally, a smaller  $\Delta v$  can be measured from a larger aperture or from lower resolution data, which must be due to beam dilution or imaging artifacts, including absorption which sometimes occurs, or, if considering mid resolution data, due to the lower sensitivity of these data. Examination of the channel maps resolves these apparent issues. Using Table 5, we find that for each detected transition and for each star,  $\Delta v$  is always  $\gtrsim 5 \text{ km s}^{-1}$  and may reach  $\sim 15\text{--}30 \text{ km s}^{-1}$  at 268.149 GHz with the highest values in the supergiants AH Sco and VX Sgr. Assuming that the line profiles are grossly symmetric about the stellar velocity and that the velocity fields are not strongly asymmetric, the maximum outflow/infall velocity can be estimated from  $0.5 \times \Delta v$  which gives  $\lesssim 12 \text{ km s}^{-1}$  in AGBs and  $\lesssim 13\text{--}15 \text{ km s}^{-1}$  in AH Sco and VX Sgr. Another way to estimate the outflow and infall velocities is to take the most blueshifted and redshifted velocities of the water lines as defined in Sect. 2.2 to determine the velocity extent. These velocities vary with the star and the line being examined (the 268.149 GHz transition

often gives the largest velocities). Overall, the range of outflow and infall velocities covers around  $(-3\text{--}15)$  and  $(2\text{--}15) \text{ km s}^{-1}$ , respectively; there is no clear difference between the AGB stars and AH Sco and VX Sgr. These outflow/infall velocities are in accord with the radial pulsation modes described in the nonlinear models of [Ireland et al. \(2011\)](#), predicting expansion velocities at such velocities and shocks propagating even faster), and with the maximum velocity of  $\sim 10 \text{ km s}^{-1}$  used in the AGB models of [Bladh et al. \(2019\)](#). Comparing the observations with models remains uncertain, however. We note that, in addition to sensitivity limitations, an observed narrow velocity range, for example, could be due to the clumpy and irregular nature of the mass loss phenomenon that we are sampling. The larger values of  $\Delta v$  could also be influenced by interactions with a companion (see below).

In a few stars the blue and red wing line velocities of the 268.149 GHz spectra exceed the  $v = 0$ , CO(2–1) line wings although, as shown in [Gottlieb et al. \(2022\)](#), the ATOMIUM observations provide high sensitivity to low level CO emission. Such a comparison may, however, still be hampered by the dependence of the CO velocity range on sensitivity and by the fact that the interpretation of the 268.149 GHz line profile may be complicated by maser action (e.g., variable pumping and beaming conditions over a given region may give rise to asymmetric line wings). Nevertheless, we observe that, for the high resolution data, the 268.149 GHz water line wing emission exceeds that of CO(2–1) in RW Sco, T Mic, U Her, AH Sco and R Aql. (In the latter source both the red and blue water line wing velocities exceed the CO(2–1) emission.) This finding is reminiscent of weak  $v = 1$ , SiO(2–1) maser features observed by [Cernicharo et al. \(1997\)](#) and [Herpin et al. \(1998\)](#) at velocities exceeding the  $v = 0$ , CO(2–1) velocity range. The high velocity emission of water reported here could be explained in different ways, including rotation, asymmetrical gas expansion (potentially explaining blueshifted components in front of the star, if observed), turbulence or kinematic perturbations by a companion. The surface rotational velocities of evolved stars are expected to be small although models (e.g., [Ireland et al. 2011](#)) consider that the stellar surface velocities can reach  $\sim 10\text{--}20 \text{ km s}^{-1}$ . Slow surface rotation is observed indeed in R Dor for which [Vlemmings et al. \(2018\)](#) suggest a probable acceleration by a nearby companion and, in Betelgeuse and its close envelope which exhibit a slow equatorial velocity of a few  $\text{km s}^{-1}$  ([Kervella et al. 2018](#)). The high velocity emission present in our data is most probably due to the impact of a stellar or planetary companion on the local gravity and/or to the complex and irregular nature of the inner wind where the 268.149 GHz line of water is excited. Binarity, depending on the mass ratio and other model parameters, was shown to be dominant in shaping the wind of the AGB stars and RSGs and can lead to a high speed emission of the gas close to the star out to a hundred or more stellar radii ([Decin et al. 2020](#); [Gottlieb et al. 2022](#))<sup>10</sup>.

## 6. Widespread emission at 262.898 and 268.149 GHz

Lines 12 and 14 at 262.898 and 268.149 GHz in the vibrational states (0,1,0) and (0,2,0) are the two strongest and most widespread transitions in this work (see Table 5 and Appendix B). Their line peak and line profile variability are discussed below.

<sup>10</sup> It is worth adding that interpretation of the ALMA observations in terms of binarity is well documented in L<sub>2</sub> Pup and  $\pi^1$  Gru ([Kervella et al. 2016](#); [Homan et al. 2020](#)).

**Table 7.** Dates of observations with the main and ACA arrays and associated optical phases (in parentheses) of the variable 268.149 GHz H<sub>2</sub>O sources.

Star	Pulsation period <sup>(a)</sup> (days)	Date and optical phase		Mid/Ext peak flux ratio <sup>(c)</sup>	Date and optical phase ACA <sup>(b)</sup>
		Mid config. array <sup>(b)</sup>	Extended config. array <sup>(b)</sup>		
U Her	402	2018 10 14 (0.13) 2019 08 24 (0.92)	2019 07 06 (0.80)	34	–
GY Aql	468	2018 11 13 (0.65)	2019 07 08 (0.15)	1.7	2021 09 14 (0.80)
AH Sco	738	2018 11 22 (0.32)	2019 07 08 (0.73)	0.1	–
VX Sgr	790	2018 11 22 (0.20)	2019 07 09 (0.45)	20	–
RW Sco	389	2018 11 22 (0.65)	2019 07 06 (0.20)	1	–
R Aql	268	2018 11 18 (0.38) 2018 11 19 (0.38)	2019 07 06 (0.22)	0.6	2021 09 13 (0.20)

**Notes.** <sup>(a)</sup>Pulsation period from [Gottlieb et al. \(2022\)](#) except for VX Sgr for which the period estimated from the AAVSO (<https://www.aavso.org>) light curve data is preferred. <sup>(b)</sup>Date in year-month-day format and optical phase given in parentheses. Optical phase uncertainty can be  $\sim 0.1$  (as suggested from uncertain maxima or minima in AAVSO light curves). <sup>(c)</sup>Ratio of the mid array peak flux density to the extended array peak flux density at the dates given in the third and fourth columns for spectra extracted with  $0''.4$  aperture diameter. See also spectra in Figs. 12, 13 and 15.

### 6.1. Time variability

Time variability in any of the circumstellar water lines observed here, once demonstrated, suggests changing physical conditions. In the pulsating and shocked environment of the ATOMIUM O-rich stars we expect indeed changing line excitation conditions and, hence, some variations in the water line peak intensity and/or profile. In weak lines, however, small deviations from the quasi-LTE excitation conditions may be difficult to demonstrate. Nevertheless, based on their integrated line intensity measurements in  $v_2 = 1$  and 2 transitions of water, [Velilla-Prieto et al. \(2017\)](#) reported tentative line variability in IK Tau. We point out that while variations with quasi-LTE excitation conditions are difficult to prove, variations with maser-type excitation conditions may be easier to identify. In masers, time variability of the line emission peak and profile become more probable since changing physical conditions in the circumstellar gas may result in amplified variations of the stimulated emission, provided that the line is not highly saturated. (Analysis of the molecular light polarization would also help to characterize any maser action; this is not possible here and would deserve dedicated observations with ALMA or other facilities.)

#### 6.1.1. 268.149 GHz line variability

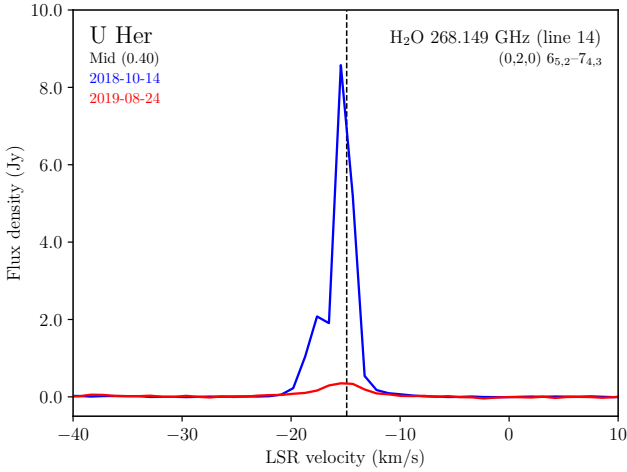
There is no systematic monitoring of the 268.149 GHz line emission in this work. However, time variability can be addressed by comparing the mid- and extended-configuration spectra of the main array obtained at two different epochs and for the same  $0''.4$  aperture diameter. We observe markedly different peak flux density ratios at the two epochs of the observations in U Her, GY Aql and the two RSGs AH Sco and VX Sgr (Table 7) and there is a secondary line feature near  $-17.1 \text{ km s}^{-1}$  in U Her (Fig. 12). Table 7 gives the dates and optical phases of the observations together with the ratio of the mid to extended peak flux density ratio. RW Sco is added to Table 7 despite the peak flux density ratio is equal to one because the line shape is very different at the two epochs of the main array observations. The ACA observations of GY Aql and R Aql, also summarized in Table 7, are presented later in this section.

Variability toward U Her is the most accurately documented case because a similar mid configuration of the main array antennas was used in both October 2018 and August 2019. Comparing the spectra at these two epochs (corresponding to the optical

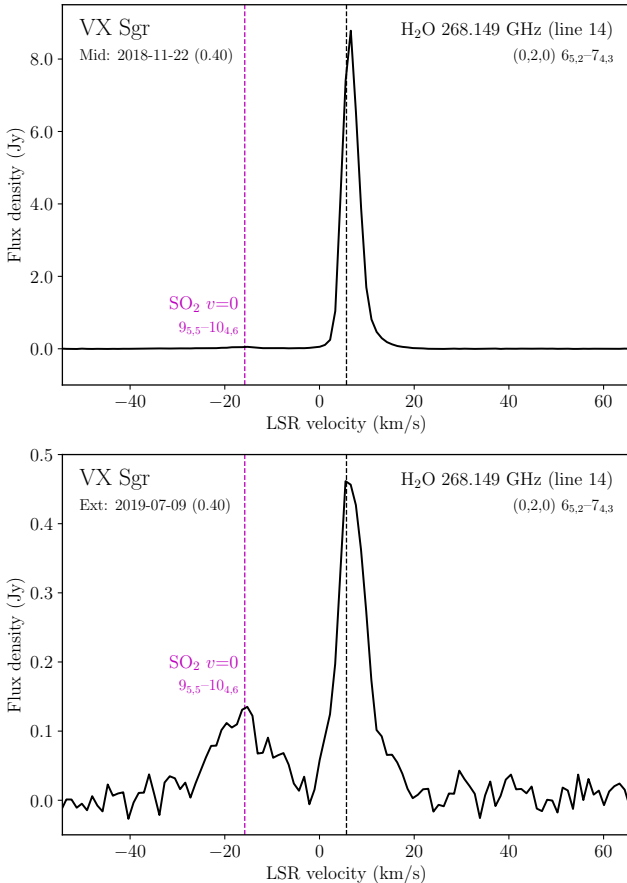
phases  $\sim 0.13$  and  $\sim 0.92$ ), the peak flux changed by a factor of  $\sim 24$  (Fig. 12). In addition, despite our modest  $\sim 1.1 \text{ km s}^{-1}$  resolution, the stronger emission spectrum of 2018 exhibits a secondary feature around  $-18 \text{ km s}^{-1}$  next to the main line emission suggesting multicomponent excitation. Spectral narrowing of the main line feature is discussed in Sect. 6.2. There is also a clear difference in the angular extent of the 268.149 GHz emission observed in October 2018 and August 2019 as shown in our zeroth moment maps (Fig. 14). The area within the  $5\sigma$  contour of the emission in October 2018 is typically  $\sim 3.5$  times greater than the area within the  $5\sigma$  contour in August 2019 when the emission was weaker. (There is still the possibility, however, that these two different mid-configurations of the array are not equally sensitive to extended weak components.) In addition, Fig. 14 shows an emission blob at the SW of the main source in the October 2018 data when the emission activity is greater<sup>11</sup>.

Our 2021 ACA observations of GY Aql and R Aql provide an additional epoch to assess the variability of the 268.149 GHz line emission in these two sources. To support the idea that the ACA and ATOMIUM main array data can be compared, we have extracted spectra for these two sets of data using different circular apertures encompassing all detected emission. The ACA spectral windows include (see Sect. 2.3), in addition to H<sub>2</sub>O lines and to the probably masing  $v = 1$ , <sup>29</sup>SiO(6–5) transition, two relatively strong transitions of  $v = 0$ , HCN(3–2) and  $v = 0$ , SO(5<sub>6</sub>–4<sub>5</sub>) at 265.886 and 251.826 GHz, respectively. We find that the ACA and mid configuration line profiles and peak flux densities in HCN and SO of both GY Aql and R Aql are nearly identical, thus demonstrating that the ACA can recover the same total emission as the mid configuration of the main array. A similar conclusion is obtained for GY Aql in the  $v = 0$ , SiS(14–13) line at 254.103 GHz. We have thus used these results to compare the 268.149 GHz line emission spectra from the ACA and the mid and extended configuration (Fig. 15). In GY Aql, the 268.149 GHz line was only barely detected by the ACA at optical phase 0.8 (Table 7). The  $3\sigma$  level flux density,  $72 \pm 24 \text{ mJy}$  at  $\sim 32 \text{ km s}^{-1}$ , is significantly weaker than our detection with the extended configuration in July 2019 at the optical phase of  $\sim 0.2$ .

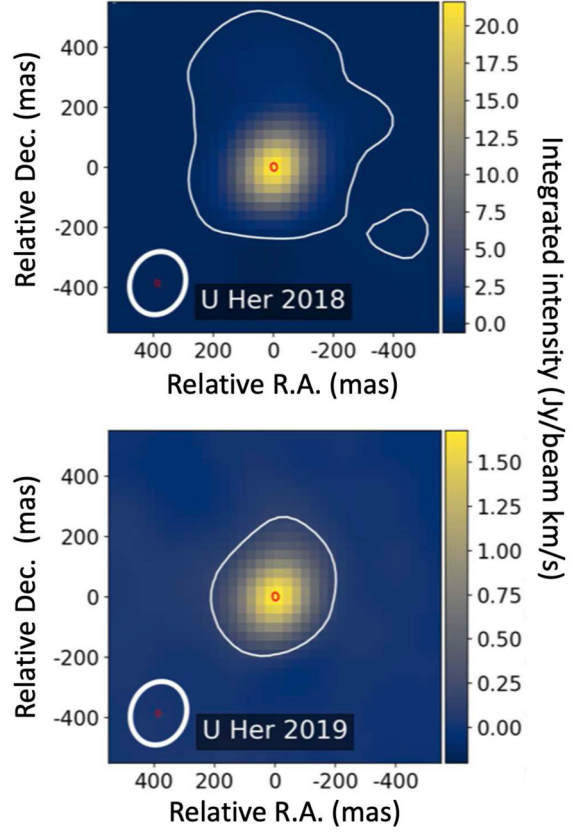
<sup>11</sup> The ATOMIUM observations of U Her show extended thermal SiO emission in this SW blob. Extended emission in similar directions was also been observed in 22.235 GHz water masers over more than 24 yr ([Richards et al. 2012](#)).



**Fig. 12.** Comparison of the 268.149 GHz line profiles in U Her observed with the mid configuration of the main array in Oct 2018 and Aug 2019 (blue and red curves, respectively). The spectra are extracted for an aperture diameter of  $0''.4$ ; the spectral resolution is  $\sim 1 \text{ km s}^{-1}$ . The vertical line shows the systemic stellar velocity. The mom 0 emission maps at these two epochs are presented in Fig. 14.



**Fig. 13.** Comparison of the 268.149 GHz line profiles extracted for an aperture diameter of  $0''.4$  in VX Sgr observed at  $\sim 1 \text{ km s}^{-1}$  resolution in November 2018 and July 2019 with the mid and extended configurations of the main array (upper and lower panels, respectively). The weak feature near  $-20 \text{ km s}^{-1}$  in the 2019 spectrum corresponds to the  $v = 0$ ,  $J_{K_a, K_c} = 9_{5,5}-10_{4,6}$  transition of  $\text{SO}_2$  at 268168.335 MHz; it is also barely seen in the 2018 mid spectrum. The vertical lines are at the adopted new LSR systemic velocity.

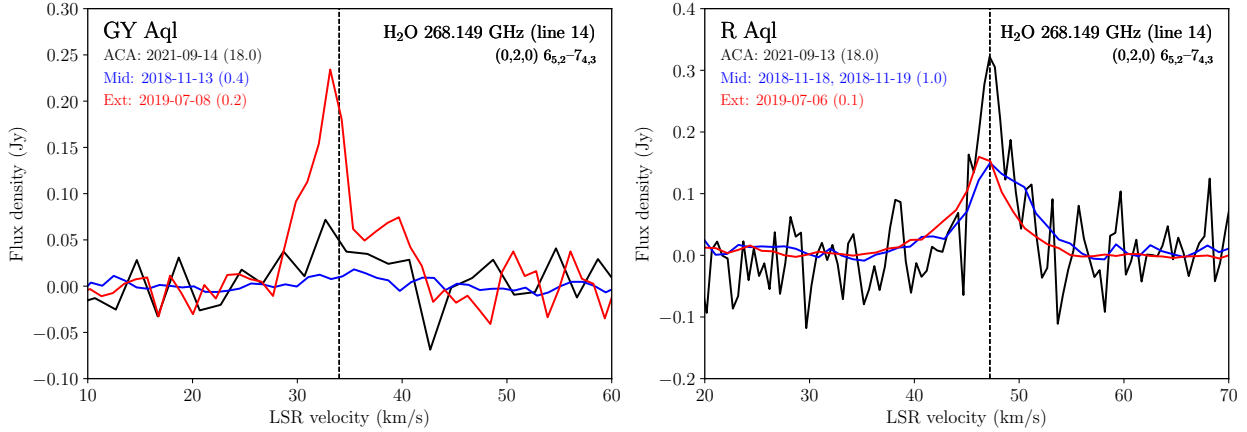


**Fig. 14.** Zeroth moment maps of the 268.149 GHz emission of water in U Her obtained with the mid configuration of the main array at two different epochs, 2018, Oct. 14 and 2019, Aug. 24. The emission is integrated over the  $-21.6$  to  $-9 \text{ km s}^{-1}$  velocity range. The 2019 image has been convolved with the larger 2018 image beam shown in the lower left of each panel ( $0''.21 \times 0''.18$ ). In both maps the noise is  $0.02 \text{ Jy beam}^{-1} \text{ km s}^{-1}$  and the  $5\sigma$  emission contour is shown as a white light contour. The map maxima are  $21.6$  and  $1.67 \text{ Jy beam}^{-1} \text{ km s}^{-1}$  in 2018 and 2019, respectively. The small red contour at the image center is the 50% continuum emission from the high resolution data. Note the SW blob emission in the 2018 map (see Sect. 6.1.1).

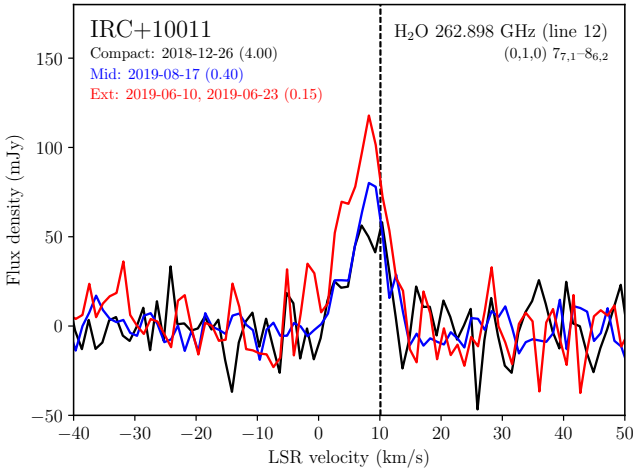
This line was buried in the noise of the mid-configuration observations in November 2018 at the optical phase of  $\sim 0.7$  very close to that of our ACA observations. In R Aql (Fig. 15), the ACA peak emission at the optical phase of 0.2 (Table 7) is stronger than the mid and extended configuration peak emission at the optical phases of  $\sim 0.4$  and 0.2, respectively; the spectrum also peaks at a slightly different LSR velocity.

### 6.1.2. 262.898 GHz line variability

There is no clear evidence of time variability in the ATOMIUM data at 262.898 GHz (line 12) when we compare the peak line flux density of detected sources at different epochs. In addition, the line 12 profiles show a dominant quasithermal line excitation in agreement with our population diagram results in Sect. 5.2. However, toward IRC+10011, a close examination of the spectra acquired with the extended, mid and compact configurations of the main array at different dates (observations of June, Aug. 2019 and Dec. 2018) shows a time-varying emission where the line flux density has changed by a factor of  $\sim 2$  over the time span of half a year (Fig. 16). This result suggests that even though



**Fig. 15.** Comparison of the 268.149 GHz line profiles in GY Aql and R Aql observed with the ACA and the mid and extended main array configurations. The ACA spectral resolution has been degraded here to 2 and 0.5 km s<sup>-1</sup> (GY Aql and R Aql, respectively) for comparison with the  $\sim 1$  km s<sup>-1</sup> resolution of the mid and extended configurations of the main array. The epoch of the observations are indicated in the figure labels as well as the diameter of the extraction aperture given in parentheses (18'0, 0'4 and 0'2 for GY Aql and 18'0, 1'0 and 0'1 for R Aql). The vertical lines show the systemic stellar velocity.



**Fig. 16.** Comparison of the 262.898 GHz line profiles in IRC+10011 observed at different epochs with the compact, mid and extended configurations of the main array. The epoch of the observations and the diameter of the extraction apertures (4'0, 0'4 and 0'15) are shown in the figure labels. The spectral resolution is  $\sim 1.1$  km s<sup>-1</sup>. The vertical line shows the systemic stellar velocity.

thermal emission dominates in the line 12 profile, some maser-like emission may also coexist in our data. Maser models show indeed that there are favorable conditions in the stellar environment to invert the line 12 population levels in the  $v_2 = 1$  state (see Sects. 6.4 and 7).

## 6.2. Line narrowing at 268.149 GHz

In addition to 268.149 GHz intensity variations in U Her and VX Sgr (Figs. 12 and 13), we have observed a clear narrowing of the full width at half maximum, by a factor of  $\sim 2$ , when the line is stronger. There is no direct evidence of significant line narrowing in other targets, including AH Sco the strongest 268.149 GHz source which shows similar narrow line widths at the time of the 2018 and 2019 observations. In U Her (Fig. 12), accounting for the 1.1 km s<sup>-1</sup> instrumental line broadening, the intrinsic line width of the main 268.149 GHz spectral component

is around 4.3 and 2.0 km s<sup>-1</sup> in Aug. 2019 and Oct. 2018, respectively. When the emission is stronger (Oct. 2018), the narrowed line width is comparable but slightly below the 2.0–2.5 km s<sup>-1</sup> Doppler line width derived for a kinetic temperature in the range  $\sim 1500$  to 2500 K. Such a line narrowing suggests maser action in an unsaturated maser. However, since a discussion of maser saturation is impossible with our ATOMIUM data alone, an estimate of the 268.149 GHz opacity at the line center from these line widths is uncertain. In VX Sgr (Fig. 13), the observed line widths at half intensity, corrected for instrumental broadening, are  $\sim 3.7$  and 6.4 km s<sup>-1</sup> at the time of the stronger (2018) and weaker (2019) emission, respectively. Such line widths are broader than the expected thermal line profiles and likely to be blends of emission from individual H<sub>2</sub>O clumps along the line of sight due to the velocity gradients in the circumstellar material.

To indirectly identify a possible sign of 268.149 GHz line narrowing in unsaturated masers despite our moderate spectral resolution, we have compared the line width at half intensity of this transition with other water lines in the same source. This approach is limited, however, since line widths alone cannot be used to prove or disprove maser action and the conditions leading to line rebroadening or inhibiting line broadening of saturated masers are difficult to assess. Nevertheless, in addition to U Her and VX Sgr discussed above, we observe that the 268.149 GHz line widths lie in the range  $\sim 2.0$ –4.5 km s<sup>-1</sup> toward AH Sco, IRC+10011, IRC-10529, RW Sco, GY Aql, and R Aql while other detected water transitions are generally broader. In AH Sco, for example, the line width is  $\sim 2.5$  km s<sup>-1</sup> at 268.149 GHz and  $\sim 5$ –7 km s<sup>-1</sup> in all other water transitions. On the other hand, V PsA and R Hya do not exhibit narrow 268.149 GHz line profiles ( $\sim 14.5$  and 8.5 km s<sup>-1</sup> at half intensity, respectively) and in R Hya our population diagram (Fig. 11) shows that there is no strong deviation from LTE (and hence no dominant maser amplification in this source). An additional sign of maser action is provided if a secondary narrow spectral feature is identified. This is observed in the 268.149 GHz spectra of GY Aql, U Her and S Pav near 38.1,  $-17.1$  and  $-6.7$  km s<sup>-1</sup>, respectively, without any apparent confusion with other molecular transitions. Maser lines can be narrower than 1 km s<sup>-1</sup>, so, together with the extended array, a higher spectral resolution would be desirable to reveal the presence of multiple

unresolved spectral components as suggested by the asymmetrical 268.149 GHz line profile in S Pav.

### 6.3. 268.149 GHz line excitation

The (0,2,0)  $6_{5,2}-7_{4,3}$  transition of water at 268.149 GHz is widespread in evolved stars since it is observed in 15 out of the 17 objects of the ATOMIUM sample to which one must add three other sources not included in our sample, VY CMa with strong emission first reported by Tenenbaum et al. (2010), IK Tau with weak emission first mentioned by Velilla-Prieto et al. (2017) and W Hya with strong maser emission recently reported by Ohnaka et al. (in prep.). In VY CMa, the line peak flux density reached  $\sim 650$  Jy which suggests strong maser amplification. In all sources of the ATOMIUM sample the 268.149 GHz transition is always the strongest water line (see Table 5) and, in AH Sco and IRC+10011, the observed peak flux density reaches  $\sim 70$  and 2 Jy at the epoch of the observations. The brightness temperature,  $T_b$ , derived from the peak flux density in our channel maps is  $\geq 1-6 \times 10^3$  K and reaches  $\sim 4.4 \times 10^4$  K and  $1.1 \times 10^6$  K in IRC+10011 and AH Sco, respectively (Table 6). Even higher values of  $T_b$  are obtained in these two stars and in U Her from the Gaussian component analysis described in Sect. 4.5. Such high brightness temperatures indicate maser-type amplification of the 268.149 GHz emission since they are greater than the expected kinetic temperature in the preshock or postshock inner gas layers where this line is excited. A trend toward non-LTE conditions is also suggested from the ratio of the peak flux densities at 268.149 and 254.053 GHz,  $S_{268}/S_{254}$ . Both transitions lie in the same (0,2,0) vibrational state and their LTE line opacity ratio,  $(\tau_{268}/\tau_{254})_{\text{LTE}}$ , is  $\sim 0.9$  for an excitation temperature of  $\sim 300$  K. The exact line excitation temperature and opacity of the observed lines are not modeled here but we point out that the observed flux density ratio,  $S_{268}/S_{254}$ , is well above the LTE opacity ratio. Based on the values in Table 5, we derive  $S_{268}/S_{254}$  in the range  $\sim 5$  (IRC-10529) to  $\sim 140$  (IRC+10011), and even much higher in AH Sco where the 254.053 GHz transition is not detected. This suggests that, in general, thermal excitation is not the dominant line excitation process at 268.149 GHz.

From these remarks and our discussion in Sects. 6.1 and 6.2 we conclude that the widespread 268.149 GHz emission observed in evolved O-rich stars tends to be time-variable (e.g., U Her) and masing (e.g., AH Sco) even though quasi LTE line excitation conditions are also observed in some sources (see R Hya and S Pav population diagrams where including line 14 is relevant, Fig. 11).

H<sub>2</sub>O line modeling (see Sect. 7) and any correlation of the 268.149 GHz line parameters with other vibrationally excited H<sub>2</sub>O lines add new insights into the understanding of the nature of the 268.149 GHz emission. In particular, we note that eight of the fifteen 268.149 GHz sources of ortho H<sub>2</sub>O in Table 5, T Mic, RW Sco, R Hya, U Her, AH Sco, R Aql, IRC+10011, VX Sgr, also exhibit emission of the same water species in the most widespread (0,1,0)  $1_{1,0}-1_{0,1}$  transition of H<sub>2</sub>O at 658.007 GHz (Menten & Young 1995; Hunter et al. 2007; Justtanont et al. 2012; Baudry et al. 2018b,a)<sup>12</sup>. Although both transitions are not in the same vibrational state, and despite time variability may hide any potential relationship between these two lines,

<sup>12</sup> VY CMa, IK Tau, and W Hya, not included in our survey, also exhibit emission at both 658.007 GHz (e.g., Hunter et al. 2007; Baudry et al. 2018b), and 268.149 GHz (Tenenbaum et al. 2010; Velilla-Prieto et al. 2017; K. Ohnaka & K.T. Wong, priv. comm.)

the brightest sources at 268.149 GHz tend to show strong emission at 658.007 GHz. The 658.007 GHz transition has a clear tendency to be masing with minimum brightness temperatures  $T_b(658) \sim 10^{4-10}$  K for those sources also exhibiting 22.235 GHz masers (Baudry et al. 2018b). The latter work and Menten & Young (1995) also showed from a comparison of the velocity ranges of the 658.007 GHz and SiO(2-1) maser emissions that the 658.007 GHz transition is excited within or close to the SiO maser-emitting region, that is  $\sim 5 R_*$ .

Interferometric observations at 658.007 GHz were performed by Hunter et al. (2007), but direct demonstration that this line can be inverted comes from the ALMA observations of the RSG VY CMa (Richards et al. 2014) indicating compact,  $T_b \sim 0.3-4 \times 10^7$  K components, that is roughly consistent with a spherical shell<sup>13</sup>. Mapping of AGBs is not available yet at 658.007 GHz, but this line is currently known to be excited in about 60 evolved objects according to our source count and latest 658.007 GHz observations (Baudry et al. 2018a). Since eight of the ATOMIUM sources are also known 658.007 GHz emitters and, because of the relatively large number of identified 658.007 GHz sources, the actual number of 268.149 GHz stellar sources is likely to be larger than reported in the present work. The general physical conditions leading to 268.149 and/or 658.007 GHz maser emission are discussed further in Sect. 7.

### 6.4. 262.898 GHz line excitation

The (0,1,0)  $7_{7,1}-8_{6,2}$  transition of para H<sub>2</sub>O (line 12 in Table 2), is the only rotational transition covered in our observations that arises from the lowest vibrationally excited state of H<sub>2</sub>O in the  $v_2 = 1$  bending mode. Although this line is weaker than the 268.149 GHz transition, the detection rate of both transitions is comparable (12 and 15 objects, respectively, see Table 5). In contrast with the 268.149 GHz line which may show strong maser radiation in some sources, examination of the 262.898 GHz line profiles and the population diagrams in Fig. 11 suggest that line 12 does not exhibit strong non-LTE characteristics. This does not imply, however, that population inversion is impossible as indicated by time variability of some 262.898 GHz spectral features in IRC+10011 (see Sect. 6.1.2), and by the general maser line amplification discussion in Sect. 7.2.

Rotational transitions within the (0,1,0) state are nearly always observed toward evolved stars<sup>14</sup> and, if the (0,1,0)  $7_{7,1}-8_{6,2}$  line of para H<sub>2</sub>O is excited at 262.898 GHz, then one will almost always detect one or more H<sub>2</sub>O lines from the (0,1,0) state. This is supported by ground-based observations of late-type stars at: 658.007 GHz (see discussion in the previous section); 96.261 and 232.687 GHz in VY CMa and W Hya (Menten & Melnick 1989) and, at 232.687 GHz, in VY CMa with ALMA (Quintana-Lacaci et al. 2023); 293.664, 297.439, and 336.228 GHz in VY CMa (Menten et al. 2006; Kamiński et al. 2013) and 336.228 GHz in R Dor and IK Tau (Decin et al. 2018); 232.687 and 263.451 GHz in RS Cnc (Winters et al. 2022). In addition, several  $v_2 = 1$  lines have been observed with

<sup>13</sup> The 658.01 GHz high angular resolution mapping of Asaki et al. (2020) delineates a probable shock front around “clump” C which could have been ejected from the central star, thus highlighting the unusual nature of VY CMa.

<sup>14</sup> Besides evolved stars, the 232.687 GHz line (not in our set-up) in the (0,1,0) state was observed with ALMA in Orion (e.g., Hirota et al. 2012) and in two other star forming regions (Maud et al. 2019; Tanaka et al. 2020). Liljeström et al. (1996) also observed the 96.261 GHz transition in the (0,1,0) state toward two young stellar objects.

*Herschel*/HIFI in various AGB stars and supergiants/hypergiants (Justtanont et al. 2012; Teyssier et al. 2012; Alcolea et al. 2013).

Except for the (0,1,0)  $5_{2,3}$ – $6_{1,6}$  line at 336.228 GHz, all transitions observed in the  $v_2 = 1$  state have an upper level with  $K_a = J$  and  $K_c = 0$  or 1, including the strong 658.007 GHz line. In parallel with the terminology of “backbone” levels used by de Jong (1973) in his overpopulation model of the  $J = K_c$  levels and in his 22.235 GHz ground state maser model, Alcolea & Menten (1993) referred to the  $J = K_a$  upper levels as on the “transposed backbone” and proposed a pumping mechanism that results in a systematic overpopulation of these levels within the  $v_2 = 1$  state. They found that, following collisional pumping to the  $v_2 = 1$  state, some infrared radiative decay routes of these transposed backbone levels to the ground state become more optically thick than the lower, nonbackbone levels for gas density, kinetic temperature and H<sub>2</sub>O column densities typical of the inner circumstellar envelopes. This tends to reduce the radiative decay rates of the levels on the transposed backbone and, hence, results in a systematic overpopulation compared to that in the lower levels. Predicting the efficiency of this mechanism was not possible, however, on the basis of the uncertain collision rates and LVG (large velocity gradient) modeling approximation used in Alcolea & Menten (1993).

It is interesting to note that the ortho H<sub>2</sub>O counterpart of the para H<sub>2</sub>O line at 262.898 GHz (line 12), the (0,1,0)  $7_{7,0}$ – $8_{6,3}$  line at 263.451 GHz (not covered in our frequency setup) has been detected in a few evolved stars. The 263.451 GHz ortho line was first mentioned by Alcolea & Menten (1993) without presenting the spectrum. An emission line at 263.452 GHz was also detected in the line survey of IK Tau by Velilla-Prieto et al. (2017), but the carrier was not identified. Recently, Winters et al. (2022) observed this line in the MS-type AGB star RS Cnc. Modeling of the 262.898 and 263.451 GHz line pair and other line pairs in the (0,1,0) vibrational state are discussed in Sect. 7.2.

## 7. H<sub>2</sub>O maser models

### 7.1. General comments

Competition between radiative excitation and de-excitation of many H<sub>2</sub>O levels together with collisional excitation and de-excitation of H<sub>2</sub>O with H<sub>2</sub>, H and/or electrons<sup>15</sup> may result in a population inversion of various energy levels of water and, eventually, lead to a predominant stimulated emission of radiation in specific transitions; a stimulated emission rate greater than the absorption rate is the prime condition to trigger an astrophysical maser. Population inversion is facilitated in molecules such as water because it has many levels of similar energy (e.g., the  $6_{1,6}$  and  $5_{2,3}$  rotational levels in the ground vibrational state giving rise to the emblematic 22.235 GHz maser emission). Therefore, any radiative transfer model of water must take into account potential inversions of the water line levels. Multilevel calculations up to energies  $\sim 7000$  K in the ground vibrational state were first presented by Neufeld & Melnick (1991) to model the 22.235 GHz maser and predict several other collisionally pumped maser lines throughout the energy ladder. Later, Yates et al. (1997) identified radiatively pumped lines of water and showed that dust radiation tends to weaken the collisionally

<sup>15</sup> Excitation by electrons is expected to be small in AGBs. The mole fraction of electrons estimated from the equilibrium thermochemical data of Agúndez et al. (2020) is  $\lesssim 5 \times 10^{-6}$ . The CODEX models (Ireland et al. 2011), better suited to a dynamic atmosphere, provide an electron content below  $10^{-5}$  except very close to the star where water is not excited.

pumped maser lines in the (0,0,0) vibrational ground state. Sensitivity to the dust temperature of maser lines in the (0,0,0) state is also observed in Bergman & Humphreys (2020). Other models have explored the impact of different collision rates on the maser line opacities (e.g., Daniel & Cernicharo 2013) and, recently, Neufeld et al. (2021) used their collisional pumping models to explain the observation of new THz water lines in the (0,0,0) state toward evolved stars. Infrared radiative pumping in the (0,1,0) vibrational state by hot dust was first considered by Goldreich & Kwan (1974) and, most recently, Gray et al. (2022) have shown that radiative pumping to the (0,1,0) state can also explain the 22.235 GHz maser in the low kinetic temperature regime and at high dust temperatures.

### 7.2. Highly excited water masers

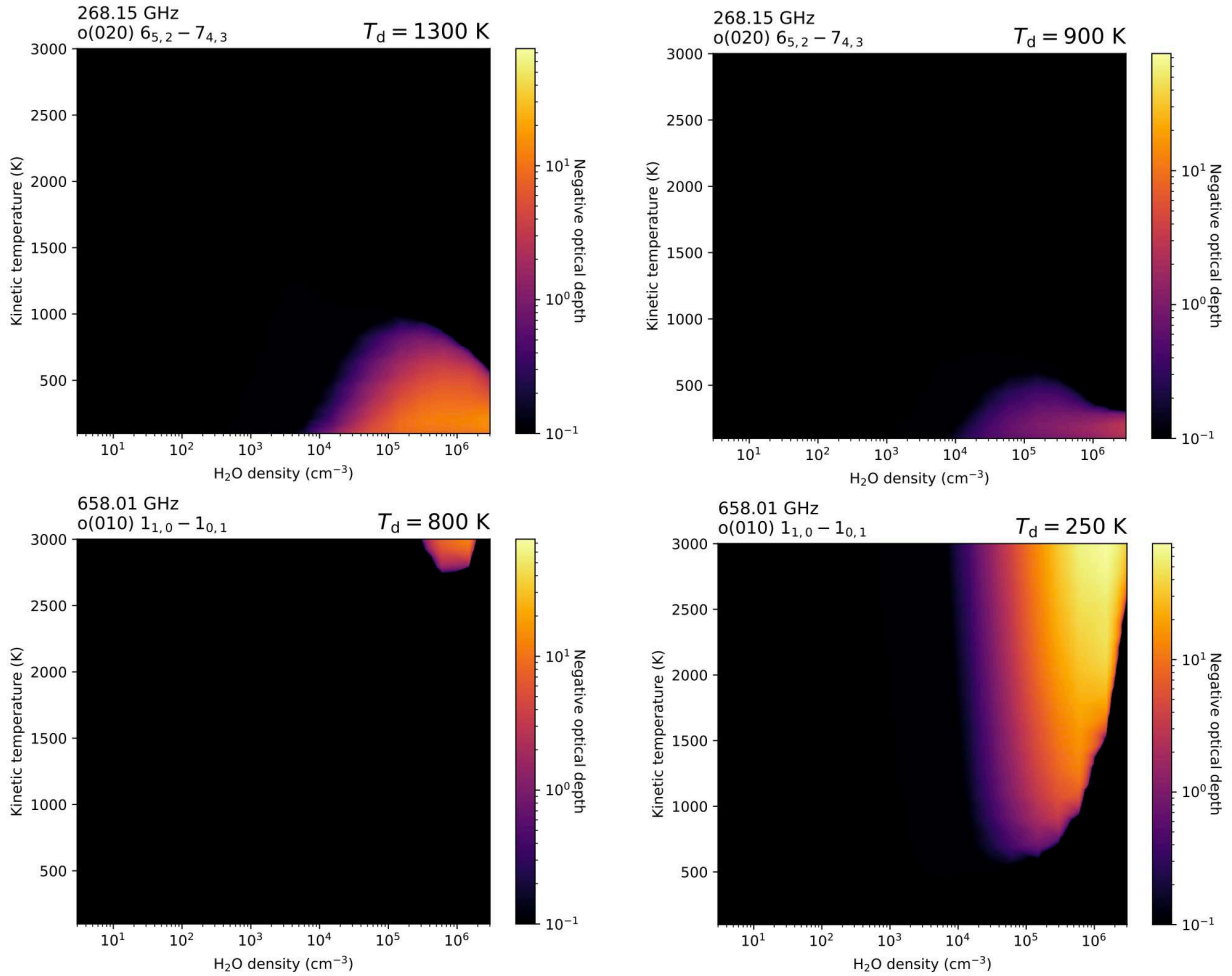
Extensive modeling of water masers was presented in Gray et al. (2016) who predict maser emission in evolved stars for many observable transitions. Their models process 411 and 413 levels of ortho and para H<sub>2</sub>O distributed across the first two excited states of the bending mode, (0,1,0) and (0,2,0), the first excited state of both stretching modes, (1,0,0) and (0,0,1), as well as the ground vibrational state. Line overlap effects within the ortho and para water transitions are also considered separately in the two species<sup>16</sup>. The large number of slab-geometry model outputs allows us to explore a large parameter space in density,  $n_{\text{H}_2}$ , kinetic temperature,  $T_{\text{K}}$ , and dust temperature,  $T_{\text{dust}}$ . It was found that the maser transitions can be divided into three main groups: one exhibiting both radiative and collisional pumping, one with dominant collisions, and another group with a dominant radiative pumping component. The 268.149 GHz maser emission in the (0,2,0) state pertains to the latter group when  $T_{\text{dust}}$  is high (Sect. 7.2.1).

We reexamine below the maser slab model outputs to better understand the excitation of the three strongest and most widespread water lines observed in this work, lines 10, 12 and 14 at 259.952, 262.898 and 268.149 GHz (see Table 5). We have three main goals in mind: (i) explore if there are favorable physical conditions for the cospatial excitation of the strong 268.149 and 658.007 GHz lines as suggested in Sect. 6.3; (ii) investigate the conditions leading to the relatively strong line emission observed at 262.898 GHz (this work) and in other  $v_2 = 1$  lines (see references to other published works in Sect. 6.4), and (iii) assess the conditions leading to significant excitation of the 259.952 GHz line in the ground vibrational state. Ortho and para H<sub>2</sub>O are treated separately and the H<sub>2</sub> abundance is obtained from the H<sub>2</sub>O abundance divided by the fractional abundance of H<sub>2</sub>O/H<sub>2</sub> =  $3 \times 10^{-5}$  that is considered in our models.

#### 7.2.1. 268.149 GHz

We find that for the high density conditions favorable to masers, the 268.149 GHz transition is weakly, or not inverted at kinetic temperatures  $\gtrsim 500$  K for  $T_{\text{dust}}$  in the range  $\sim 50$  K to a few hundreds K. When  $T_{\text{dust}}$  reaches several hundreds or  $\gtrsim 1000$  K, 268.149 GHz inversion tends to move to higher values of  $T_{\text{K}}$  in the density vs. kinetic temperature plane. Increasing  $T_{\text{dust}}$  from 900 to 1300 K, for example, increases the inversion as shown in the upper two panels of Fig. 17 for  $T_{\text{K}} \lesssim 500$  K and  $\lesssim 900$  K, respectively, around  $n_{\text{H}_2\text{O}} \sim 10^5$ – $10^6$  cm<sup>-3</sup>. Inversion is

<sup>16</sup> Bergman & Humphreys (2020) suggest that line overlap between the two H<sub>2</sub>O species could explain the strong maser observed in evolved stars around 437.347 GHz in the (0,0,0) state.



**Fig. 17.** Comparison of maser depths of ortho H<sub>2</sub>O at 268.149 and 658.007 GHz (upper and lower two panels) using models in [Gray et al. \(2016\)](#). Strong maser emission at 268.149 and 658.007 GHz is obtained for markedly different kinetic temperatures (vertical axis on left hand-side of each panel) and dust temperatures  $T_d$  (given in the upper right-hand side of each panel). The transition frequency is given in the upper left corner of each panel with, below, the letter o for ortho H<sub>2</sub>O followed by the vibrational state and the rotational transition. The negative optical depth is specified in the vertical bar on the right-hand side of each panel using a log normal scale (min =  $-0.1$  and max =  $-75.0$ ); black means no inversion. (The molecular H<sub>2</sub> density is obtained by dividing the water abundance by  $3 \times 10^{-5}$ .)

thus expected for  $n_{\text{H}_2} \sim 3 \times 10^9 - 3 \times 10^{10} \text{ cm}^{-3}$  and for  $T_{\text{dust}} = 900$  and 1300 K. Within the inner 3–10  $R_*$  region where we observe the 268.149 GHz line and where the dust has just formed and is optically thin, the radiation field is dominated by the central star and we expect indeed high dust temperatures and densities.

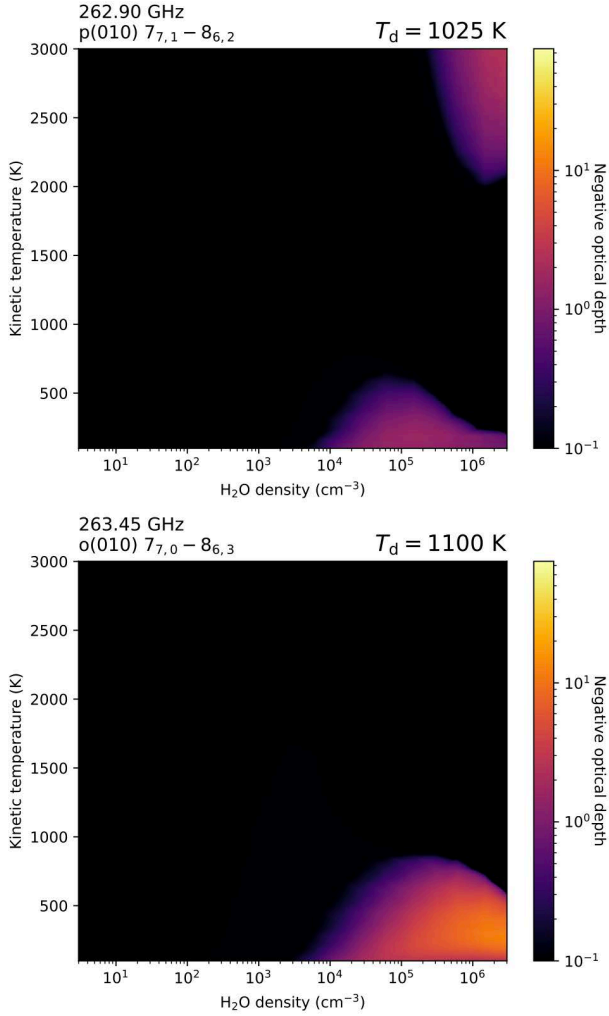
On the other hand, the radiative pumping component, needed for the 268.149 GHz maser, is not needed at 658.007 GHz for which lower values of  $T_{\text{dust}} (\lesssim 800 \text{ K})$  tend to provide strong inversion for a broad range of  $T_K$  (see lower two panels in Fig. 17). Therefore, the physical conditions prevailing within the inner gas layers where we expect high  $T_{\text{dust}}$  do not seem a priori favorable to the 658.007 GHz maser excitation. High  $T_{\text{dust}}$  conditions may nevertheless result in 658.007 GHz line inversion if  $T_K \gtrsim 3000 \text{ K}$  (see lower left panel of Fig. 17) which is plausible in the shocked environment of the expanding stars but, in turn, is not favorable to 268.149 GHz line inversion. We can not exclude, however, that in some peculiar objects, cooler and less dense conditions than required for 268.149 GHz are favorable to the 658.007 GHz maser. We note that in VY CMA, the 658.007 GHz masers ([Richards et al. 2014](#); [Asaki et al. 2020](#)) are mostly located at roughly twice the distance from the star where the SiO masers are observed, supporting slightly cooler

conditions favorable to the 658.007 GHz line excitation, possibly once opaque dust has formed. (Alternatively, these 658.007 GHz masers could also be excited by a shock around the VY CMA clump C.)

We conclude that in general, excluding the peculiar VY CMA object, the potential link between the 268.149 and the 658.007 GHz line emissions mentioned earlier in Sect. 6.3 (on the basis of source detection rates) is not straightforwardly explained in terms of our current maser models. Of course both lines are not necessarily masing at the same time and position in a same object, and a possible relation between observed lines may be more difficult to decipher than with a simple search for common masing conditions.

### 7.2.2. 262.898 GHz

We mention at the end of Sect. 6.4 the importance of the differential radiative trapping scheme for the  $J = K_a$  “transposed backbone” levels in the  $(0, 1, 0)$  state. Especially for the para and ortho H<sub>2</sub>O line pair at 262.898 (our widespread line 12) and 263.451 GHz observed by [Winters et al. \(2022\)](#), see Sect. 6.4 and below). Our models demonstrate that both lines can be



**Fig. 18.** Negative optical depths as in Fig. 17 at 262.898 and 263.451 in the (010) vibrational state. The quantum numbers of these transitions are preceded by the letters p and o for para and ortho H<sub>2</sub>O. (The molecular H<sub>2</sub> density is obtained by dividing the water abundance by  $3 \times 10^{-5}$ .)

masing but for different physical conditions. Line 12 remains a weak maser in the  $T_K$  versus density regions considered here for both low and high dust temperatures ( $\sim 50$  and  $\gtrsim 1000$  K). We find, for example, that the dominant route for population inversion at 262.898 GHz for  $T_{\text{dust}} = 1025$  K and  $n_{\text{H}_2\text{O}} \gtrsim 10^6 \text{ cm}^{-3}$ , requires  $T_K \gtrsim 2300$  K (see the weak negative opacity contours at 262.90 GHz in the top right-hand corner of the upper panel in Fig. 18) in contrast with the lower  $T_K \lesssim 700$  K required at 263.451 GHz (bottom right corner of the 263.45 GHz maser plot in the lower panel of Fig. 18). Our model outputs can be compared with the observations of the 262.898 and 263.45 GHz line pair. At 262.898 GHz, the brightness temperature derived from the ATOMIUM data do not give unambiguous evidence of masing (Table 6) but this could be due to the emission being very compact so that the measured temperature is a lower limit. In addition, the 262.898 GHz line profiles are narrow and there is some evidence for variability (Sect. 6.1.2 and Fig. 16). We conclude that this transition could be weakly masing in the inner gas layers with high density and high kinetic temperature. Our models also predict 262.898 GHz inversion over a wide range of dust temperature from  $\sim 100$  to  $\gtrsim 1000$  K and for  $n_{\text{H}_2\text{O}} \gtrsim 10^6 \text{ cm}^{-3}$  and  $T_K \gtrsim 2000$  K. For the ortho H<sub>2</sub>O line at 263.451 GHz, the line profile in RS Cnc appears to be rather broad and stable

(Winters et al. 2022). Similarly, the unidentified emission feature in IK Tau at the same frequency (Velilla-Prieto et al. 2017), which we identify as the ortho H<sub>2</sub>O line, does not show obvious signs of maser action in its line profile. Observations of other stars should be performed to characterize this emission, but we momentarily conclude that the maser conditions quoted above for the 262.898 GHz transition do not apply to the 263.451 GHz line (see Fig. 18 for  $T_{\text{dust}} \sim 1000$  K). We also note that the low  $T_K$ , high H<sub>2</sub>O density regime of these two lines do not exactly overlap (Fig. 18)<sup>17</sup>.

Finally, we draw attention to a rather rare occurrence in our models. The upper panel in Fig. 18 at 262.898 GHz shows that both radiative and collisional pumping regimes can coexist at the same dust temperature whereas most transitions that have both schemes show a clear bifurcation at  $T_{\text{dust}} \sim 750\text{--}1100$  K where the dominant pumping route switches from collisional to radiative. This two-inversion occurrence although seen faintly here at 262.898 GHz can be stronger in a few other maser lines (e.g., at 209.118 and 96.261 GHz).

### 7.2.3. 259.952 GHz

We have not observed any clear sign of time variability in our data at 259.952 GHz (line 10) in the ground vibrational state. However, variability may be hidden by the limited number of epochs available to us in the ATOMIUM program. Line 10 is weaker than the 262.898 and 268.149 GHz lines (lines 12 and 14) but it is usually stronger than all the other lines (Table 5). We find that line 10 has a relatively strong radiative pumping component for conditions reminiscent of those modeled for the 268.149 GHz maser. This is seen by comparing the 260 GHz plot in Fig. 7 of Gray et al. (2016) at a dust temperature of 1025 K, with the upper panels of our Fig. 17 at 268.149 GHz. Line 10 can thus be weakly inverted close to the photosphere but, in contrast with the strong 268.149 GHz emission, it does not show strong signs of maser emission.

We conclude Sect. 7.2 by stressing that observations toward more evolved stars in the  $v_2 = 1$  and  $v_2 = 2$  states as well as coordinated high angular observations of, for example, the 268.149 and 658.007 GHz lines, or the 262.898 and 263.451 GHz line pair, are desirable for a deeper understanding of the properties of these high-lying levels.

## 8. OH source properties

### 8.1. Ground state and high- $J$ OH stellar sources

Before presenting the properties of the high- $J$  OH transitions observed here with ALMA, we briefly recall some characteristics of the well-known 18-cm lines in the  $J = 3/2$  ground state of OH observed in many AGB stars and RSGs. Strongly non-LTE 18-cm emission of OH from evolved stars was first reported by Wilson & Barrett (1968) who observed that the 1612 MHz “satellite” line is predominantly excited among the four hyperfine transitions of the  $^2\Pi_{3/2}$ ,  $J = 3/2$  ground state. (The  $\Delta F = \pm 1$  and 0 transitions in a given  $J$  state of OH are called satellite and principal or main lines on the basis of their spontaneous emission rate values, see Sect. 3.3.) The four 18-cm hyperfine transitions of OH excited around 1612, 1665, 1667 and 1720 MHz, have relative LTE intensity ratios of 1:5:9:1 which

<sup>17</sup> This suggests that simultaneous observation of these lines would help to identify nonthermal emission in at least one line if the line intensity ratio deviates from the LTE conditions.

are never observed in stars. Interferometric 18-cm observations have shown that OH emission comes from the expanding circumstellar envelope of evolved M-type stars (e.g., Reid et al. 1977) where OH is produced from the photodissociation of H<sub>2</sub>O (Goldreich & Scoville 1976). Excitation models based on OH pumping by FIR photons at  $\sim 35$  and  $53 \mu\text{m}$  and on near IR overlaps of OH lines were proposed to explain the strongly non-LTE 18-cm OH line emission (e.g., Elitzur et al. 1976; Collison & Nedoluha 1994). This general OH excitation scheme is supported by many ground-based observations of AGB stars and RSGs and is not contradicted by the recent space observations (see e.g., *Herschel*/HIFI observations of Justtanont et al. 2012; Teysseier et al. 2012; Alcolea et al. 2013).

Restricting ourselves to ground-based observations of evolved stars, we note that until recently, no cm, mm or submm wave detection of OH has ever been reported, as far as we are aware, at high- $J$  levels well above the ground state<sup>18</sup>. Today, the ALMA high sensitivity enables us to search for OH lines up to  $J = 35/2$  or above in  $v = 0$  and 1 (i.e., lines with energy levels up to  $\sim 9000$  K). High- $J$  OH emission was first reported toward W Hya and R Dor by Khouri et al. (2019). In the present work, we extend the search for high- $J$  OH to the ATOMIUM sample.

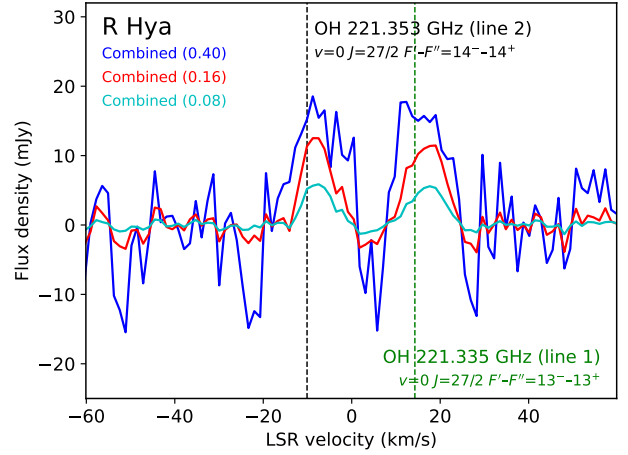
## 8.2. OH main properties

Secure detection of high- $J$  OH radiation from circumstellar environments relies on the identification of OH  $\Lambda$ -doublets in our spectra and channel maps. For all  $v = 0$ ,  $J = 27/2$ ,  $29/2$  or  $33/2$  spectra and maps we have used the rest frequencies from the JPL catalog (Table 3) which are slightly different from the newer rest frequencies derived in this work and given in Appendix A. Spectra have been extracted for different aperture radii from the high and mid resolution data cubes as well as from the combined data which maximize sensitivity to angular structures  $\sim 0''.1$  or larger. The combined spectra show that the peak flux density increases with the extracted radius suggesting that there is diffuse emission in addition to the bulk of the compact OH emission. This is illustrated in Fig. 19 for the  $J = 27/2$  rotational line in R Hya. However, our OH maps show that in addition to moderately extended OH gas material, angularly compact structures are dominant (see e.g., Figs. 21, 22). Our discussion is thus primarily based on the high resolution data which still can recover scales up to  $\sim 0''.4$ – $0''.6$ . Figure 20 shows an example of high resolution spectra extracted for an aperture diameter of  $0''.08$  in three prominent OH sources, R Hya, S Pav and R Aql. We stress that with the mid resolution, the absorption features seen in Fig. 20 at high resolution, are averaged with the surrounding emission (and so are not seen in the mid resolution spectra). These absorption features are close to the noise level but mom 0 maps made with various velocity intervals show compact absorptions that are discussed in Sect. 8.2.2.

### 8.2.1. OH channel maps, angular sizes

OH channel maps in the  $J = 27/2, 29/2, 33/2$  and  $35/2$  rotational levels have been produced for all sources. They allow us to search for the signature, at the expected velocities, of the two hyperfine transitions of each mapped  $J$  transition. A first example is given for R Hya in the  $J = 29/2$  level (Fig. 21). Each hyperfine transition of the  $J = 29/2$   $\Lambda$ -doublet, with rest

<sup>18</sup> In the near IR, however, the OH vibration-rotation overtone bands have long been known in Mira variables; for more details, readers can refer to the CO and OH bands in R Leo (Hinkle 1978), for example.



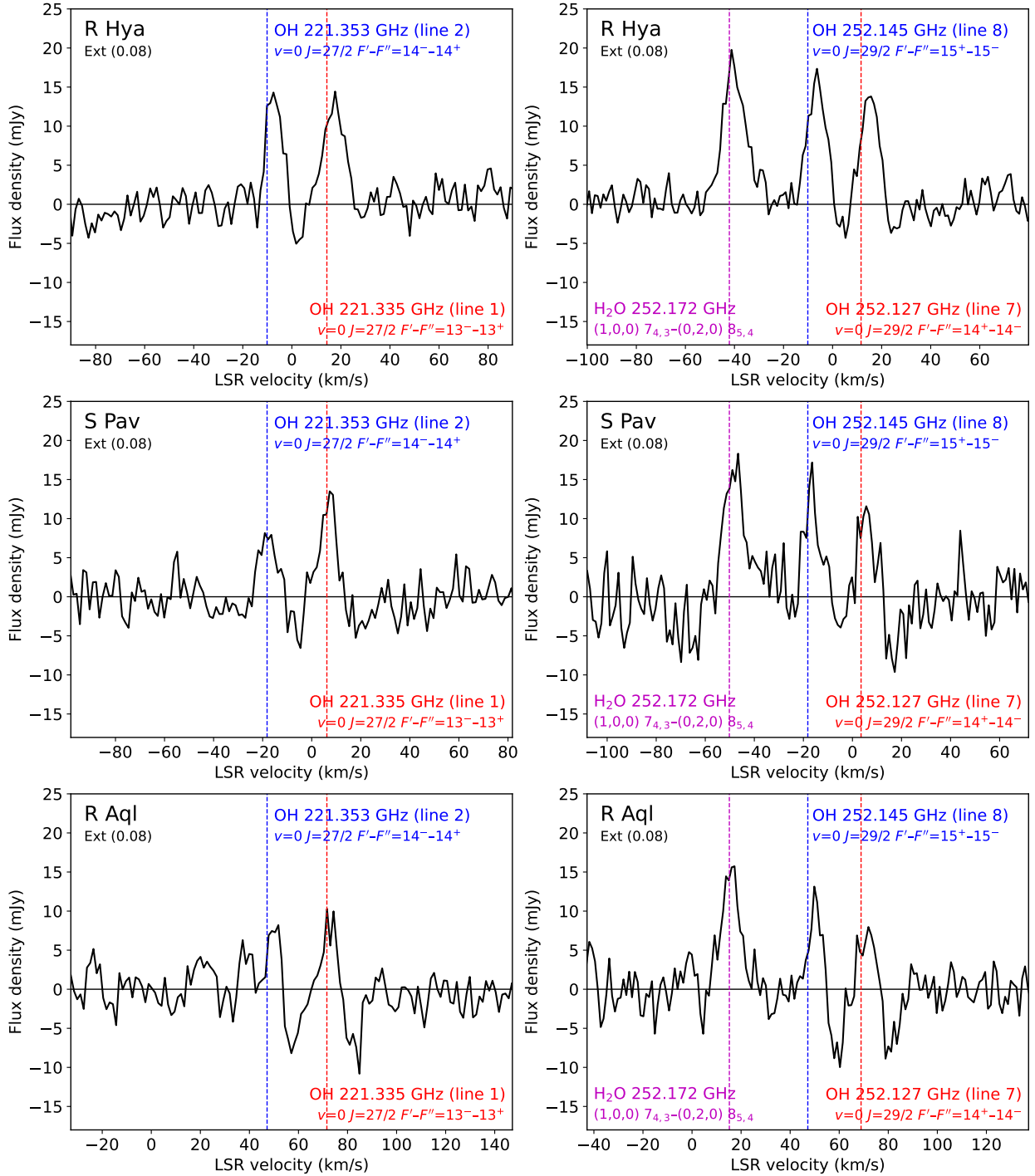
**Fig. 19.** R Hya spectra of the  $F' - F'' = 13-13$  and  $14-14$  hyperfine transitions of OH in the  $v = 0$ ,  $J = 27/2$  rotational transition (lines 1 and 2 in Table 3) extracted from combined high and mid spatial resolution data for an aperture diameter of  $0''.08$ ,  $0''.16$  and  $0''.40$ . The spectra are converted from the observed frequency to the LSR frame using the line 2 rest frequency as the “reference” frequency. The black dotted vertical line (line 2) is at the adopted new systemic velocity (see Table 1). The green dotted vertical line is displaced by  $\sim 24.3 \text{ km s}^{-1}$  compared to line 2 as expected from the  $v = 0$ ,  $J = 27/2$   $\Lambda$ -doublet frequency separation; it corresponds to line 1.

frequency taken from the JPL catalog (Table 3), exhibits emission within approximately the same velocity range. More OH maps are shown in Appendix E:  $J = 27/2$  in R Hya and R Aql (Figs. E.1 and E.2) or  $J = 29/2$  in S Pav (Fig. E.3).

All high- $J$  OH sources observed in this work are weak with peak intensity ranging from  $\sim 3$ – $7 \text{ mJy beam}^{-1}$  (S Pav, T Mic, U Her or AH Sco) to  $\sim 6$ – $10 \text{ mJy/beam}$  (R Hya, R Aql or VX Sgr). In two other sources, RW Sco and IRC+10011, our channel maps do not show unequivocal OH detection (but see OH stacking in Sect. 8.2.3).

The majority of the OH emission is observed close to the optical photosphere in general. However, the OH emission peak may not exactly coincide with the continuum peak. Toward R Hya, in particular, the emission seems to peak at slightly displaced positions across a few velocity channels (Fig. 21). Nevertheless, we do not see any apparent rotation or any clear velocity signature in the  $J = 29/2$  or  $27/2$  first moment maps of R Hya where the intensity is weighted by the velocity of each channel for channels centered around the systemic velocity of the star.

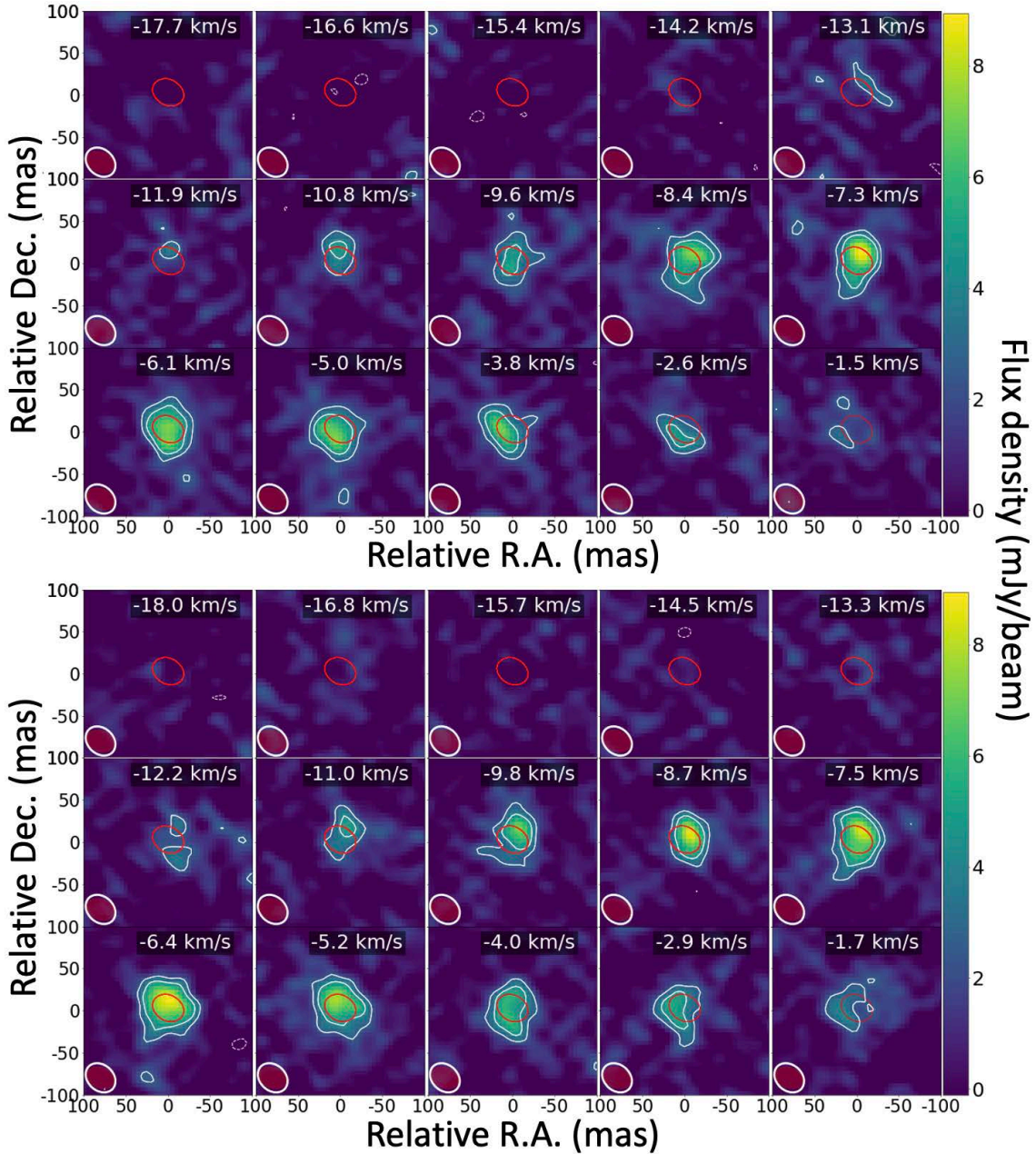
Weak, diffuse OH emission is visible on larger scales around the stellar continuum sources in all ATOMIUM sources where OH is observed. Diffuse gas is identified in our mom 0 clean images integrated over the velocity range corresponding to each OH hyperfine transition thus improving the sensitivity. A sample of our mom 0 images is shown for R Hya and S Pav in the two hyperfine transitions  $F' - F'' = 14 - 14$  and  $15 - 15$  of the  $J = 29/2$  level (Fig. 22). The same transitions in R Aql and VX Sgr are presented in Fig. E.4. The OH mom 0 maps for these four stars, but now in the  $J = 27/2$  level, are also shown in Fig. E.5. The  $J = 29/2$  and  $27/2$  maps exhibit similar structures although the peak intensity ratios of the hyperfine transitions for each one of these two  $J$  levels may slightly differ (Table 9). The OH extent of these clean, emission (or absorption, see Sect. 8.2.2) images is obtained by fitting 2D Gaussians with CARTA (see end of Sect. 2.2) within the  $3\sigma$  level contour of our mom 0 maps; this contour is shown as the first light white



**Fig. 20.** Spectra of R Hya, S Pav and R Aql in the  $J = 27/2$  and  $29/2$  rotational levels of OH extracted from the high resolution data cubes for an aperture diameter of  $0''.08$ . The three left and three right panels correspond to  $J = 27/2$  and  $29/2$ , respectively. In each spectrum, the two nearby emission features correspond to the two hyperfine transitions of each  $\Lambda$ -doublet (dotted blue and red vertical lines). Note weak absorption features observed in all six spectra. In the  $J = 29/2$  spectra, the most negative velocity emission feature (closest to the  $F' - F'' = 15^- - 15^-$  transition) is the 252.172 GHz line of water. The spectra are converted from the observed frequency to the LSR frame using the OH catalog line rest frequencies given in Table 3. Line 2 at 221353.48 MHz and line 8 at 252145.35 MHz are taken as the reference frequencies for each spectrum in the  $J = 27/2$  and  $29/2$  states; they are placed at the adopted new systemic velocities (see Table 1).

contour in Fig. 22 and in Figs. E.4 and E.5. The elliptical Gaussian fit dimensions, not de-convolved from the beam, are given for each hyperfine transition of the  $J = 27/2$  and  $29/2$  rotational levels in Cols. 4 and 8 of Table 8 together with the typical noise,  $\sigma$ , and the clean beam size. The uncertainties are estimated to be around 10% from noise variations in the  $m=0$  maps.

Typical angular sizes of the emitting and absorbing OH regions can be crudely estimated from the geometric mean of the Gaussian dimensions given for a few stars in Table 8. The OH emission sizes, are  $\sim 80$ – $90$  mas for R Hya and  $\sim 30$ – $50$  mas for S Pav, R Aql or T Mic in the  $J = 27/2$  and  $29/2$  rotational lines. These estimates are greater than the radio continuum



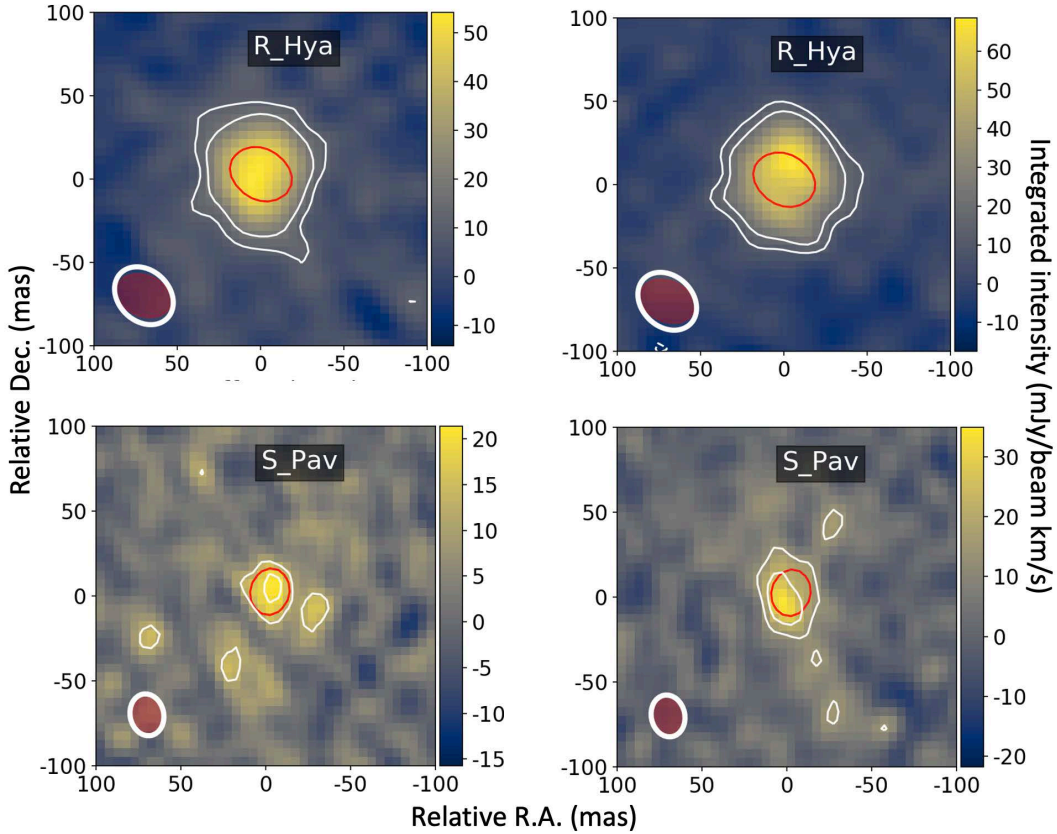
**Fig. 21.** High resolution channel maps of  $J = 29/2$ ,  $F' - F'' = 14 - 14$  and  $15 - 15$  transitions of OH in R Hya (upper and lower panels, respectively). Each map covers  $200 \times 200$  mas and is centered on the continuum emission peak at (0,0) position (coordinates given in Table 1). Each channel velocity is in the LSR frame using the OH catalog rest frequency in Table 3. The white contours are at  $-3$ ,  $3$  and  $5\sigma$ . The line peak flux density and the typical r.m.s. noise are  $9 \text{ mJy beam}^{-1}$  and  $1 \text{ mJy beam}^{-1}$ , respectively. The red contour delineates the extent at half peak intensity of the continuum emission. The line and continuum beams are shown at the bottom left of each map in white and dark-red, respectively. The HPBW is  $(39 \times 31)$  mas at PA  $46^\circ$  and  $(34 \times 25)$  mas at PA  $67^\circ$  for line and continuum, respectively.

uniform disk sizes measured at  $250 \text{ GHz}$ <sup>19</sup> and correspond to  $\sim(6.5-7.5) \times R_\star$  for R Hya,  $\sim(5-8) \times R_\star$  for S Pav and R Aql, and to  $\geq 7 \times R_\star$  for T Mic where diffuse emission is observed. The total size encompassing all the OH flux density is uncertain and larger than the above estimates. It would be around three times the full width at half maximum if the gas distribution was Gaussian and centered on the star, but it seems realistic to adopt the  $3\sigma$  contour to roughly define the OH cloud sizes of the AGBs

<sup>19</sup> Our uniform disk sizes at  $250 \text{ GHz}$  are  $27.1$ ,  $20.4$ ,  $15.0$  and  $20.0$  mas for R Hya, S Pav, R Aql and T Mic, respectively. See also Sect. 4.3 for discussion on  $\text{H}_2\text{O}$  angular sizes.

in our sample. OH emission is also observed beyond the typical sizes mentioned above; see examples up to  $\sim(7-10) \times R_\star$  in S Pav (Figs. 22, E.5) and beyond  $10 \times R_\star$  in R Aql (Fig. E.4).

The two supergiants AH Sco and VX Sgr exhibit complex spatial structures. In AH Sco, the mom 0 maps reveal angularly compact or unresolved emission in both  $J = 27/2$  and  $29/2$  (see Table 8), but in the  $J = 29/2$ ,  $F' - F'' = 15 - 15$  transition there is an asymmetric NE emitting region well beyond  $10 \times R_\star$ . This structure is not clearly visible in the OH individual channel maps because of its weakness; however, it is present in the channel maps of water at  $252.172 \text{ GHz}$ , a frequency very close to the  $J = 29/2$  OH emission. In VX Sgr, the OH mom 0 image in the



**Fig. 22.** Zeroth moment map of OH emission in the  $J = 29/2$ ,  $F' - F'' = 14-14$  and  $15-15$  transitions. Left panels:  $F' - F'' = 14-14$  transition in R Hya and S Pav. Right panels:  $F' - F'' = 15-15$  transition in the same sources. Offsets in RA and Dec directions are relative to the coordinates of the peak stellar continuum as given in Table 1. The velocity intervals in the  $F' - F'' = 14-14$  and  $15-15$  transitions are:  $-11.8$  to  $-2.6$   $\text{km s}^{-1}$  and  $-12.2$  to  $-1.7$   $\text{km s}^{-1}$  in R Hya;  $-21.1$  to  $-13.8$   $\text{km s}^{-1}$  and  $-21.2$  to  $-11.9$   $\text{km s}^{-1}$  in S Pav. In each map the white contours are at  $3$  and  $5\sigma$ . The red contour delineates the extent at half peak intensity of the continuum emission. The line and continuum beams are shown at the bottom left of each map in white and dark-red, respectively. The line HPBW in R Hya is as in Fig. 21; in S Pav the line HPBW is  $(25 \times 20)$  mas at PA  $11^\circ$ . The continuum beam is  $(34 \times 25)$  mas at PA  $67^\circ$  (R Hya) and  $(25 \times 20)$  mas at PA  $-13^\circ$  (S Pav).

$J = 29/2$ ,  $F' - F'' = 15 - 15$  transition also reveals an irregular southern emission structure beyond  $10 \times R_*$  (Fig. E.4). AH Sco and VX Sgr thus exhibit weak OH gas emission beyond the central star up to sizes larger than the typical sizes observed in the AGB stars.

### 8.2.2. OH absorption maps and OH gas infall

The R Hya, S Pav and R Aql spectra extracted from the high resolution data cubes show that there are absorption features in addition to the two main hyperfine transitions of OH seen in emission in the  $J = 27/2$  and  $29/2$  levels (Fig. 20). Our images suggest that the absorption observed at velocities greater than the systemic velocity of each hyperfine transition in  $J = 27/2$  and  $29/2$  is due to OH gas that is redshifted with respect to each one of these two transitions. In this scenario, the absorption seen in-between the two hyperfine transitions (Fig. 20) is interpreted as redshifted gas for the higher frequency transition of the two hyperfine transitions in each  $J$  level. (There is no apparent blueshifted OH component observed in our data for the higher frequency line of each OH  $\Lambda$ -doublet. This could perhaps be understood as dominant absorption by the cooled gas when it falls back to the star surface.) In the redshifted gas interpretation the OH gas falls with velocities  $\sim 5-10$   $\text{km s}^{-1}$  toward the warm gas which excites each one of the two hyperfine components near the star. This is the same range as the infall velocities of  $\text{H}_2\text{O}$

and CO mentioned in Sect. 5.4. OH gas infall is supported by the mom 0 maps of the absorption features showing compact OH gas centered on the star (Fig. 23), and by the  $\sim 5-12$  signal-to-noise ratio observed at the absorption peaks toward R Aql, S Pav and R Hya (Table 8). The typical angular extent of the OH absorption above  $3\sigma$  is determined as for the emission region in Sect. 8.2.1. It is smaller than the OH emission extent and on the order of  $30-40$  mas in R Aql and less or comparable to the beam toward R Hya and S Pav (Table 8).

### 8.2.3. Current and further identification of OH sources

We have estimated typical OH scale lengths in Sects. 8.2.1 and 8.2.2, but the  $J = 27/2$  and  $29/2$  OH emission or absorption is often weak. In addition, and for all sources, the  $J = 33/2$  and  $35/2$  rotational levels were not detected in emission or absorption at the time of our observations. In order to push further the OH detection limit and to better discern the diffuse gas, we have stacked together our data for all four hyperfine transitions with similar energy levels (i.e.,  $J = 27/2$  transitions together with  $29/2$ , and  $J = 33/2$  transitions with  $35/2$ ). For each group of four transitions, the visibility data were extracted for the same velocity range centered on each hyperfine transition and combined by aligning the velocities. This allows us to image four stacked hyperfine lines, reducing the noise by a factor of two, but at the expense of the identification by another approach to know

**Table 8.** Peak flux density, r.m.s. noise and angular size in zeroth moment maps of OH emission and absorption.

Source	Mom 0 peak (mJy beam <sup>-1</sup> km s <sup>-1</sup> ) <i>J</i> = 27/2, 13–13 <i>J</i> = 27/2, 14–14	$\sigma^{(a)}$	Size <sup>(b)</sup> (mas) 13–13 14–14	Beam <sup>(c)</sup> (mas)	Mom 0 peak (mJy beam <sup>-1</sup> km s <sup>-1</sup> ) <i>J</i> = 29/2, 14–14 <i>J</i> = 29/2, 15–15	$\sigma^{(a)}$	Size <sup>(b)</sup> (mas) 14–14 15–15	Beam <sup>(c)</sup> (mas)
S Pav	26.3 33.1	4.3 4.0	71 × 37 48 × 32	27 × 21	21.4 34.9	3.9 4.7	38 × 28 49 × 33	25 × 20
absorption <sup>(d)</sup>	–24.5 –20.4	4.8 3.5	? <sup>(e)</sup> 32 × 15		–34.3 –15?	6.1 4.7	? <sup>(e)</sup> ?	
T Mic	40.7 27.0	9.5 6.4	? <sup>(f)</sup> ? <sup>(f)</sup>	25 × 23	55.2 35.4	10.0 6.8	? <sup>(f)</sup> 43 × 23	28 × 21
R Hya	61.3 53.6	5.9 5.4	100 × 76 88 × 70	41 × 30	54.2 68.6	3.8 4.0	98 × 82 88 × 90	39 × 31
absorption <sup>(d)</sup>	–				–15.1	2.9	50 × 20	
U Her	–17.4 33.5	3.9 7.0	? <sup>(e)</sup> 33 × 32	32 × 22	–			
AH Sco	–				–		?	44 × 26
R Aql	18.4 10.0	3.3 2.5	? <sup>(e)</sup> ? <sup>(e)</sup>	27 × 23	28.0	6.7	48 × 19	
R Aql	61.3 15.6	3.5 3.1	40 × 26 ? <sup>(e)</sup>	27 × 22	22.8 29.2	3.3 3.5	40 × 38 71 × 43	30 × 20
absorption <sup>(d)</sup>	–27.9 –25.3	3.8 3.0	37 × 27 38 × 26		–27.9 –36.9	3.1 3.1	39 × 36 50 × 34	
VX Sgr	36.9 25.5	8.7 6.3	38 × 23 ? <sup>(e)</sup>	31 × 22	35.7 40.1	7.0 6.0	44 × 29 75 × 56	35 × 23

**Notes.** <sup>(a)</sup>R.m.s. noise in mom 0 map in mJy beam<sup>-1</sup> km s<sup>-1</sup>. <sup>(b)</sup>Elliptical Gaussian fit to cleaned image above the 3 $\sigma$  contour, not de-convolved from the beam (see Sect. 8.2.1). <sup>(c)</sup>Gaussian beam size in mas. <sup>(d)</sup>Discussion of absorption features in Sect. 8.2.2. <sup>(e)</sup>Unresolved absorption or emission. <sup>(f)</sup>Diffuse OH gas with uncertain extent (see Sect. 8.2.1).

which exact hyperfine transition(s) is(are) excited. If a group of four stacked transitions was detected we also stacked both component pairs separately to identify which rotational transition was detectable. Spectra were also extracted with the stacked maps to help us identify the hyperfine transitions. The  $F' - F''$  assignment of a rotational transition can be safely done only if the individual hyperfine transitions are visible in channel maps and/or in mom 0 maps. We have validated this approach by comparing the stacked maps with the single frequency channel maps of our strongest sources.

We conclude that there is OH emission and/or absorption in nine sources of the ATOMIUM sample in the  $v = 0$ ,  $J = 27/2$  and/or  $29/2$  rotational levels including weak emission from RW Sco and IRC+10011. (In these two sources inspection of channel maps and extracted spectra suggest emission from the  $J = 29/2$  state only.) Overall, there are slightly more detections in  $J = 29/2$  than in  $27/2$  (see last column in Table 3). Four sources, R Hya, R Aql, U Her and T Mic are detected in the higher energy rotational levels  $v = 0$ ,  $J = 33/2$  and only R Hya is observed in  $J = 35/2$ . In U Her and T Mic, OH is detected when the  $v = 0$ ,  $J = 33/2$  and  $35/2$  levels are stacked together, so we cannot distinguish whether one or both rotational levels are emitting. However, since the  $J = 35/2$  emission is very weak and observed in R Hya only, we have arbitrarily assigned the emission observed in U Her and T Mic (last column in Table 3) to the lower energy level, that is  $J = 33/2$ . We further note that the  $v = 0$ ,  $J = 33/2$  level energy ( $\sim 8000$  K) is close to the energy of the two highest H<sub>2</sub>O levels observed in this work. Four  $v = 1$  hyperfine transitions in the  $J = 31/2$  and  $33/2$  rotational levels (with energy  $\sim 11\,080$  and  $12\,800$  K) also fall in our frequency setup but were not detected here. This nondetection is in contrast

with the  $v = 1$ ,  $J = 21/2$  and  $35/2$  observations of Khouri et al. (2019) in W Hya and is discussed at the end of Sect. 9.3.

At the time of this work, high- $J$  OH sources have been discovered with ALMA in twelve and probably thirteen AGBs or RSGs. Nine objects are listed in Tables 1 and 3, three other sources, W Hya, R Dor and IK Tau have been detected by Khouri et al. (2019), and we further report in Appendix F on the potential detection of  $J = 35/2$  and  $31/2$  OH emission in omi Cet (Mira). More high- $J$  OH transitions obtained in future ALMA observations or extracted from ALMA archival data of evolved late-type stars will contribute to our understanding of the role of OH in the formation of water and dust-forming metal oxides/hydroxides.

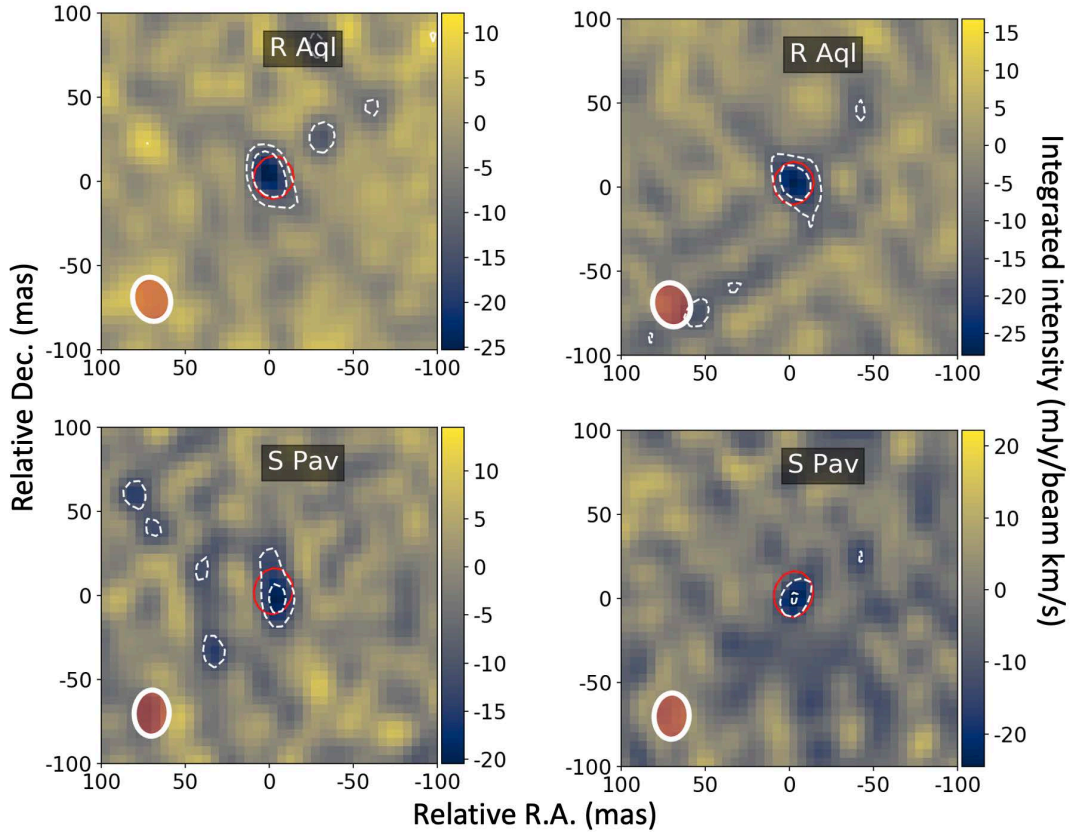
## 9. A high- $J$ OH analysis: Physical conditions

As mentioned in Sect. 8.1, no hyperfine transitions of the OH radical were ever identified in high- $J$  levels toward evolved stars before ALMA<sup>20</sup>. Our discussion below is limited to simple estimates of the physical conditions in the high- $J$  OH gas observed in the photospheric environment.

### 9.1. Line intensity ratios, brightness temperature

As a first approach to the understanding of the OH excitation conditions we compare the observed ratio of two hyperfine transitions in a given rotational level with the same ratio under LTE conditions. There are several difficulties in estimating such ratios from the  $\Delta F = 0$  line parameters. Fitting Gaussians to weak,

<sup>20</sup> Note that mid-IR spectral resolution observations of the OH  $\Lambda$ -doubling up to  $J = 69/2$  have been reported in the young protostellar outflow HH 211 (Tappe et al. 2008) and modeled (Tabone et al. 2021).



**Fig. 23.** Zeroth moment map of OH absorption in the  $J = 27/2$ ,  $F' - F'' = 14-14$  and  $13-13$  transitions. Left panels:  $F' - F'' = 14-14$  transition in R Aql and S Pav. Right panels:  $F' - F'' = 13-13$  transition in the same sources. Offsets in RA and Dec. directions are relative to the coordinates of the peak stellar continuum as given in Table 1. The velocity intervals in the  $F' - F'' = 14-14$  and  $13-13$  transitions are:  $55.8$  to  $61.2$   $\text{km s}^{-1}$  and  $56.2$  to  $66.2$   $\text{km s}^{-1}$  in R Aql;  $-10.2$  to  $-3.6$   $\text{km s}^{-1}$  and  $-9.4$  to  $3.5$   $\text{km s}^{-1}$  in S Pav. The dotted white contours are at  $-3$  and  $-5\sigma$ . The red contour delineates the extent at half peak intensity of the continuum emission. The line and continuum beams are shown at the bottom left of each map in white and dark-red, respectively. The line HPBW is  $(27 \times 22)$  mas at PA  $18^\circ$  and  $(27 \times 21)$  mas at PA  $-3^\circ$  in R Aql and S Pav, respectively. The continuum beam is  $(24 \times 22)$  mas at PA  $-13^\circ$  (R Hya) and  $(25 \times 20)$  mas at PA  $-13^\circ$  (S Pav).

asymmetrical line profiles is uncertain. Nevertheless, the peak line flux density is often well identified and if not, we can derive the integrated flux density across the line profile above the noise level. Our results in five stars, for both line peak and integrated flux density ratios above  $3\sigma$ , are gathered in Table 9 together with the LTE line opacity ratios. All ratios are derived from spectra extracted from the high resolution data cubes for an aperture diameter of  $0''.08$ . We have also verified that for R Hya in the  $J = 27/2$  and  $29/2$  levels, the intensity ratios remain similar, within the uncertainties, to those obtained with the mid resolution data. For the higher  $J = 33/2$  and  $35/2$  levels, when only one hyperfine transition is detected we give a lower limit based on the  $3\sigma$  level outside the expected but undetected line feature. The formal uncertainty of our intensity ratios is estimated to be in the range  $0.10-0.15$  except in the  $J = 33/2$  level where it is significantly higher due to the lower S/N. The line integrated flux density ratio and the peak flux density ratio (see Integr. ratio and Peak ratio columns in Table 9, respectively) give comparable results in general. In R Hya, for example, the peak ratios are equal to  $0.96$  and  $0.93$  for  $J = 27/2$  and  $29/2$ , respectively, while the integrated flux density ratios are somewhat smaller but still consistent, within the uncertainties, with the LTE ratios. However, deviations from LTE are significantly above the uncertainties for R Aql and S Pav ( $\sim 1.1-1.6$ ) in the  $J = 27/2$  level and for VX Sgr and T Mic ( $\sim 0.7-0.8$ ) in  $J = 29/2$ . The observed peak intensity ratios are larger than the LTE ratios for  $J = 33/2$

and  $J = 35/2$  observed in R Aql and R Hya. These results show that the OH gas is not excited under full LTE conditions, and there are no strong masers observed in the high- $J$  levels. Deviations from LTE remain moderate when compared with the strong  $J = 3/2$  ground state masers observed around  $1.6$  GHz when they were first discovered (Wilson & Barrett 1968) and in all other subsequent observations of late-type M stars.

Table 10 gives the OH peak surface brightness in the  $J = 27/2$  and  $29/2$  rotational levels,  $S_p$ , derived from our channel maps for the extended configuration and for beam widths ranging from  $\sim 25 \times 23$  mas (T Mic) to  $\sim 41 \times 30$  mas (R Hya). For simplicity,  $S_p$  is only given for the brightest of the two hyperfine transitions  $J = 27/2$ ,  $14-14$  and  $J = 29/2$ ,  $15-15$ . The peak brightness temperature,  $T_b$ , is derived from  $S_p$  and the restoring beam. It gives an estimate of the maximum brightness temperature for OH emitting region sizes on the order of one or a few beams.  $T_b$  is a lower limit to the brightness temperature if the region is smaller than the synthesized beam or made up of multiple clouds (or if maser beaming narrows the measured angular size in individual channels, although masing is unlikely in OH high- $J$  levels). Table 10 gives values of  $S_p$  and  $T_b$  in the range  $\sim 3-9$   $\text{mJy beam}^{-1}$  and  $\sim 100-280$  K, respectively. These values of  $T_b$  are lower than the local  $T_K$  and suggest that the OH excitation conditions are close to LTE (in agreement with the intensity ratios in Table 9), and that the OH lines are optically thin.

**Table 9.** Observed integrated flux density and peak flux density ratios (Integr. ratio and Peak ratio) and LTE opacity ratio of OH hyperfine transitions in  $J = 27/2, 29/2, 33/2$  and  $35/2$ .

$\nu = 0$ , OH level	$J = 27/2, 13-13/14-14$	$J = 29/2, 14-14/15-15$	$J = 33/2, 17-17/16-16$	$J = 35/2, 18-18/17-17$
	Integr. ratio – Peak ratio	Integr. ratio – Peak ratio	Peak ratio <sup>(a)</sup>	Peak ratio <sup>(a)</sup>
R Hya	$0.75 \pm 0.15 - 0.96 \pm 0.10$	$0.86 \pm 0.15 - 0.93 \pm 0.10$	$0.95 \pm 0.40$	$\geq 1.90$
R Aql	$1.13 \pm 0.15 - 1.40 \pm 0.10$	$0.70 \pm 0.15 - 0.90 \pm 0.10$	$\geq 1.30$	
S Pav	$1.41 \pm 0.15 - 1.60 \pm 0.15$	$0.81 \pm 0.15 - 0.90 \pm 0.15$	$\geq 0.90$	
VX Sgr		$0.71 \pm 0.15 - 0.71 \pm 0.15$		
T Mic		$0.69 \pm 0.15 - 0.83 \pm 0.15$		
LTE opacity ratio	0.93	0.94	1.06	1.06

**Notes.** Ratios derived from the flux density of the lower frequency of the hyperfine transition in a given rotational level divided by the flux density in the same level of the higher frequency hyperfine transition. The non LTE ratios are shown in italics (see Sect 9.1). Formal uncertainties lie in the range  $\pm 0.10$  to  $\pm 0.15$  except in the  $J = 33/2$  rotational level. The LTE ratios are shown in the last row of this table. <sup>(a)</sup>The lower limits in  $J = 33/2$  and  $35/2$  are derived from the  $3\sigma$  signal level outside the expected line feature for the undetected 16–16 ( $J = 33/2$ ) and 17–17 ( $J = 35/2$ ) hyperfine transitions.

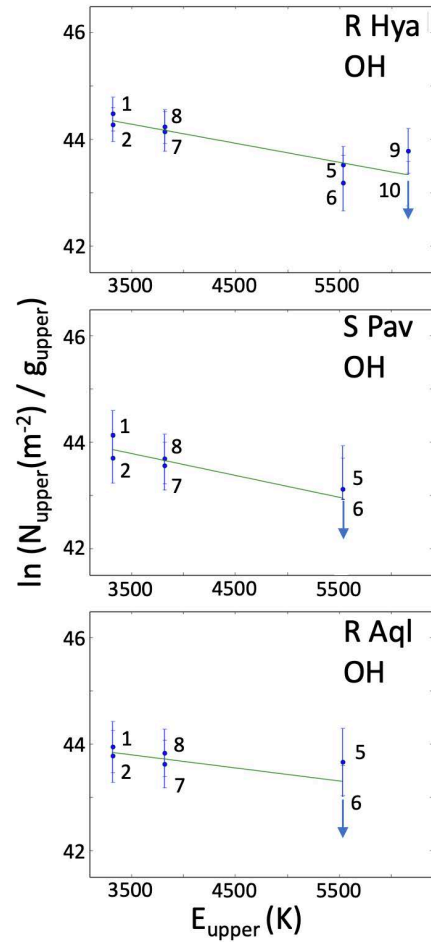
**Table 10.** Peak surface brightness ( $S_p$ ), peak brightness temperature ( $T_b$ ) and uncertainty in the  $J = 27/2$  and  $29/2$  rotational levels of OH.

Source	$S_p$ <sup>(a)</sup> (mJy beam <sup>-1</sup> ) $J = 27/2, F' - F'' = 14 - 14$ $J = 29/2, F' - F'' = 15 - 15$	$T_b$ <sup>(b)</sup> (K)
S Pav	5.5	$240 \pm 45$
T Mic	6	$260 \pm 45$
RW Sco	$< 3$ <sup>(c)</sup>	$< 200$ <sup>(c)</sup>
R Hya	8	$280 \pm 70$
U Her	9	$180 \pm 20$
AH Sco	7.5	$145 \pm 15$
R Aql	5	$270 \pm 70$
IRC+10011	5	$180 \pm 35$
VX Sgr	2.5	$100 \pm 40$
	5	$85 \pm 15$
	6	$255 \pm 40$
	7	$235 \pm 30$
	$< 3$ <sup>(c)</sup>	$< 100$ <sup>(c)</sup>
	5.5	$200 \pm 75$
	6	$220 \pm 35$
	8	$190 \pm 30$

**Notes.** <sup>(a)</sup>Peak surface brightness for the strongest of the two hyperfine transitions in the  $27/2$  and  $29/2$  rotational levels (see Sect. 9.1). <sup>(b)</sup>Brightness temperature derived from the peak surface brightness and restoring beam. Uncertainty derived from typical noise in channel maps  $\sim 1-2$  mJy beam<sup>-1</sup>. <sup>(c)</sup>Upper value determined from  $3\sigma$  limit in channel maps.

## 9.2. Population diagrams and opacity estimates

An estimate of the OH rotational temperature,  $T_{\text{rot}}$ , and column density,  $N(\text{OH})$ , can be obtained from a population diagram although this is uncertain because the detected OH transitions span a moderate range of upper energy levels. As for  $\text{H}_2\text{O}$ , we have used the high resolution data, all observed within a few weeks. The S/N of the integrated OH line intensity is on the order of 6–10 in R Hya, S Pav and R Aql in the  $J = 27/2$  and  $29/2$  levels and less (or undetected) in  $J = 33/2$  and  $35/2$ . We have plotted population diagrams for these three sources (Fig. 24) but, unfortunately, there are not enough detected OH



**Fig. 24.** OH population diagram for R Hya, S Pav and R Aql. The number near each data point is the line number used in Table 3 of observable OH transitions. The vertical bar in each data point includes the  $\pm 1\sigma$  formal error of the integrated flux density and a rough estimate of the filling factor uncertainty. (An arrow indicates an upper limit.) The green line is the regression line across the data points (see Sect. 9.2).

transitions in other stars to estimate  $N(\text{OH})$ , including AH Sco and IRC+10011 for which  $N(\text{H}_2\text{O})$  is determined (Fig. 11). The partition function used to derive  $N(\text{OH})$  is obtained from a direct summation of the energy levels in different vibrational states and from parameters based on the JPL catalog data; all components

due to  $\Lambda$  and spin doublings and the hyperfine structure have been included. As for  $\text{H}_2\text{O}$ , the partition function varies monotonically with the temperature and  $N(\text{OH})$  is not very sensitive to uncertainties in the values of  $T_{\text{rot}}$ . We derive  $T_{\text{rot}} \sim 2800$  and  $3000$  K and  $N \sim 6.1 \times 10^{18}$  and  $4.8 \times 10^{18} \text{ cm}^{-2}$  in R Hya and S Pav, respectively. In R Aql,  $T_{\text{rot}}$  is higher,  $\sim 4000$  K, and  $N(\text{OH}) \sim 4.3 \times 10^{18} \text{ cm}^{-2}$ . The main limitations in the determination of  $N(\text{OH})$  come from the rather restricted energy range and the fact that the observed regions are assumed to have uniform properties. However, tests made with various assumed errors in the observables suggest that  $N(\text{OH})$  can vary by at least 30%.

Using  $N$  and  $T_{\text{rot}}$  obtained above, we get an opacity at the OH emission line center in the range  $\tau_c \sim 0.02$ – $0.03$  in both  $J = 27/2$  and  $29/2$ . (The opacity in a given  $J$  level does not vary significantly from one hyperfine transition to the other.) These opacity estimates are in rough agreement with the ratio ( $T_{\text{b}}/T_{\text{rot}}$ ) which suggests that the OH emitting region cannot be much smaller than the beam.

### 9.3. Lack of $\nu = 1$ OH emission and implications

The lack of  $\nu = 1$  OH emission from R Hya, our strongest OH source, even after the OH lines have been stacked, is surprising when compared to the relatively strong hyperfine transitions of the  $\nu = 1$ ,  $J = 21/2$  and  $J = 35/2$  levels observed by [Khouri et al. \(2019\)](#) in the Mira variable W Hya around the optical phase 1.0–1.1. In R Hya, our  $3\sigma$  upper limit in the  $\nu = 1$ ,  $J = 31/2$  and  $33/2$  spectra is  $\sim 3$  mJy (for an aperture diameter of  $0''.08$ ) around the optical phase 0.8, whereas the  $\nu = 0$ ,  $J = 27/2$  and  $29/2$  emissions peak around 10–15 mJy (see Fig. 20). There is no OH  $\nu = 1$  detection toward R Aql and S Pav (upper limits around 3 to 10 mJy) observed around the optical phases 0.2 and 0.9. Changing the extracted aperture area for our spectra or considering the mid resolution data do not show any  $\nu = 1$  detection either.

We cannot exclude that the high- $J$  OH excitation in both  $\nu = 0$  and 1 are time variable and may depend on the stellar optical phase. Our OH observations of R Hya and other targets have been acquired at essentially a single epoch and with often too low S/N to usefully address time variability issues in individual stars. However, despite the pulsation period of any one star not being well sampled, the whole set of objects observed at several epochs may be considered as covering a range of optical phases and we might have hoped to observe  $\nu = 1$  OH emission in at least one star<sup>21</sup>.

It is interesting to note that time-variable, near IR excitation of OH in the  $\nu = 0$  to  $\nu = 1$  transition at  $2.8 \mu\text{m}$  was invoked by [Harvey et al. \(1974\)](#) to explain the 18-cm OH variations observed in late-type stars. But later, [Etoka & Le Squeren \(2000\)](#) showed that the phase delay observed between the 18-cm OH maser peak emission and the near IR maxima suggests that dust in the outer layers contributes in the OH excitation. The pumping mechanism proposed for the ground state of OH, however, cannot explain the potential excitation of high- $J$  OH levels in the inner gas layers of O-rich stars.

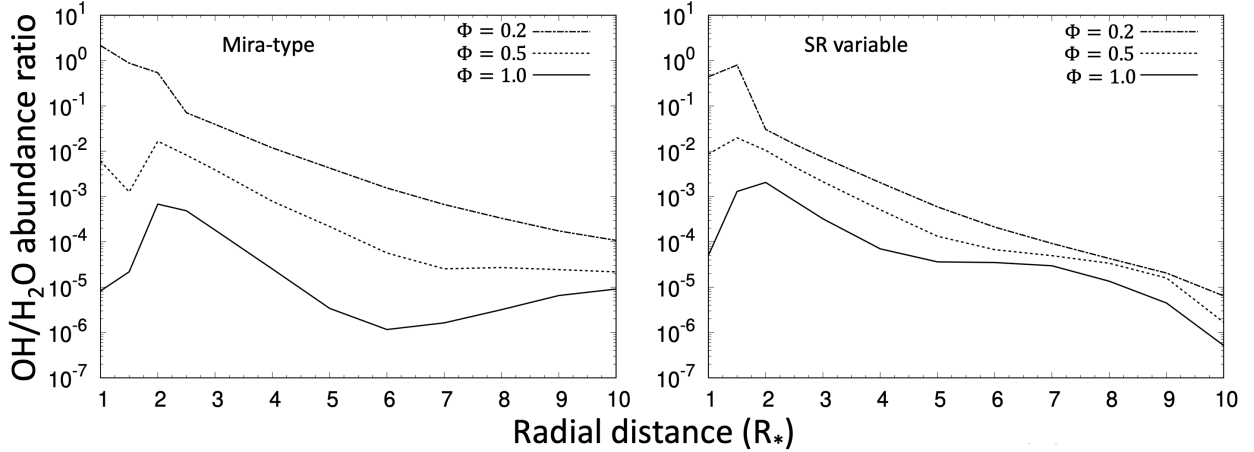
The possible role played by the  $2.8 \mu\text{m}$  radiation in the excitation of the high- $J$  OH transitions observed in the inner gas layers, can be crudely appreciated from an estimate of the critical gas density,  $n_c$ , for the  $\nu = 0$  to  $\nu = 1$  transition. Taking  $16.9 \text{ s}^{-1}$  for the spontaneous emission rate ([Brooke et al. 2016](#)),

and assuming that the collisional rate coefficient of OH with  $\text{H}_2$  is dominated by rotational transitions and can be represented by  $\sim 2 \times 10^{-10} (T_{\text{K}}/100)^{0.5} \text{ cm}^3 \text{ s}^{-1}$  ([Kłos et al. 2017](#)), we obtain  $n_c \sim 8.5 \times 10^{10} / (T_{\text{K}}/100)^{0.5}$ , that is  $\sim 1.5$ – $5 \times 10^{10} \text{ cm}^{-3}$  for  $T_{\text{K}}$  in the range 300 to 3000 K. A similar density range also applies to the  $\nu = 2$  to  $\nu = 1$  transition with  $23.4 \text{ s}^{-1}$  spontaneous emission rate. Therefore, we expect that collisions play a significant role in the vibrational line excitation of OH within the high density regions close to the photosphere where OH is formed after the passage of shocks. We also expect that changing physical conditions resulting from stellar pulsations and shocks in regions where the high- $J$  optically thin OH microwave lines are observed may lead to both  $\nu = 0$  and 1 OH line variability. This may perhaps explain why  $\nu = 1$  OH lines observed by [Khouri et al. \(2019\)](#) are not detected in this work. However, questions related to high- $J$  OH time variability deserve dedicated sensitive mm-wave observations in the future.

## 10. Observed OH/ $\text{H}_2\text{O}$ abundance ratio and chemical models in the inner wind

We primarily wish here to compare the OH/ $\text{H}_2\text{O}$  abundance ratio derived from our estimates of the  $\text{H}_2\text{O}$  and OH column densities with the same ratio predicted from chemical models. From the high resolution observation of high-lying energy levels of three AGBs (R Hya, S Pav and R Aql), all performed within a few weeks, and from the population diagram analysis described in Sects. 5.2 and 9.2, we obtain  $N(\text{OH})/N(\text{H}_2\text{O}) \sim (0.7$ – $2.8) \times 10^{-2}$ . Assuming, as suggested from the ALMA maps, that the observed  $\text{H}_2\text{O}$  and OH transitions come from similar regions in the circumstellar inner wind, we thus expect  $\text{OH}/\text{H}_2\text{O} \sim 0.7$ – $2.8 \times 10^{-2}$ , which will be compared with our recent chemical models. We first make some general and brief considerations on the chemical context in evolved stars and on the model outputs predicting  $\text{H}_2\text{O}$  and OH fractional abundances for regions up to  $\lesssim 10 R_{\star}$ . The chemical equilibrium conditions of an ideal LTE atmosphere (e.g., [Tsuji 1973](#)) can explain the formation of various molecules observed in cool stars as well as their dependence on the C/O ratio (i.e., the molecular fractional abundances critically depend on the evolutionary status of the star). However, in the outer stellar atmosphere, convection and stellar pulsations imply that equilibrium conditions are rapidly changing with time or position. Predicting the chemical composition of the gas becomes complicated because of the generation and propagation of shocks, the formation of dust particles and the launch of an inner stellar wind. In such a complex environment, we know that a rich, nonequilibrium chemistry is possible and is supported by the observation of a large variety of molecular species in the IR (e.g., [Tsuji et al. 1997](#)) and in the mm/submm domains, including the unexpected presence of  $\text{H}_2\text{O}$  in C-rich stars ([Neufeld et al. 2011](#)) or of HCN in O-rich stars ([Lindqvist et al. 1988](#); [Justtanont et al. 2012](#)). Decoupling the hydrodynamics of the inner wind from the chemistry, [Willacy & Cherchneff \(1998\)](#) and [Cherchneff \(2006\)](#) have predicted the molecular abundances of several species including  $\text{H}_2\text{O}$  and OH in the  $\sim 1$ – $5 R_{\star}$  region. Later, [Gobrecht et al. \(2016\)](#) confirmed that periodic shocks in the IK Tau environment provide efficient conditions for the synthesis of various chemical species and dust nucleation in the range  $\sim 1$ – $10 R_{\star}$ . [Boulangier et al. \(2019\)](#) further showed that a nonequilibrium chemistry leading to dust nucleation impacts the dynamics of the wind and, recently, [Gobrecht et al. \(2022\)](#) gave new details on dust nucleation scenarios.

<sup>21</sup> The approximate optical phases of our OH high resolution observations acquired in 2019 are: 0.8, 0.9, 0.2, 0.5 and 0.7 for R Hya, S Pav, R Aql, VX Sgr and AH Sco, respectively. The phase is not well defined in T Mic, but was  $\sim 0.5$ .



**Fig. 25.** Model predictions of the OH/H<sub>2</sub>O abundance ratio versus the radial distance at different pulsation phases of Mira-type and semi-regular variables. The spread in the abundance ratio versus the radial distance and the optical phase is discussed in Sect. 10.

The observed abundance ratio, OH/H<sub>2</sub>O  $\sim (0.7\text{--}2.8) \times 10^{-2}$ , despite uncertainties, can be directly compared with various model predictions. In typical O-rich stars and for C/O ratios  $\sim 0.75$ , Cherchneff (2006) obtained a rather flat distribution of the OH and H<sub>2</sub>O abundances between  $\sim 1.5\text{--}5 R_{\star}$  from which we get OH/H<sub>2</sub>O in the range  $10^{-3}$  to  $2.5 \times 10^{-5}$ ; these ratios are far from our observational estimates. To model the dust formation and the chemical atmosphere of IK Tau, Gobrecht et al. (2016) derived the molecular content between 1 and  $10 R_{\star}$  as well as the abundance variation with the stellar pulsation phase at different radii. The H<sub>2</sub>O abundance relative to the total gas density remains flat and around  $10^{-4}$  up to 10 stellar radii. This is slightly smaller than the  $4$  to  $2 \times 10^{-4}$  H<sub>2</sub>O fractional abundance obtained by Cherchneff (2006) between 1 and  $5 R_{\star}$ . It is thus useful to revisit the chemical model of Gobrecht et al. (2016) using the more recent kinetic rates given in Gobrecht et al. (2022). Our newer calculations for radii between 1 and  $10 R_{\star}$ , before the wind is fully accelerated, predict that for typical Mira-type and semi-regular variables the OH/H<sub>2</sub>O ratio varies by one to two orders of magnitude at different pulsation phases (0.2, 0.5 and 1.0 in Fig. 25). (We point out that at the present time we have no model outputs available for RSGs.) The decrease observed with the radial distance in the OH/H<sub>2</sub>O ratio (Fig. 25) is driven by the decrease in the OH abundance while the H<sub>2</sub>O abundance remains roughly at the same level within the inner gas layers as seen in Fig. 22 of Gobrecht et al. (2022). The larger dispersion in the OH/H<sub>2</sub>O ratios of the Mira-type stars with respect to the semi-regular variables is most probably explained by the stronger shock and lower (preshock) temperatures in the Mira-like models. Our calculations suggest that in the range from 2 to  $3 R_{\star}$  the predicted OH/H<sub>2</sub>O ratios approach those derived from the ATOMIUM observations at optical phases  $\sim 0.5\text{--}0.2$ . However, our current observations, lacking data at different epochs are unable to estimate how the OH/H<sub>2</sub>O ratio varies with the optical phase and if variations match the model predictions. In addition, we note that there might be some minor phase shift between pulsation and optical phase (Liljegren et al. 2016).

The OH/H<sub>2</sub>O ratio is primarily controlled by the reaction pair  $\text{H}_2 + \text{OH} \leftrightarrow \text{H}_2\text{O} + \text{H}$  ( $\Delta H_r(0 \text{ K}) = -56 \text{ kJ mol}^{-1}$ ). The forward reaction rate has an activation barrier of 1660 K whereas the endothermic reverse reaction has a much higher activation energy of 9720 K (Baulch et al. 1992). Therefore, the larger OH/H<sub>2</sub>O ratios at pulsation phase 0.2, ranging from  $\sim 4 \times 10^{-2}$  to 2 in Mira-type variables, can be explained by comparatively

higher temperatures. At these high temperatures not only the reverse reaction is activated, but also the atomic hydrogen is abundant. In contrast, at later pulsation phases (around 1.0) low temperatures prevail and hydrogen is predominantly molecular. As a consequence, the H<sub>2</sub>O-forming forward reaction dominates at late pulsation phases and the reverse reaction is negligible, leading to low OH/H<sub>2</sub>O ratios  $\sim 8 \times 10^{-6}$  to  $7 \times 10^{-4}$ . In general, we note in our models a greater dependence of the OH/H<sub>2</sub>O ratio on time (or pulsation phase) than on distance from the star at a given pulsation phase.

It is interesting to mention that the excitation of high- $J$  levels of OH in the inner gas layers could eventually be triggered by the photodissociation of water from the UV radiation generated in the outflow shocks of pulsating stars. This could lead to the selective formation of high- $J$  OH states as suggested in Tappe et al. (2008) for the young stellar object HH 211 outflow. However, the shock speeds invoked in this work, at least  $40 \text{ km s}^{-1}$ , are higher than those observed in AGBs. Therefore, we favor the direct formation of OH from oxygen and H<sub>2</sub> after the passage of the circumstellar shock, although special cases are also possible; for example, readers can refer to the UV photon models from a stellar companion impacting the chemical products, for example (van de Sande & Millar 2022).

## 11. Concluding remarks

Ten rotational transitions in the ground and excited vibrational states of H<sub>2</sub><sup>16</sup>O were observed in the 213.83–269.71 GHz frequency range of the ATOMIUM Band 6 survey undertaken with the ALMA main array. Nine lines are new discoveries and the tenth, reported earlier at 268.149 GHz in two evolved stars, was observed in the 15 O-rich sources of the 17 late-type stars in our sample. High spectral resolution observations at an additional epoch were also undertaken with the ACA around 268 GHz and 254 GHz. The ten transitions, six in the ortho state and four in the para state of H<sub>2</sub>O, include six pure rotational transitions within a single excited vibrational state, two ro-vibrational transitions between two nearby vibrational states, and two pure rotational transitions in the ground vibrational state. Together they span a range in excitation energy of between 3950 K in the ground vibrational state to 9013 K in the  $(v_1, v_2, v_3) = (0, 0, 1)$  state. Our observations significantly extend the number of H<sub>2</sub>O transitions that have been observed in evolved stars in the radio domain.

In parallel, the hyperfine split  $\Lambda$ -doubling transitions in the  $v = 0$ ,  $J = 27/2$  and  $29/2$  high-lying rotational levels in the  $X^2\Pi_{3/2}$  state of OH, as well as the  $v = 0$ ,  $J = 33/2$  rotational level in the upper  $X^2\Pi_{1/2}$  state have been observed in the same Band 6 spectral line survey. The next higher  $\Lambda$ -doubling transition,  $J = 35/2$ , was observed in one star only (R Hya)<sup>22</sup>. The range of energy levels covered by the OH lines observed here is  $\sim 4780$ – $5500$  K and  $\sim 8000$ – $8900$  K.

### 11.1. H<sub>2</sub>O

Some general trends have emerged from our extensive high resolution observations. The sections where our main results are presented and discussed are specified at the end of each paragraph below.

- There is good agreement between the rest frequencies determined from the present observations and the frequencies measured in the laboratory. In those cases where the catalog frequencies were derived with uncertainties exceeding  $\sim 0.5$  MHz, our rest frequencies could be better than the catalog frequencies (see Sect. 3.2).

- Overall the H<sub>2</sub>O emission observed with the extended configuration is compact and observed in regions extending from a few to about  $12 R_\star$  from the central star. Possible extensions up to  $\sim 20$ – $30 R_\star$  were also observed at 268.149 GHz (see Sect. 4.3).

- The number of stars in which an H<sub>2</sub>O line was detected is roughly two times higher for transitions that arise in lower-lying levels ( $\sim 4000$ – $5600$  K) than in the higher-lying levels ( $\sim 8000$ – $9000$  K). There does not appear to be any correlation between the number of detected transitions and the physical parameters of the source such as the mass-loss rate (see Sect. 4.1).

- The three most intense and widespread transitions in H<sub>2</sub>O are the ortho line at 268.149 GHz in the (0,2,0) vibrational state which was observed in 15 stars, the para lines at 262.898 GHz in the (0,1,0) state which was observed in 12 stars, and 259.952 GHz in the ground vibrational state which was observed in ten stars. A line was also observed in the (0,3,0) vibrational state in eight stars, in the (0,0,1) state in nine stars, and the ro-vibrational transition (1,0,0)–(0,2,0)  $J_{K_a, K_c} = 7_{4,3} - 8_{5,4}$  was observed in eight stars (see Sect. 3.2).

- Both emission and absorption are observed in our maps and spectra of H<sub>2</sub>O (and the same applies in OH). In a few stars, zeroth moment maps reveal absorbing regions as small as  $\lesssim 20$ – $40$  mas that are closely associated with the central star and are redshifted by a few  $\text{km s}^{-1}$  with respect to the gas layers seen in emission. Infall velocities of  $5$ – $10 \text{ km s}^{-1}$  that were estimated from our H<sub>2</sub>O observations and from  $v = 1$ , CO observations are close to model predictions from Nowotny et al. (2010, see Sects. 4.4 and 5.4).

- A Gaussian analysis of the most compact emission regions in two prominent H<sub>2</sub>O sources in the survey has revealed an organized (R Hya) and a complex (U Her) position-velocity emission structure (see Sect. 4.5).

- High velocity wings of the 268.149 GHz line emission with respect to  $v = 0$ , CO emission were observed in several stars. The most probable explanations include local turbulence or kinematic perturbations by a companion (see Sect. 5.4).

- We have derived beam-averaged column densities from our H<sub>2</sub>O population diagrams in AH Sco, R Aql, R Hya, S Pav, and IRC+10011. They lie in the range  $\sim (0.6$ – $5) \times 10^{20} \text{ cm}^{-2}$  (see Sect. 5.2).

- The 268.149 GHz transition which is observed in all but the two S-type stars may be excited under quasi-LTE conditions or is masing in at least three stars (U Her, AH Sco, and IRC+10011) with brightness temperatures in the range  $10^4$ – $10^7$  K. Time variability and line profile narrowing have also been observed in our data. Among the two other strongest H<sub>2</sub>O lines in the ATOMIUM survey, signs of maser emission have also been observed at 262.898 GHz with time variable emission in some velocity channels of IRC+10011 (see Sects. 5.1, 6.1 to 6.4).

- Based on H<sub>2</sub>O radiative transfer models in Gray et al. (2016), density, kinetic temperature, and dust temperature conditions leading to maser emission have been revisited. The 268.149 GHz line in the (0,2,0) vibrational state has a strong radiative pumping component similar to several other ortho and para H<sub>2</sub>O line pairs in the (0,1,0) state (see Sect. 7).

### 11.2. OH

Refined OH  $\Lambda$ -doubling frequencies have been made possible from ALMA high- $J$  OH observations. Nine high- $J$  OH sources of the ATOMIUM sample have been discovered and their characteristics are summarized below.

- Based on our OH observations and those published in Khouri et al. (2019), new, accurate  $\Lambda$ -doubling frequencies for high- $J$  level transitions have been derived (see Sect. 3.4 and Appendix A).

- The main sources of OH observed in this work in the  $\Lambda$ -doubling transitions of the  $X^2\Pi_{3/2}$ ,  $J = 27/2$  and  $29/2$  states are: the SR and Mira variables R Hya, R Aql, S Pav, U Her, and T Mic; and the RSGs AH Sco and VX Sgr. The lines are weak, with peak intensities that range between 3 and  $10 \text{ mJy beam}^{-1}$ . We did not observe strong deviations from the quasi-LTE line excitation conditions in our data (see Sects. 8.2.1 and 9.1).

- Very weak OH emission was also identified by stacking the spectra at the expected frequencies of the hyperfine split components in RW Sco and IRC+10011. We also extracted weak OH emission in Mira from the ALMA archive (see Sect. 8.2.3 and Appendix F).

- Most of the OH emission is centered on the stellar photosphere and there is weak diffuse OH emission that extends well beyond the stellar continuum sources. In SR and Mira variables, the estimated angular size of the OH emission is  $\sim 5$ – $8 R_\star$ , in general, with possible extensions up to  $\sim 10 R_\star$  from the photosphere (see Sect. 8.2.1).

- In addition to the two main OH emission profiles in each  $\Lambda$  doublet, our spectra and maps strongly suggest that OH absorption is also present with features that are redshifted by  $-5$  to  $-10 \text{ km s}^{-1}$ . This is comparable to the velocity of the infall layers observed in H<sub>2</sub>O and in the  $v = 1$ , CO line. The absorption is barely resolved and typical angular scales are  $\lesssim 30$ – $40$  mas in R Aql, R Hya, and S Pav (see Sect. 8.2.2).

- We have observed the  $\Lambda$ -doubling transitions in the  $J = 33/2$  rotational level of the  $X^2\Pi_{1/2}$  state in four sources (R Hya, R Aql, U Her, and T Mic), but the next higher rotational level in the  $X^2\Pi_{1/2}$  state ( $J = 35/2$ ) was only detected in R Hya. These lines are very weak and observed by stacking the spectra at the frequencies in the  $J = 33/2$  and  $J = 35/2$  levels<sup>23</sup> (see Sect. 8.2.3).

<sup>23</sup> We note that the  $J = 33/2$  rotational level in the  $X^2\Pi_{1/2}$  state of OH ( $E = 7960$  K) is close in energy (within  $\lesssim 400$  K) to the rotational levels of three high-lying transitions of H<sub>2</sub>O which were observed in at least six stars in the ATOMIUM survey: line #1 in eight stars, line #8 in six stars, and line #13 in seven stars.

<sup>22</sup> In addition to our Band 6 survey, using the ALMA archival data, we also found  $J = 35/2$ , line 9 emission in Mira (Appendix F and Table 3).

– The OH emission from the two RSGs AH Sco and VX Sgr exhibits complex emitting regions. In both stars weak OH emission extends beyond the central object up to  $\sim 15 R_*$ , which is larger than the typical OH sizes observed in the AGBs. In both RSGs the  $m=0$  maps reveal asymmetric extensions in the  $J = 29/2$  state (see Sect. 8.2.1).

– Estimates of the column density of OH in the ground vibrational state were derived from rotational temperature diagrams that include the two successive  $\Lambda$ -doubling transitions in the  $X^2\Pi_{3/2}$  state, and the lower of the two  $\Lambda$  transitions in the  $X^2\Pi_{1/2}$  state of S Pav and R Aql, and both  $\Lambda$ -doublet transitions in the  $X^2\Pi_{1/2}$  state in R Hya. We obtained  $4.3$ ,  $4.8$ , and  $6.1 \times 10^{18} \text{ cm}^{-2}$  in R Aql, S Pav, and R Hya, respectively (see Sect. 9.2).

### 11.3. Chemical abundances and prospective remarks

From our determinations of the  $\text{H}_2\text{O}$  and OH column densities, and on the assumption that both species are excited in similar regions, we derived – from R Hya, S Pav, and R Aql – an OH/ $\text{H}_2\text{O}$  ratio of  $\sim 0.7\text{--}2.8 \times 10^{-2}$ , thereby establishing a benchmark for predictions of the chemical abundances in the inner wind of oxygen-rich AGBs by the chemical kinetic codes. One of the caveats in our determination of the OH/ $\text{H}_2\text{O}$  ratio is that a more stringent test of the accuracy of the chemical kinetic predictions awaits measurements of the OH/ $\text{H}_2\text{O}$  ratio as a function of the optical phase of the pulsating SR and Mira variables. Prior theoretical estimates of the OH/ $\text{H}_2\text{O}$  ratio ranged between about  $10^{-3}$  to  $10^{-5}$  (e.g., Cherchneff 2006). In our work we find, with updated chemical kinetic rates (Gobrecht et al. 2022), that the chemistry in the inner wind of a typical SR or Mira variable predicts a strong dependence of the OH/ $\text{H}_2\text{O}$  ratio on the pulsation phase of the star. Furthermore, OH/ $\text{H}_2\text{O}$  ratio variations by one to two orders of magnitude or even more around a given pulsation phase are possible in the  $1\text{--}10 R_*$  range.

Despite the whole set of observed stars covering a range of optical phases, we have not, with the ATOMIUM data, systematically monitored the  $\text{H}_2\text{O}$  and OH line emission at different epochs in the pulsation cycle, and hence any evidence for time variability of the OH/ $\text{H}_2\text{O}$  ratio cannot be accurately examined. However, time variability is present in the  $\text{H}_2\text{O}$  data. It is well documented in U Her, observed in two epochs with the mid-configuration, and in a few other stars observed with the extended configuration and the ACA.

We conclude with two remarks: (1) an in-depth test of the chemical kinetic predictions awaits dedicated monitoring of the  $\text{H}_2\text{O}$  and OH emission in R Hya, S Pav, and R Aql, and possibly other SR and Mira variables. (2) An in-depth development of  $\text{H}_2\text{O}$  line excitation models awaits newer collision rates and needs to incorporate higher vibrational states, hopefully up to the (0,3,0), (1,1,0) and (0,1,1) states, together with line overlap effects between para and ortho water.

*Acknowledgements.* The authors gratefully thank the referee for his careful reading of the manuscript and his most constructive comments. This paper makes use of the following ALMA Main Array and ACA data: ADS/JAO.ALMA#2018.1.00659.L (ATOMIUM: ALMA tracing the origins of molecules in dust forming oxygen-rich M-type stars); #2019.2.00234.S (The remarkable 268 GHz line of water: a new tracer of the inner wind of evolved stars?); #2017.1.00393.S and #2018.1.00749.S (Bands 6 and 7 data used for the OH spectra in Mira, Appendix F). The standard ALMA pipeline products are available in the ALMA Science Archive (ASA). The enhanced products prepared by the ATOMIUM consortium, once ingested, will become available during or soon after publication of this paper. ALMA is a partnership of ESO (representing its member states), NSF (USA) and NINS (Japan), together with NRC (Canada), NSC and ASIAA (Taiwan), and KASI (Republic of Korea), in cooperation with the Republic of Chile. The Joint ALMA Observatory is operated by ESO, AUI/NRAO and NAOJ. A.B. and F.H. acknowledge financial support from

‘Programme National de Physique Stellaire’ (PNPS) of CNRS/INSU, France and ANR PEPPER. T.D. acknowledges support from the Research Foundation Flanders (FWO) through grant 12N9920N and is supported in part by the Australian Research Council through a Discovery Early Career Researcher Award (DE230100183). K.T.W. acknowledges support from the European Research Council (ERC) under the European Union’s Horizon 2020 research and innovation programme (Grant agreement no. 883867, project EXWINGS). D.G. was funded by the grant “The Origin and Fate of Dust in our Universe” from the Knut and Alice Wallenberg Foundation. T.J.M. thanks the Leverhulme Trust for the award of an Emeritus fellowship. Calculations in Sect. 7 used the DiRAC Data Intensive service at Leicester, operated by the University of Leicester IT Services, which forms part of the STFC DiRAC HPC Facility (www.dirac.ac.uk). The equipment was funded by BEIS capital funding via STFC capital grants ST/K000373/1 and ST/R002363/1 and STFC DiRAC Operations grant ST/R001014/1. DiRAC is part of the National e-Infrastructure. S.H.J.W. acknowledges support from the Research Foundation Flanders (FWO) through grant 1285221N. M.M. acknowledges funding from the Programme Paris Region fellowship supported by the Région Île-de-France. This project has received funding under the Framework Program for Research and Innovation “Horizon 2020” under the Marie Skłodowska-Curie grant agreement no. 945298.

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<sup>1</sup> Laboratoire d’Astrophysique de Bordeaux, Univ. Bordeaux, CNRS, B18N, allée Geoffroy Saint-Hilaire, 33615 Pessac, France  
e-mail: alain.baudry@u-bordeaux.fr

<sup>2</sup> Institut de Radioastronomie Millimétrique, 300 rue de la Piscine, 38406 Saint-Martin-d’Hères, France

<sup>3</sup> The University of Manchester, Jodrell Bank Centre for Astrophysics, Manchester M13 9PL, UK

<sup>4</sup> Universität zu Köln, I. Physikalisches Institut, 50937 Köln, Germany

<sup>5</sup> Institute of Astronomy, KU Leuven, Celestijnenlaan 200D, 3001 Leuven, Belgium

<sup>6</sup> National Astronomy Research Institute of Thailand, 260 Moo 4, Chiangmai 50180, Thailand

<sup>7</sup> Chalmers University of Technology, Onsala Space Observatory, 43992 Onsala, Sweden

<sup>8</sup> Harvard-Smithsonian Center for Astrophysics, Cambridge MA 02138, USA

<sup>9</sup> Department of Physics and Astronomy, Uppsala University, Box 516, 75120 Uppsala, Sweden

<sup>10</sup> Max-Planck-Institut für Radioastronomie, 53121 Bonn, Germany

<sup>11</sup> Department of Chemistry and Molecular Biology, University of Gothenburg, 40530 Göteborg, Sweden

<sup>12</sup> Astrophysics Research Centre, School of Mathematics and Physics, Queen’s University Belfast, Univ. Road, Belfast BT7 1NN, UK

<sup>13</sup> LESIA, Observatoire de Paris, Univ. Paris 5, CNRS, 5 place Jules Janssen, 92195 Meudon, France

<sup>14</sup> University of Leeds, School of Chemistry, Leeds LS2 9JT, UK

<sup>15</sup> School of Physics and Astronomy, Monash University, Wellington Road, Clayton 3800, Victoria, Australia

## Appendix A: OH $\Lambda$ -doubling transitions in the $v = 0$ and 1 states

We have mentioned in Sect. 3.4 the short-coming of the JPL catalog to calculate  $\Lambda$ -doubling transitions for high rotational quantum numbers. Explanations are given in this Appendix. The  $\Lambda$ -doubling transitions connect transitions with the same  $N$  and  $J$  in Hund's case (b) or the same  $\Omega$  and  $J$  in Hund's case (a) and their spacing is dominated by the  $\Lambda$ -doubling parameters  $p$  and  $q$ . The  ${}^2\Pi_{3/2}$   $v = 0$  fundamental transitions near 1.7 GHz were determined with a few Hertz accuracy by Hudson et al. (2006) and Lev et al. (2006). Their intensities decrease rapidly at room temperature and at modest quantum numbers. The highest rotational transitions in  $v = 0$  from laboratory measurements are  $J = 19/2$  ( ${}^2\Pi_{3/2}$ ) and  $15/2$  ( ${}^2\Pi_{1/2}$ ) near 66 and 71 GHz, respectively (Kolbe et al. 1981; Drouin 2013). In  $v = 1$  the quantum numbers are limited to  $J = 13/2$  for both  ${}^2\Pi_{3/2}$  and  ${}^2\Pi_{1/2}$  near 18 and 67 GHz, respectively (Andresen et al. 2000) and there are even more limitations for  $v = 2$ . Our knowledge on the  $\Lambda$ -doubling transitions with higher rotational quantum numbers comes, for example, from pure rotational transitions in the far-infrared region with  $\sim 1.2$ – $3$  MHz accuracy for the best lines (Martin-Drumel et al. 2012). In addition, these transitions depend predominantly on the rotational spacing between the upper or the lower  $\Lambda$ -component of two adjacent  $J$  levels and sample only the differences between the  $\Lambda$ -components which are only a very small fraction of the respective transition frequencies. We conclude that it is not surprising that observations suffer from deviations up to a few MHz between the calculated and observed  $\Lambda$ -doubling transitions with high quantum numbers and increasing vibrational states.

We have also mentioned in Sect. 3.4 that the ALMA OH line observations can be used to improve the calculation of the OH  $\Lambda$ -doubling transitions. We give details below.

Drouin (2013) had carried out a Dunham fit pertaining to a plethora of laboratory data. A fair fraction of the data is associated with five minor isotopic species containing  ${}^{17}\text{O}$ ,  ${}^{18}\text{O}$ , or D, but this is only of minor importance for the present study. The  $\Lambda$ -doubling is expressed with parameters  $p_{ij}$  and  $q_{ij}$ , where  $p_{00}$  and  $q_{00}$  are the equilibrium parameters, and  $i$  and  $j$  indicate the degree of vibrational and rotational corrections to these parameters, respectively. Drouin (2013) employed 21  $\Lambda$ -doubling parameters for OH itself plus five parameters to accommodate the breakdown of the Born-Oppenheimer approximation through the isotopic substitutions. (We mention specifically that the parameters used for OH without vibrational corrections were  $p_{00}$  to  $p_{03}$  and  $q_{00}$  to  $q_{05}$ .) The data set from Drouin (2013) is available in the JPL catalog archive<sup>24</sup>. Two poorly determined parameters (out of 97) were omitted. We added the transition frequencies from our present study and from Khouri et al. (2019), summarized in Table 4, to the line list. We then carried out the line fit using Pickett's SPFIT program (Pickett 1991), as done earlier (Drouin 2013). Almost all changes in the values of the spectroscopic parameters were well within the  $1\sigma$  uncertainties. By far the largest relative changes occurred for  $p_{02}$  and  $q_{02}$ , which decreased in magnitudes by about five times the respective uncertainty;  $p_{03}$  and  $q_{03}$  decreased in magnitudes by about three times the respective uncertainty. In addition, the improvement in the parameter uncertainties was small in almost all instances; by far the largest improvement occurred for  $q_{02}$ , where the new uncertainty was almost one third smaller. Nevertheless, as can be seen in Table 4, the changes were large enough to reproduce

the  $\Lambda$ -doubling transitions from radio astronomy observations within uncertainties on average.

The  $\Lambda$ -doubling transitions derived for rotational  $J$  level energies up to  $\sim 10000$   $\text{cm}^{-1}$  (or  $\sim 14500$  K) for  $v = 0$  and 1 are presented in four tables of this Appendix (see also end of Sect. 3.4). The reliability of our calculations is very difficult to assess; the transition frequencies with calculated uncertainties larger than 0.5 MHz should be viewed with caution. Further calculations of the OH rotational spectra in  $v = 0$  to 2 are available in the CDMS catalog<sup>25</sup>.

<sup>24</sup> <https://spec.jpl.nasa.gov/ftp/pub/catalog/archive/>

<sup>25</sup> <https://cdms.astro.uni-koeln.de/classic/entries/archive/OH/>

**Table A.1.** Quantum numbers<sup>a</sup>, transition frequency (Frequency), uncertainty (Unc.), lower state energy ( $E_{\text{low}}$ ) and  $A$  value of OH  $\Lambda$ -doubling transitions in the  $v = 0$ ,  $^2\Pi_{3/2}$  state from our present fit.

$N$	$p$	$J$	$F$	–	$N$	$p$	$v$	$J$	$F$	Frequency (MHz)	Unc. (MHz)	$E_{\text{low}}$ ( $\text{cm}^{-1}$ )	$A$ ( $\times 10^{-6}\text{s}^{-1}$ )
8	+	8.5	8	–	8	–	0	8.5	8	91188.2709	0.0118	1321.2779	0.455
8	+	8.5	9	–	8	–	0	8.5	9	91203.2537	0.0119	1321.2763	0.455
9	–	9.5	9	–	9	+	0	9.5	9	113605.1955	0.0225	1650.8123	0.691
9	–	9.5	10	–	9	+	0	9.5	10	113621.0752	0.0226	1650.8106	0.692
10	+	10.5	10	–	10	–	0	10.5	10	137959.2898	0.0400	2015.0501	1.00
10	+	10.5	11	–	10	–	0	10.5	11	137975.8958	0.0400	2015.0483	1.00
11	–	11.5	11	–	11	+	0	11.5	11	164119.0489	0.0671	2413.6161	1.38
11	–	11.5	12	–	11	+	0	11.5	12	164136.2367	0.0670	2413.6143	1.38
12	+	12.5	12	–	12	–	0	12.5	12	191954.3152	0.1069	2846.0782	1.84
12	+	12.5	13	–	12	–	0	12.5	13	191971.9613	0.1068	2846.0764	1.84
13	–	13.5	13	–	13	+	0	13.5	13	221334.0293	0.1633	3311.9534	2.39
13	–	13.5	14	–	13	+	0	13.5	14	221352.0274	0.1633	3311.9515	2.39
14	+	14.5	14	–	14	–	0	14.5	14	252124.9162	0.2410	3810.7117	3.03
14	+	14.5	15	–	14	–	0	14.5	15	252143.1736	0.2409	3810.7097	3.03
15	–	15.5	15	–	15	+	0	15.5	15	284190.8005	0.3451	4341.7799	3.76
15	–	15.5	16	–	15	+	0	15.5	16	284209.2356	0.3450	4341.7779	3.76
16	+	16.5	16	–	16	–	0	16.5	16	317392.3410	0.4823	4904.5444	4.58
16	+	16.5	17	–	16	–	0	16.5	17	317410.8811	0.4821	4904.5424	4.58
17	–	17.5	17	–	17	+	0	17.5	17	351587.0429	0.6607	5498.3532	5.49
17	–	17.5	18	–	17	+	0	17.5	18	351605.6228	0.6604	5498.3512	5.49
18	+	18.5	18	–	18	–	0	18.5	18	386629.4537	0.8906	6122.5182	6.49
18	+	18.5	19	–	18	–	0	18.5	19	386648.0141	0.8904	6122.5161	6.49
19	–	19.5	19	–	19	+	0	19.5	19	422371.4796	1.1855	6776.3171	7.56
19	–	19.5	20	–	19	+	0	19.5	20	422389.9664	1.1852	6776.3150	7.56
20	+	20.5	20	–	20	–	0	20.5	20	458662.7788	1.5620	7458.9951	8.71
20	+	20.5	21	–	20	–	0	20.5	21	458681.1420	1.5616	7458.9930	8.71
21	–	21.5	21	–	21	+	0	21.5	21	495351.2024	2.0407	8169.7667	9.91
21	–	21.5	22	–	21	+	0	21.5	22	495369.3956	2.0403	8169.7645	9.91
22	+	22.5	22	–	22	–	0	22.5	22	532283.2601	2.6460	8907.8168	11.2
22	+	22.5	23	–	22	–	0	22.5	23	532301.2398	2.6454	8907.8147	11.2
23	–	23.5	23	–	23	+	0	23.5	23	569304.5950	3.4052	9672.3031	12.5
23	–	23.5	24	–	23	+	0	23.5	24	569322.3204	3.4046	9672.3010	12.5
24	+	24.5	24	–	24	–	0	24.5	24	606260.4552	4.3480	10462.3568	13.8
24	+	24.5	25	–	24	–	0	24.5	25	606277.8875	4.3473	10462.3546	13.8

**Notes.** <sup>(a)</sup>  $N$  is the rotational quantum number,  $p$  is the parity,  $J$  and  $F$  are the resulting momenta after adding the electron and nuclear spin angular momenta to the rotational one;  $v$  is the vibrational state.

**Table A.2.** Quantum numbers<sup>a</sup>, transition frequency (Frequency), uncertainty (Unc.), lower state energy ( $E_{\text{low}}$ ) and  $A$  value of OH  $\Lambda$ -doubling transitions in the  $v = 0$ ,  $^2\Pi_{1/2}$  state from our present fit.

$N$	$p$	$J$	$F$	–	$N$	$p$	$v$	$J$	$F$	Frequency (MHz)	Unc. (MHz)	$E_{\text{low}}$ ( $\text{cm}^{-1}$ )	$A$ ( $\times 10^{-6}\text{s}^{-1}$ )
11	–	10.5	11	–	11	+	0	10.5	11	85665.9971	0.0267	2450.2579	0.105
11	–	10.5	10	–	11	+	0	10.5	10	85703.3790	0.0268	2450.2553	0.105
12	+	11.5	12	–	12	–	0	11.5	12	107036.8197	0.0438	2880.4897	0.179
12	+	11.5	11	–	12	–	0	11.5	11	107073.0719	0.0441	2880.4872	0.179
13	–	12.5	13	–	13	+	0	12.5	13	130078.2350	0.0701	3344.4717	0.281
13	–	12.5	12	–	13	+	0	12.5	12	130113.4391	0.0705	3344.4691	0.281
14	+	13.5	14	–	14	–	0	13.5	14	154660.6445	0.1087	3841.6102	0.418
14	+	13.5	13	–	14	–	0	13.5	13	154694.8639	0.1093	3841.6077	0.419
15	–	14.5	15	–	15	+	0	14.5	15	180652.6153	0.1643	4371.2832	0.594
15	–	14.5	14	–	15	+	0	14.5	14	180685.8990	0.1650	4371.2806	0.594
16	+	15.5	16	–	16	–	0	15.5	16	207920.2054	0.2433	4932.8383	0.811
16	+	15.5	15	–	16	–	0	15.5	15	207952.5907	0.2440	4932.8358	0.812
17	–	16.5	17	–	17	+	0	16.5	17	236326.7051	0.3548	5525.5932	1.07
17	–	16.5	16	–	17	+	0	16.5	16	236358.2198	0.3557	5525.5907	1.07
18	+	17.5	18	–	18	–	0	17.5	18	265732.6519	0.5115	6148.8354	1.38
18	+	17.5	17	–	18	–	0	17.5	17	265763.3164	0.5123	6148.8329	1.38
19	–	18.5	19	–	19	+	0	18.5	19	295996.0281	0.7297	6801.8227	1.74
19	–	18.5	18	–	19	+	0	18.5	18	296025.8563	0.7305	6801.8203	1.74
20	+	19.5	20	–	20	–	0	19.5	20	326972.5743	1.0298	7483.7842	2.14
20	+	19.5	19	–	20	–	0	19.5	19	327001.5751	1.0306	7483.7818	2.14
21	–	20.5	21	–	21	+	0	20.5	21	358516.1794	1.4363	8193.9209	2.59
21	–	20.5	20	–	21	+	0	20.5	20	358544.3573	1.4370	8193.9184	2.59
22	+	21.5	22	–	22	–	0	21.5	22	390479.3150	1.9770	8931.4066	3.07
22	+	21.5	21	–	22	–	0	21.5	21	390506.6708	1.9776	8931.4042	3.07
23	–	22.5	23	–	23	+	0	22.5	23	422713.4928	2.6823	9695.3897	3.60
23	–	22.5	22	–	23	+	0	22.5	22	422740.0243	2.6828	9695.3873	3.60
24	+	23.5	24	–	24	–	0	23.5	24	455069.7308	3.5839	10484.9933	4.16
24	+	23.5	23	–	24	–	0	23.5	23	455095.4332	3.5843	10484.9910	4.16

**Notes.** <sup>(a)</sup>  $N$  is the rotational quantum number,  $p$  is the parity,  $J$  and  $F$  are the resulting momenta after adding the electron and nuclear spin angular momenta to the rotational one;  $v$  is the vibrational state.

**Table A.3.** Quantum numbers<sup>a</sup>, transition frequency (Frequency), uncertainty (Unc.), lower state energy ( $E_{\text{low}}$ ) and  $A$  value of OH  $\Lambda$ -doubling transitions in the  $v = 1, {}^2\Pi_{3/2}$  state from our present fit.

$N$	$p$	$J$	$F$	–	$N$	$p$	$v$	$J$	$F$	Frequency (MHz)	Unc. (MHz)	$E_{\text{low}}$ ( $\text{cm}^{-1}$ )	$A$ ( $\times 10^{-6} \text{s}^{-1}$ )
8	+	8.5	8	–	8	–	1	8.5	8	86177.8025	0.0554	4840.2064	0.387
8	+	8.5	9	–	8	–	1	8.5	9	86191.7190	0.0554	4840.2048	0.388
9	–	9.5	9	–	9	+	1	9.5	9	107485.6400	0.0845	5157.1087	0.591
9	–	9.5	10	–	9	+	1	9.5	10	107500.4151	0.0845	5157.1070	0.591
10	+	10.5	10	–	10	–	1	10.5	10	130638.8841	0.1235	5507.3314	0.854
10	+	10.5	11	–	10	–	1	10.5	11	130654.3551	0.1235	5507.3296	0.855
11	–	11.5	11	–	11	+	1	11.5	11	155506.9363	0.1748	5890.5079	1.18
11	–	11.5	12	–	11	+	1	11.5	12	155522.9647	0.1748	5890.5060	1.18
12	+	12.5	12	–	12	–	1	12.5	12	181960.7090	0.2414	6306.2157	1.58
12	+	12.5	13	–	12	–	1	12.5	13	181977.1759	0.2414	6306.2138	1.58
13	–	13.5	13	–	13	+	1	13.5	13	209870.4018	0.3279	6753.9818	2.06
13	–	13.5	14	–	13	+	1	13.5	14	209887.2044	0.3279	6753.9798	2.06
14	+	14.5	14	–	14	–	1	14.5	14	239104.1684	0.4406	7233.2873	2.60
14	+	14.5	15	–	14	–	1	14.5	15	239121.2166	0.4406	7233.2853	2.61
15	–	15.5	15	–	15	+	1	15.5	15	269527.3940	0.5879	7743.5703	3.23
15	–	15.5	16	–	15	+	1	15.5	16	269544.6085	0.5879	7743.5683	3.23
16	+	16.5	16	–	16	–	1	16.5	16	301002.3858	0.7804	8284.2290	3.94
16	+	16.5	17	–	16	–	1	16.5	17	301019.6957	0.7804	8284.2270	3.94
17	–	17.5	17	–	17	+	1	17.5	17	333388.3372	1.0304	8854.6237	4.71
17	–	17.5	18	–	17	+	1	17.5	18	333405.6788	1.0305	8854.6216	4.72
18	+	18.5	18	–	18	–	1	18.5	18	366541.4751	1.3526	9454.0788	5.57
18	+	18.5	19	–	18	–	1	18.5	19	366558.7903	1.3526	9454.0767	5.57
19	–	19.5	19	–	19	+	1	19.5	19	400315.3257	1.7632	10081.8849	6.48
19	–	19.5	20	–	19	+	1	19.5	20	400332.5615	1.7632	10081.8828	6.48

**Notes.** <sup>(a)</sup>  $N$  is the rotational quantum number,  $p$  is the parity,  $J$  and  $F$  are the resulting momenta after adding the electron and nuclear spin angular momenta to the rotational one;  $v$  is the vibrational state.

**Table A.4.** Quantum numbers<sup>a</sup>, transition frequency (Frequency), uncertainty (Unc.), lower state energy ( $E_{\text{low}}$ ) and  $A$  value of OH  $\Lambda$ -doubling transitions in the  $v = 1, {}^2\Pi_{1/2}$  state from our present fit.

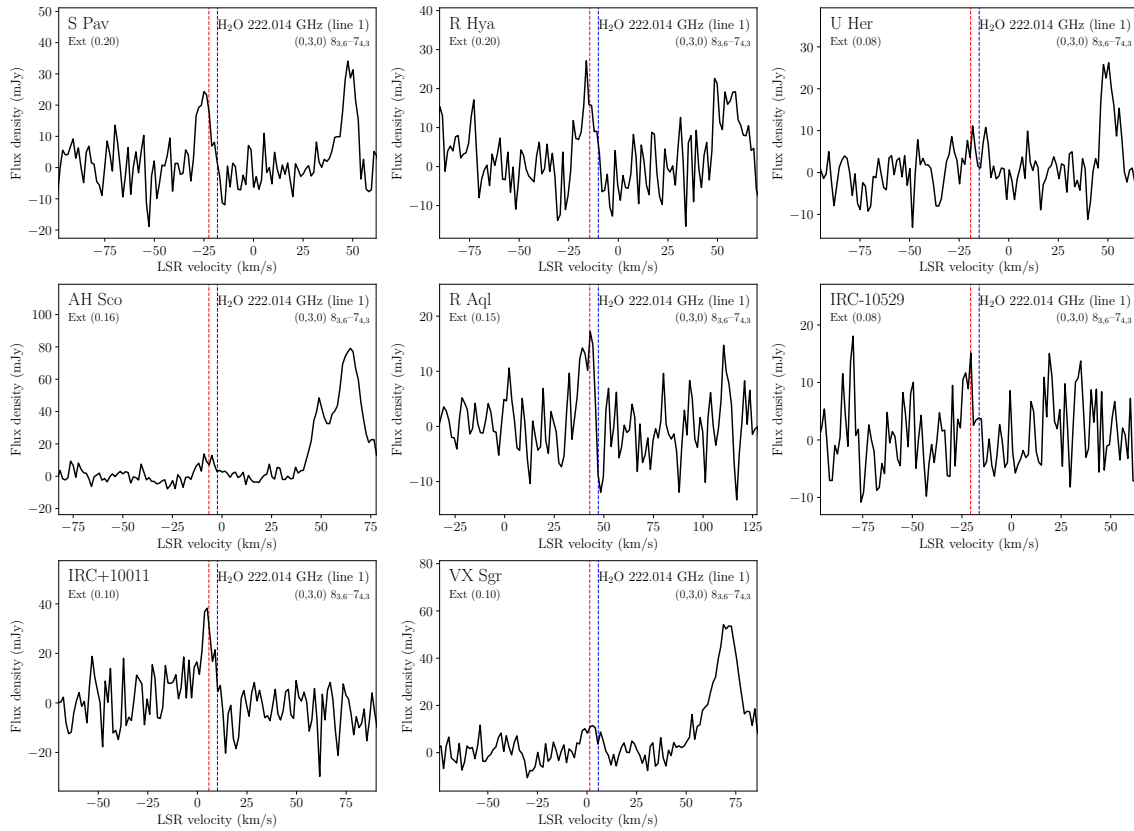
$N$	$p$	$J$	$F$	–	$N$	$p$	$v$	$J$	$F$	Frequency (MHz)	Unc. (MHz)	$E_{\text{low}}$ ( $\text{cm}^{-1}$ )	$A$ ( $\times 10^{-6} \text{s}^{-1}$ )
11	–	10.5	11	–	11	+	1	10.5	11	80715.3788	0.1322	5927.9484	0.087
11	–	10.5	10	–	11	+	1	10.5	10	80751.0251	0.1324	5927.9459	0.087
12	+	11.5	12	–	12	–	1	11.5	12	101017.4816	0.2116	6341.3638	0.148
12	+	11.5	11	–	12	–	1	11.5	11	101052.0236	0.2120	6341.3612	0.148
13	–	12.5	13	–	13	+	1	12.5	13	122902.5572	0.3245	6787.1800	0.234
13	–	12.5	12	–	13	+	1	12.5	12	122936.0717	0.3249	6787.1775	0.234
14	+	13.5	14	–	14	–	1	13.5	14	146242.5151	0.4804	7264.8140	0.349
14	+	13.5	13	–	14	–	1	13.5	13	146275.0618	0.4808	7264.8115	0.350
15	–	14.5	15	–	15	+	1	14.5	15	170907.6132	0.6903	7773.6543	0.497
15	–	14.5	14	–	15	+	1	14.5	14	170939.2382	0.6908	7773.6518	0.497
16	+	15.5	16	–	16	–	1	15.5	16	196765.7454	0.9672	8313.0601	0.678
16	+	15.5	15	–	16	–	1	15.5	15	196796.4837	0.9677	8313.0576	0.680
17	–	16.5	17	–	17	+	1	16.5	17	223682.1369	1.3258	8882.3608	0.901
17	–	16.5	16	–	17	+	1	16.5	16	223712.0146	1.3263	8882.3584	0.901
18	+	17.5	18	–	18	–	1	17.5	18	251519.3118	1.7823	9480.8560	1.16
18	+	17.5	17	–	18	–	1	17.5	17	251548.3477	1.7829	9480.8536	1.16
19	–	18.5	19	–	19	+	1	18.5	19	280137.2392	2.3549	10107.8161	1.46
19	–	18.5	18	–	19	+	1	18.5	18	280165.4459	2.3555	10107.8137	1.46

**Notes.** <sup>(a)</sup>  $N$  is the rotational quantum number,  $p$  is the parity,  $J$  and  $F$  are the resulting momenta after adding the electron and nuclear spin angular momenta to the rotational one;  $v$  is the vibrational state.

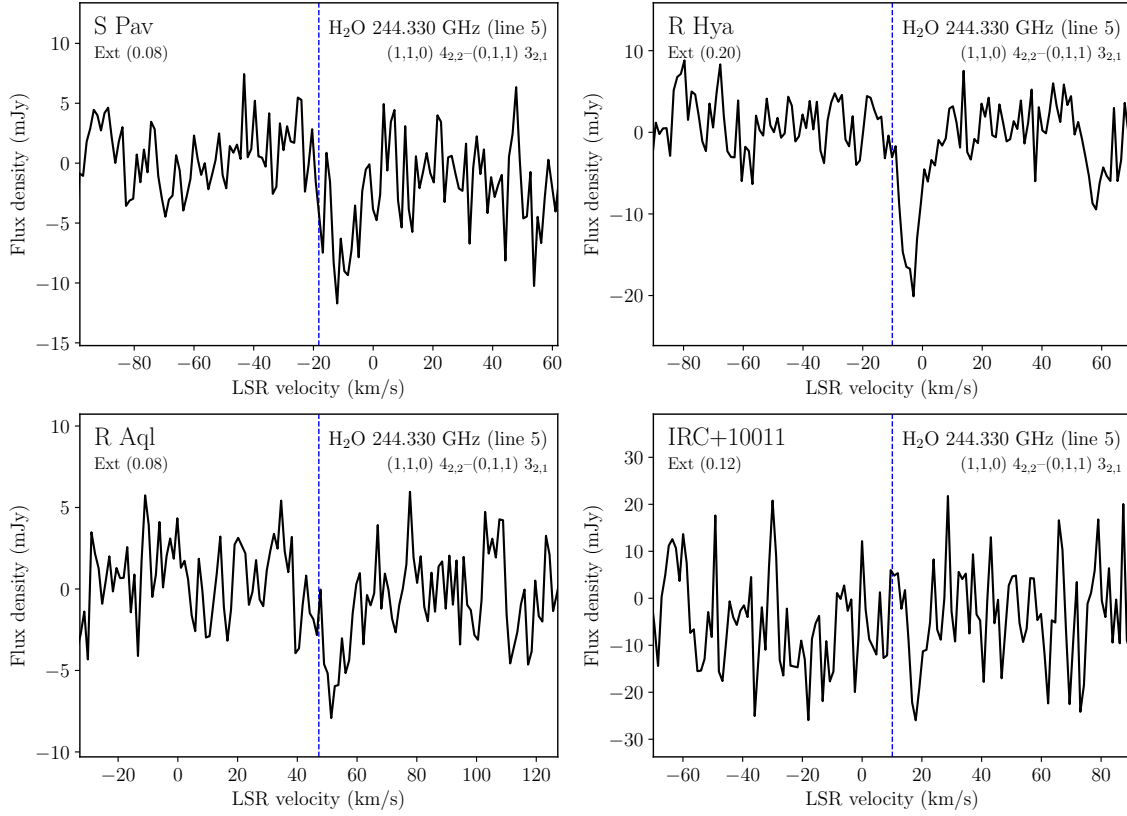
## Appendix B: Water line spectra

We have gathered here all spectra extracted from our extended configuration data cubes for the H<sub>2</sub>O lines 1, 5, 6, 7, 8, 10, 12, 13 and 14 listed in Table 2. For lines 12 and 14 we have also added the mid configuration spectra. The circular extraction aperture selected for each line can vary from one spectral transition to another in each source. It has been chosen so that all the emission visible in the channel maps is included in the spectra but is not too large to degrade the S/N. For some very weak detections, we used 0'08 by default for the extended configuration spectra.

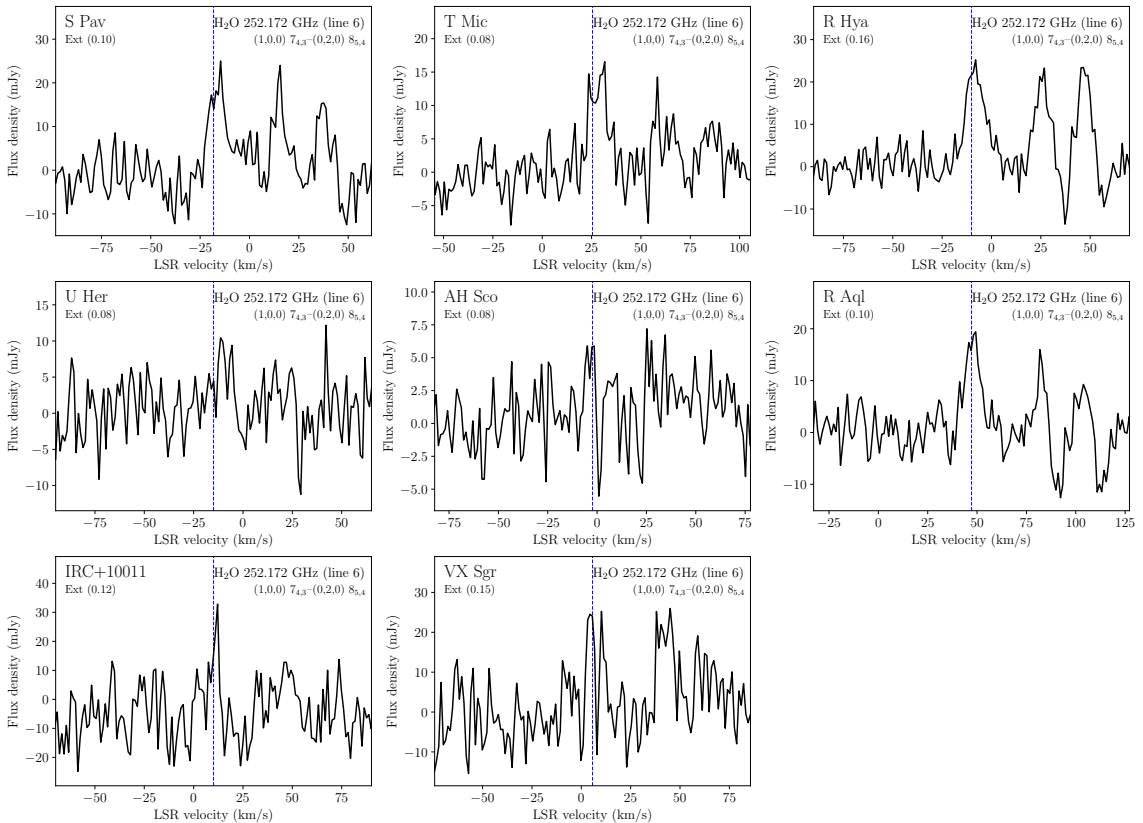
The very weak line 4 at 236.805 GHz identified in R Hya, and perhaps in S Pav, is presented for the combined extended and mid arrays in Fig. C.1.



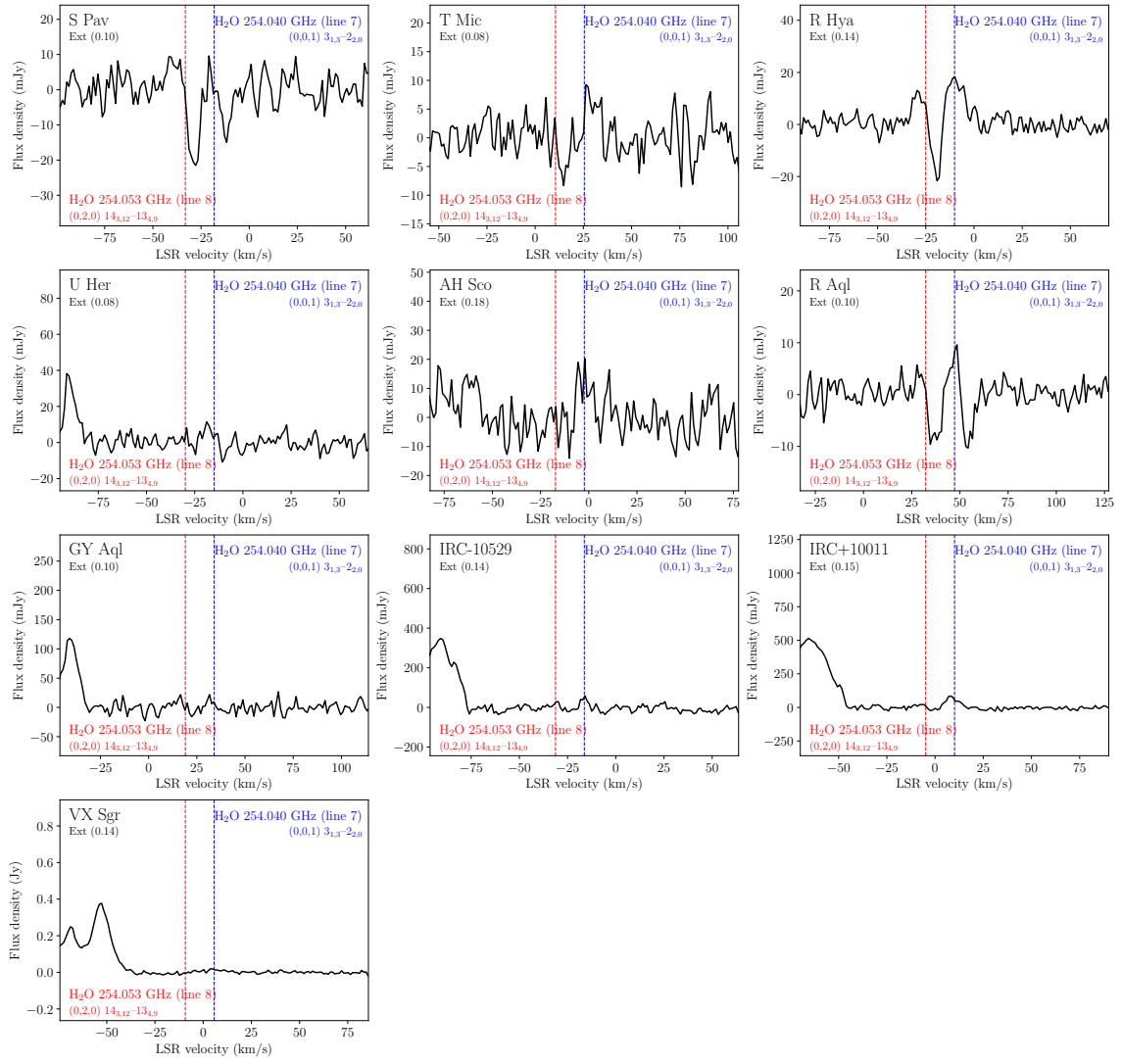
**Fig. B.1.** Spectra of line 1 at 222.014 GHz (Table 2) extracted from the extended configuration array. The extraction diameter (in arc sec) is given in parentheses below the source name in the upper left corner of each spectrum. The observed frequency is converted to the LSR frame using the catalog line rest frequency given in Table 2. The blue vertical line indicates the adopted new LSR systemic velocity (see Table 1). The red vertical line shows the LSR velocity for the slightly different frequency determined in this work (see Table 2).



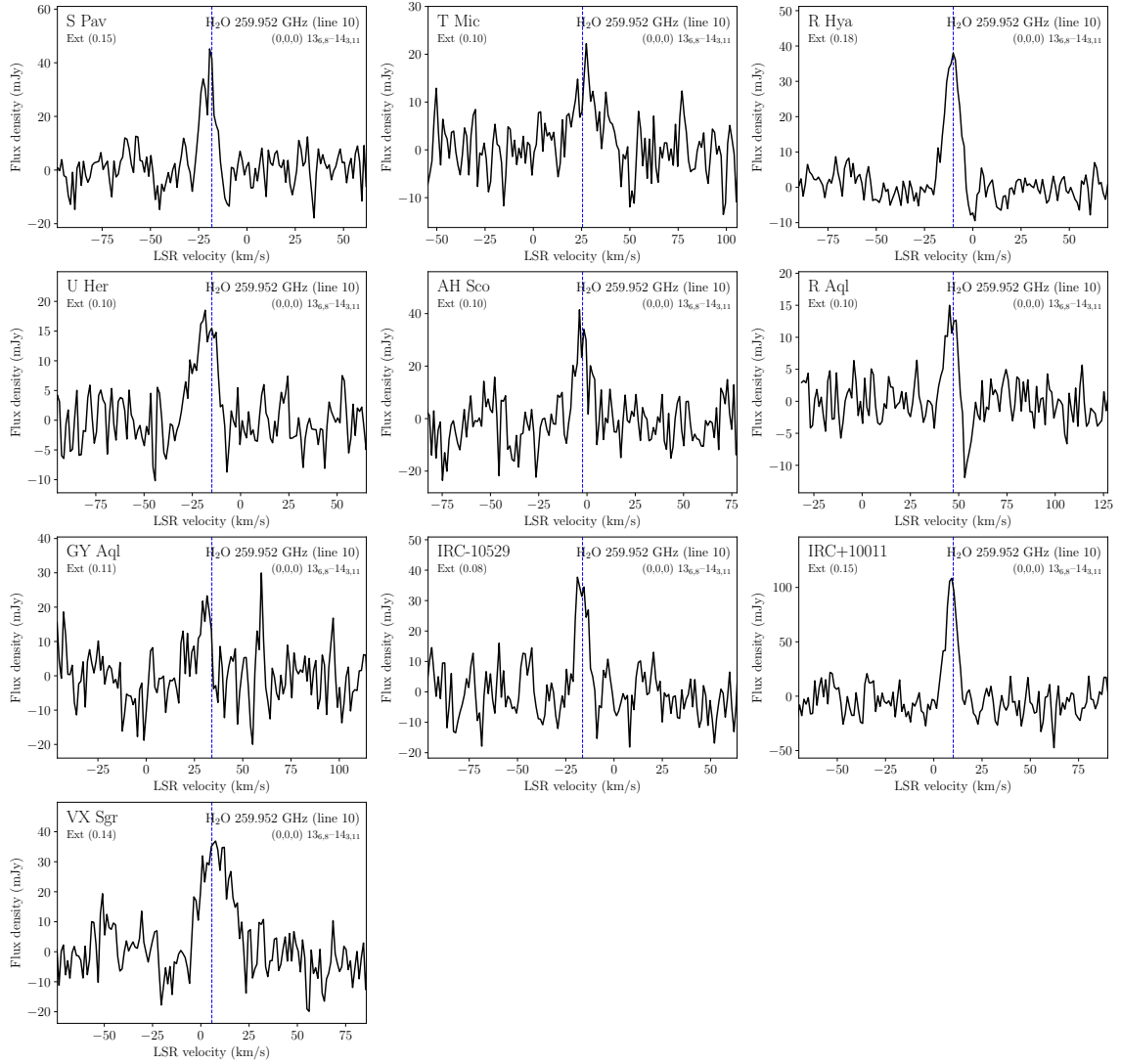
**Fig. B.2.** Spectra of line 5 at 244.330 GHz (Table 2) extracted from the extended configuration array. The extraction diameter (in arc sec) is given in parentheses below the source name in the upper left corner of each spectrum. The observed frequency is converted to the LSR frame using the catalog line rest frequency given in Table 2. The blue vertical line indicates the adopted new LSR systemic velocity (see Table 1).



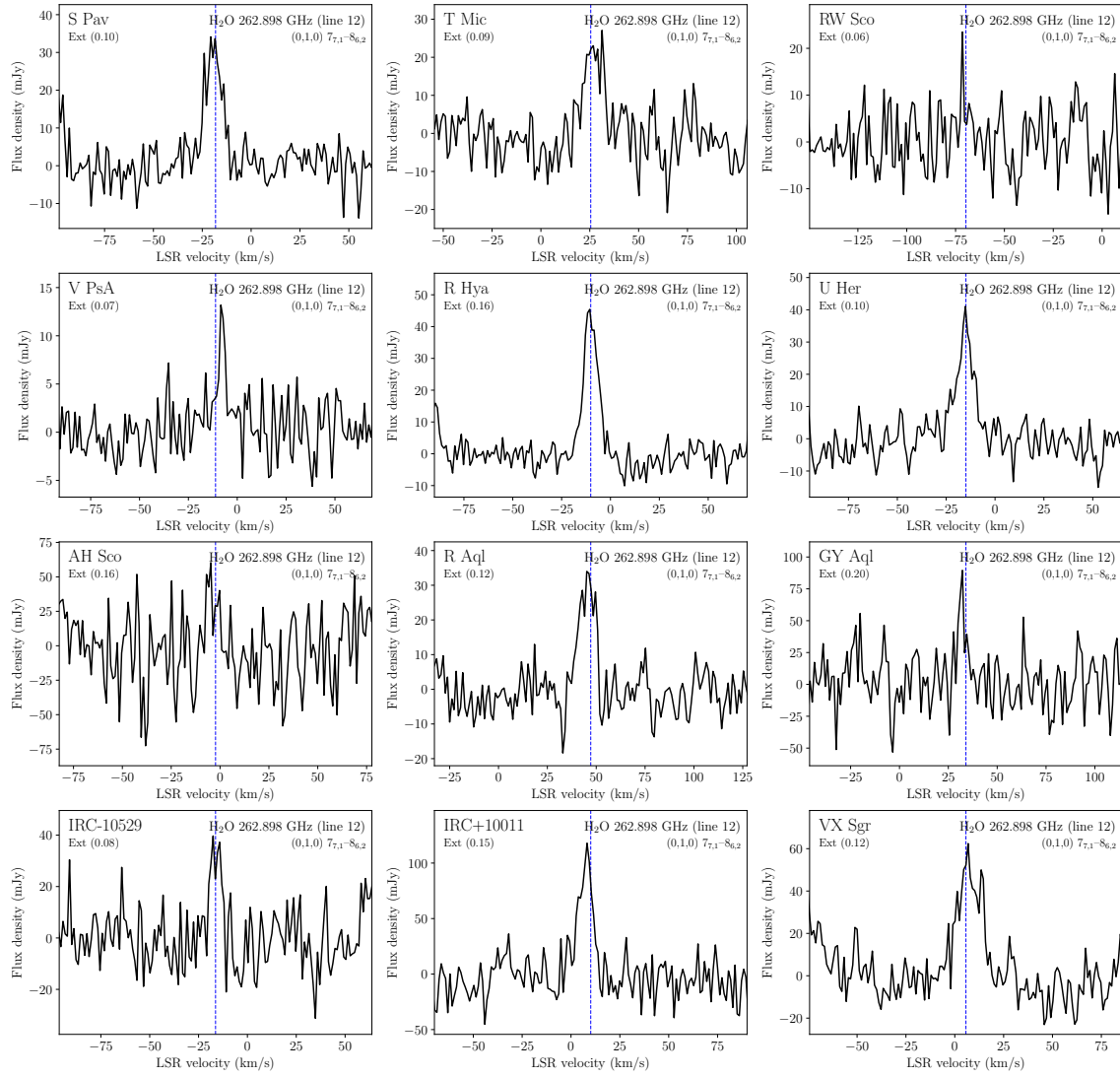
**Fig. B.3.** Spectra of line 6 at 252.172 GHz (Table 2) extracted from the extended configuration array. The rest of the figure caption is as in Fig. B.2.



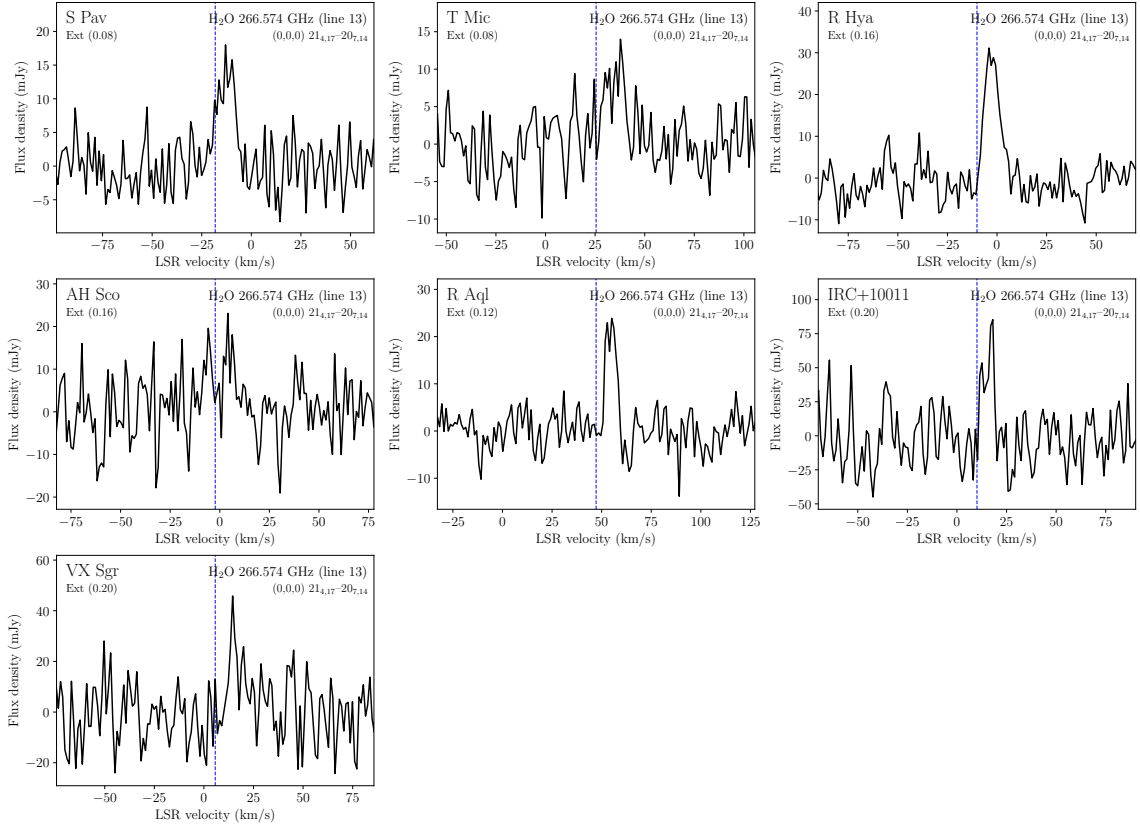
**Fig. B.4.** Spectra of lines 7 and 8 at 254.040 and 254.053 GHz (Table 2) extracted from the extended configuration array. The extraction diameter (in arc sec) is given in parentheses below the source name in the upper left corner of each spectrum. The blue and red vertical lines (lines 7 and 8) indicate the adopted new LSR systemic velocity (see Table 1) using the catalog rest frequencies (Table 2).



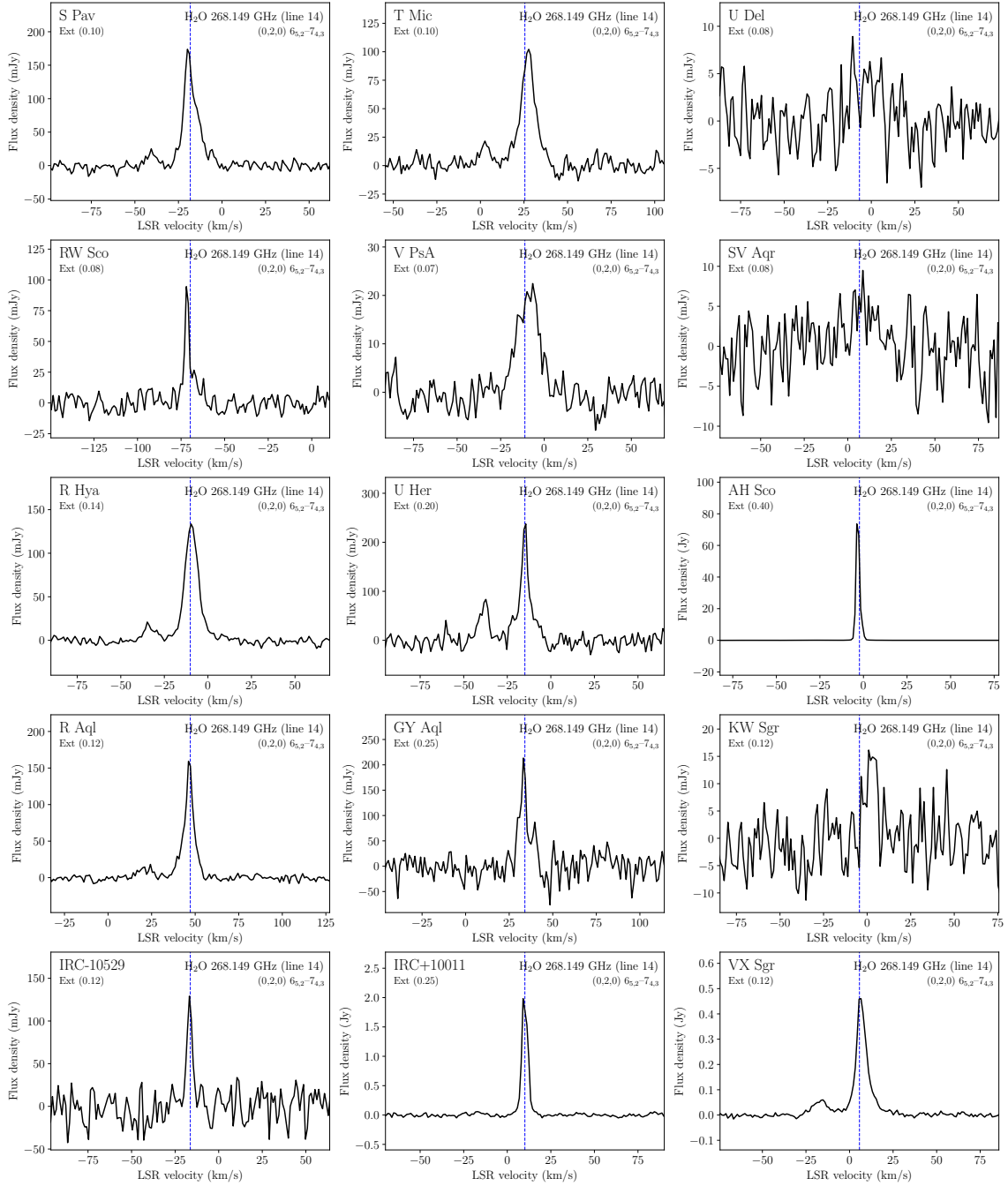
**Fig. B.5.** Spectra of line 10 at 259.952 GHz (Table 2) extracted from the extended configuration array. The rest of the figure caption is as in Fig. B.2..



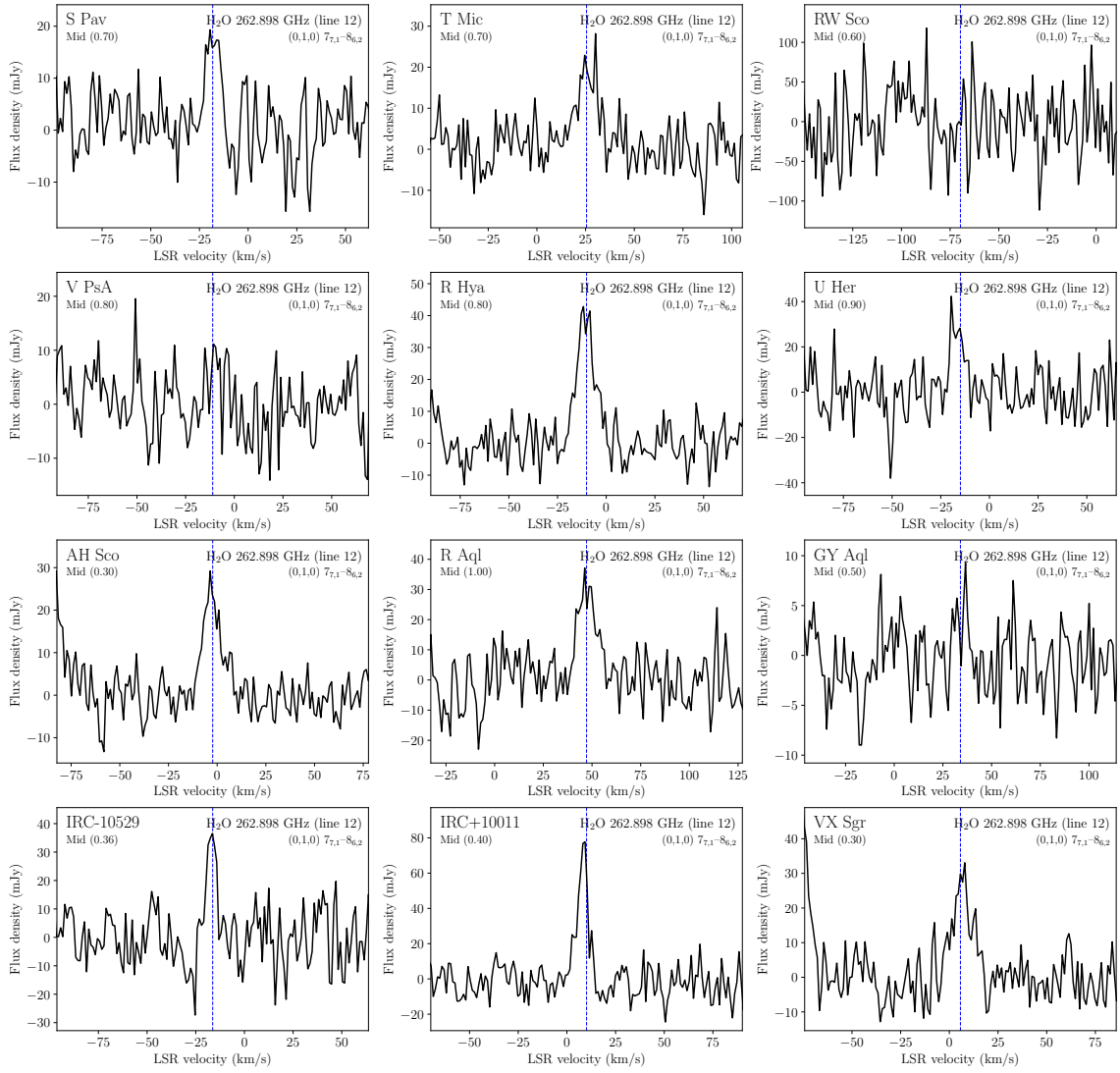
**Fig. B.6.** Spectra of line 12 at 262.898 GHz (Table 2) extracted from the extended configuration array. The rest of the figure caption is as in Fig. B.2.



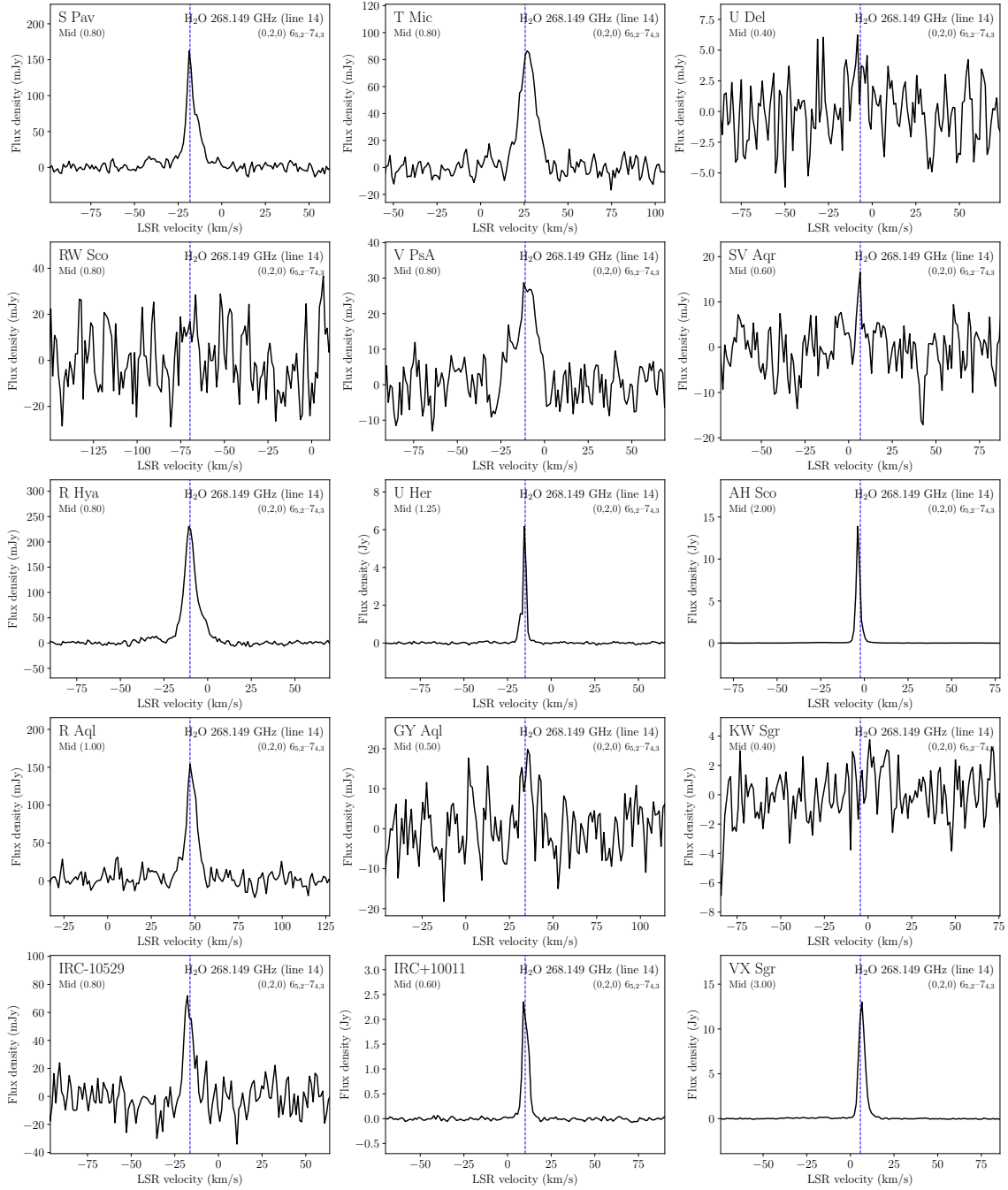
**Fig. B.7.** Spectra of line 13 at 266.574 GHz (Table 2) extracted from the extended configuration array. The rest of the figure caption is as in Fig. B.2.



**Fig. B.8.** Spectra of line 14 at 268.149 GHz (Table 2) extracted from the extended configuration array. The rest of the figure caption is as in Fig. B.2.



**Fig. B.9.** Spectra of line 12 at 262.898 GHz (Table 2) extracted from the *mid* configuration array. The rest of the figure caption is as in Fig. B.2.

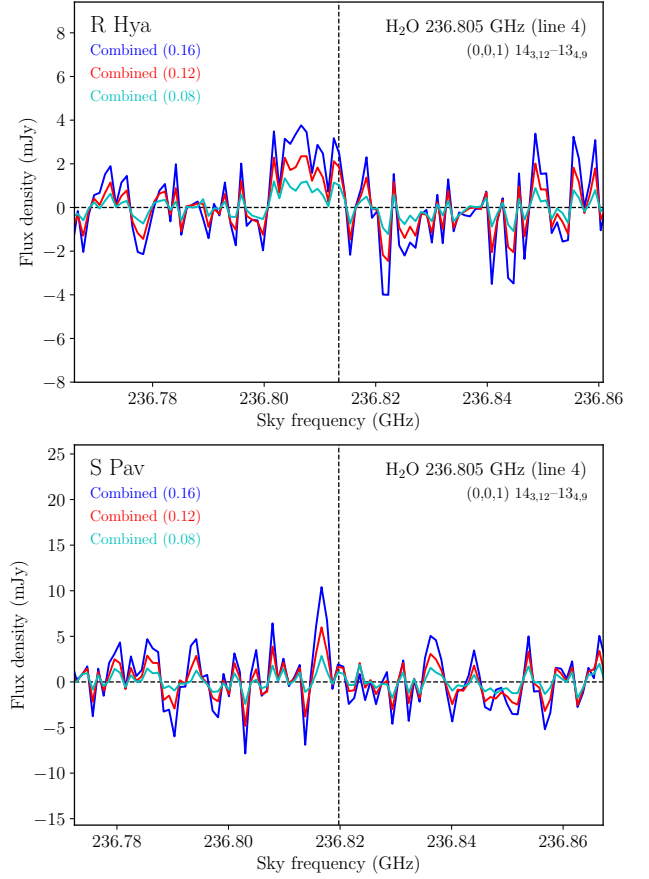


**Fig. B.10.** Spectra of line 14 at 268.149 GHz (Table 2) extracted from the *mid* configuration array. The rest of the figure caption is as in Fig. B.2.

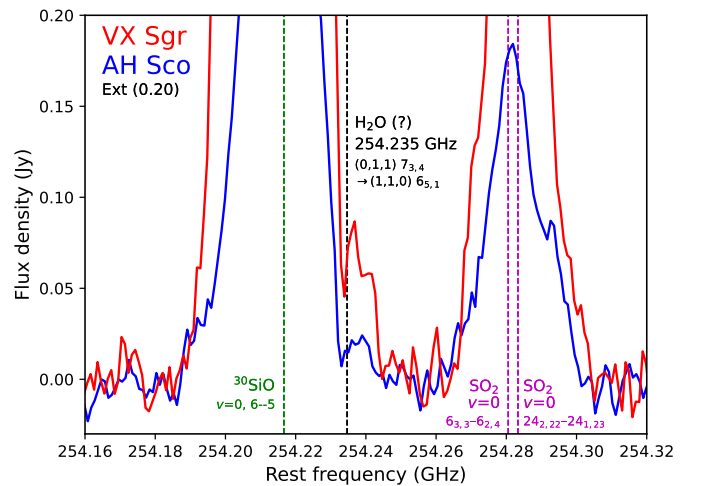
### Appendix C: 236.805 GHz H<sub>2</sub>O line, 254.17–254.32 GHz line emission region

Fig. C.1, upper panel, shows the water emission observed in R Hya around 236.805 GHz from the highest energy levels identified in this work (line 4 in Table 2) for different extraction diameters of the combined high and mid arrays. The spectra peak at  $3.7 \pm 1.5$  mJy for an aperture diameter of  $0''.16$ ; the uncertainty is at the  $2\sigma$  level. Peak emission around 10 mJy is also visible with the extended array alone in the same frequency range and for an aperture diameter of  $0''.16$ . There is also an uncertain detection of the 236.805 GHz transition toward S Pav (Fig. C.1, lower panel). The spectrum is rather noisy but we observe a peak flux density of  $\sim 10$  mJy with the combined array for an aperture diameter of  $0''.16$ . Detection is less convincing around 236.805 GHz with the extended array alone.

Fig. C.2 presents the emission line profile toward two supergiants, AH Sco and VX Sgr, in the 254.17 to 254.32 GHz frequency range. There are two major features in this range, one near 254.217 GHz for the  $v = 0$ ,  $J = 6 - 5$  transition of  $^{30}\text{SiO}$ , and another one around 254.28 GHz corresponding to the  $v = 0$ ,  $J_{K_a, K_c} = 6_{3,3} - 6_{2,4}$  transition of  $\text{SO}_2$ , or to a blend of the latter transition with  $\text{SO}_2$ ,  $v = 0$ ,  $J_{K_a, K_c} = 24_{2,22} - 24_{1,23}$ . The vertical line at 254.235 GHz marks the  $(0,1,1) 7_{3,4} - (1,1,0) 6_{5,1}$  transition of para H<sub>2</sub>O as predicted in Furtenbacher et al. (2020) (line 9 in Table 2). The weak feature observed near 254.235 GHz for the high resolution data and an aperture diameter of  $0''.2$  falls within  $\sim 3$  MHz of the predicted water line 9 in both sources. However, the detection of line 9 is uncertain because the apparent H<sub>2</sub>O emission could just be part of the complex emission and absorption features in the blueshifted wing of the  $v = 0$ ,  $^{30}\text{SiO}$  line profile. We note that the  $^{30}\text{SiO}$  blueshifted line wing is rather steep and that the dip observed near 254.233 GHz in the line profile is visible in the extended configuration data when the diameter of the extraction aperture is  $\leq 0''.12$ . This dip could thus be interpreted as the absorption signature of  $^{30}\text{SiO}$  material at large distances along the line of sight to the central star. Such features have been seen in the ALMA data of other evolved stars (e.g., Takigawa et al. 2021; Decin et al. 2018; Hoai et al. 2021), especially when the beam size is small compared to the angular size of the stellar disk. In addition, the radiative transfer models of Schoenberg (1988) show that a distinct blueshifted emission feature may arise from an optically thick line with extended scattering zones and, depending on the adopted turbulence, an enhanced blue line wing may also be reproduced in the CO line models (e.g., De Beck et al. 2012). Hence, our line profile in the 254.18–254.25 GHz range could just be pure  $^{30}\text{SiO}$  emission that is extended and optically thick. However, since both AH Sco and VX Sgr have similar terminal expansion velocities of  $\sim 34$ – $35$  km s<sup>-1</sup> (Gottlieb et al. 2022), it is not possible to exclude that the 254.235 GHz feature is due to water by examining these two spectra alone. We note that this feature was not observed in any AGBs of the ATOMIUM sample. Finally, we also note that the unidentified signal observed in R Hya and R Aql at 254.244 MHz (Wallström et al., in preparation) lies at about 9 MHz from the 254.235 GHz H<sub>2</sub>O (line 9); this could perhaps be due to the infall of water toward the central star.



**Fig. C.1.** Spectrum of the  $(0,0,1) 14_{3,12}-13_{4,9}$  transition of para H<sub>2</sub>O in R Hya (upper panel). Following the JPL catalog, we assume a rest frequency of 236.8054 GHz (line 4 in Table 2). The line profiles are extracted from the combined high and mid resolution data cubes for circular apertures with  $0''.08$ ,  $0''.12$  and  $0''.16$  diameters. The vertical dashed line marks the water line frequency shifted by the systemic velocity of the star ( $v_{\text{LSR}}^{\text{new}}$  in Table 1). The lower panel shows the same spectrum toward S Pav.



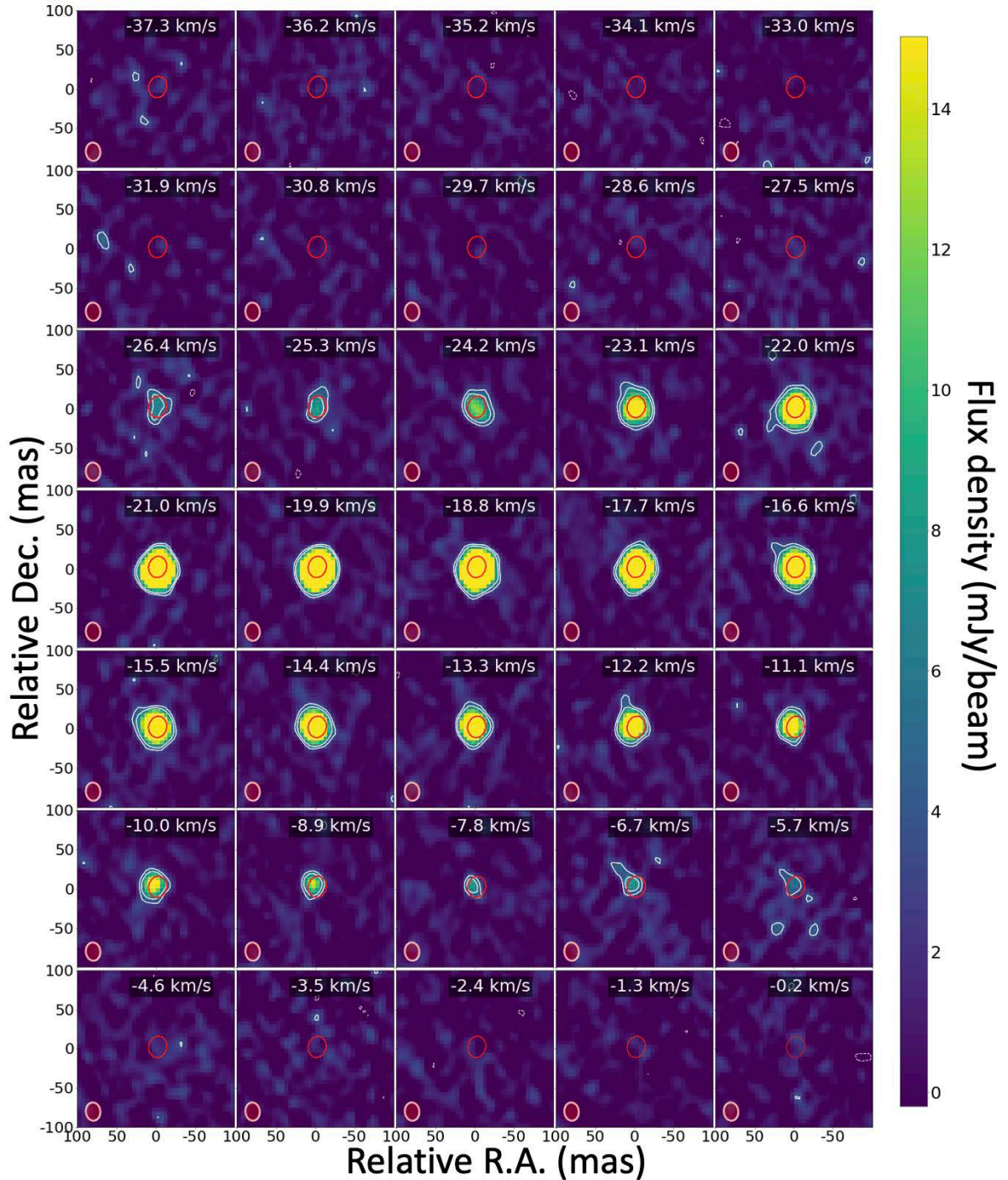
**Fig. C.2.** Emission line profiles toward AH Sco (blue) and VX Sgr (red) in the vicinity of the  $v = 0$ ,  $J = 6 - 5$  transition of  $^{30}\text{SiO}$  (at 254.217 GHz) and of the  $(0,1,1) 7_{3,4} - (1,1,0) 6_{5,1}$  transition of para water near 254.235 GHz (line 9, Table 2). The spectra are extracted from the high resolution data for a circular aperture of  $0''.2$  diameter and plotted in the rest frequency frame. The dotted black vertical line is the expected water frequency according to Furtenbacher et al. (2020) (see Table 2). Identification of the water transition at 254.235 GHz is uncertain (see discussion in Appendix C).

## Appendix D: Water channel maps and zeroth moment maps

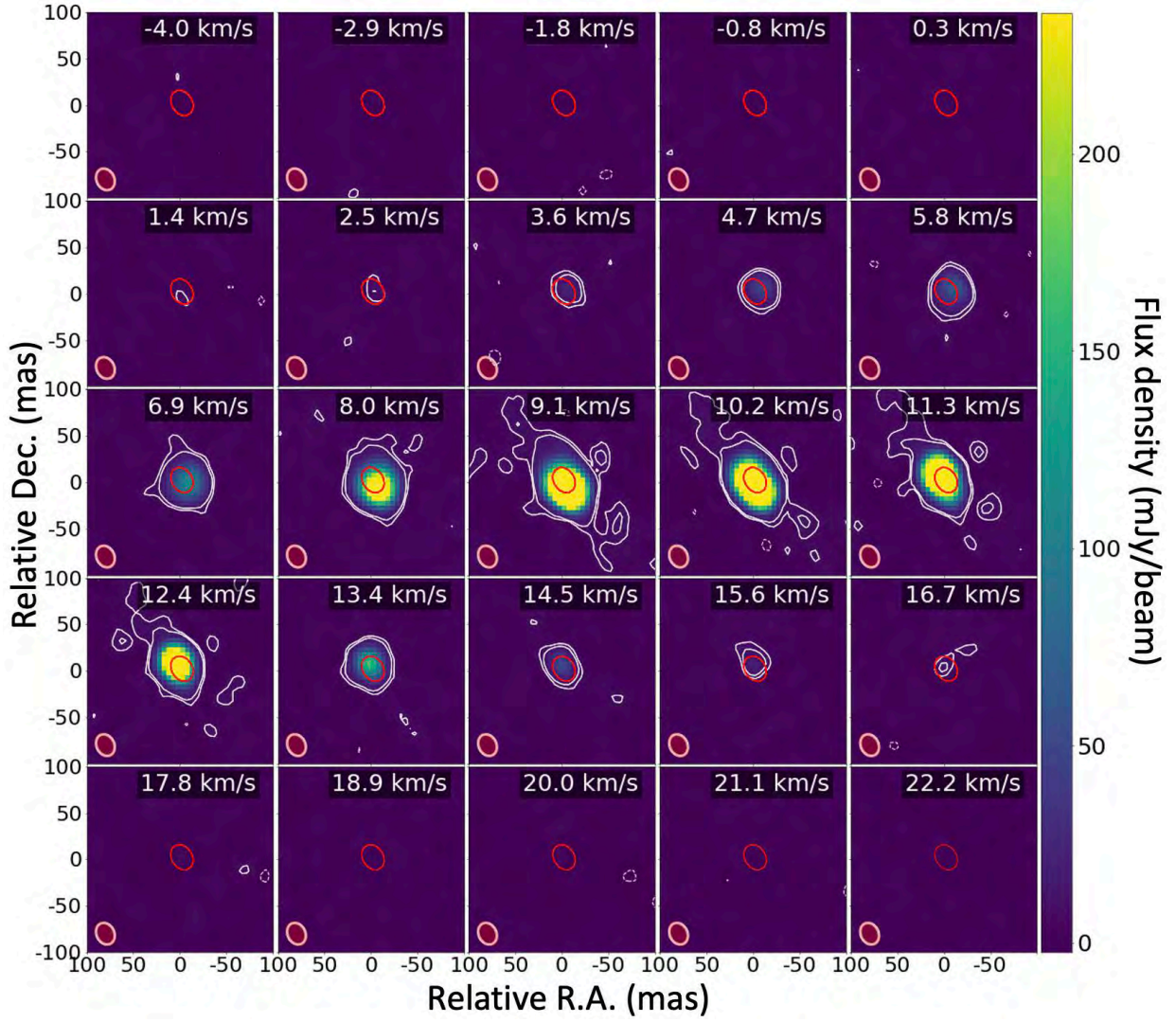
A few channel maps obtained for the extended configuration are shown and discussed in Sect. 4.3. Additional channel maps are presented here at: 268.149 GHz (line 14) in S Pav (Fig. D.1), IRC+10011 (Fig. D.2) and the two RSGs, VX Sgr and AH Sco (Figs. D.3 and D.4); 262.898 GHz (line 12) in R Hya and U Her (Fig. D.5), S Pav and IRC+10011 (Fig. D.6) and, VX Sgr and AH Sco (Fig. D.7); 259.952 GHz (line 10) in R Hya and

S Pav (Fig. D.8) and, in IRC+10011 and VX Sgr (Fig. D.9); 222.014 GHz (line 1) in R Hya and S Pav (Fig. D.10), IRC+10011 and VXSgr (Fig. D.11) and, R Aql and AH Sco (Fig. D.12).

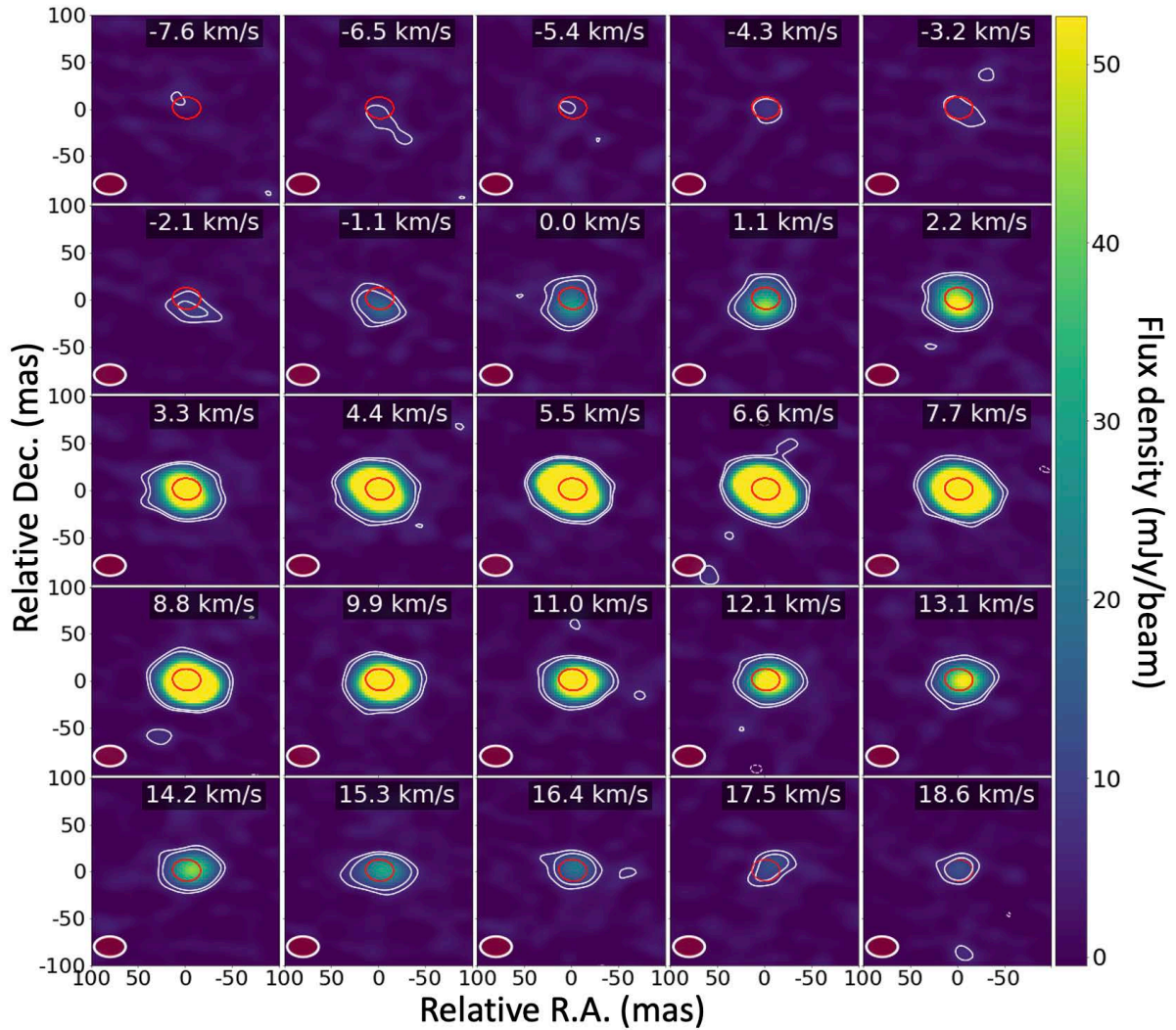
Additional mom 0 maps are also gathered in this Appendix at 222.014 GHz in R Hya, IRC+10011, VX Sgr and S Pav (Fig. D.13), and at 254.053 GHz in S Pav and R Hya (Fig. D.14).



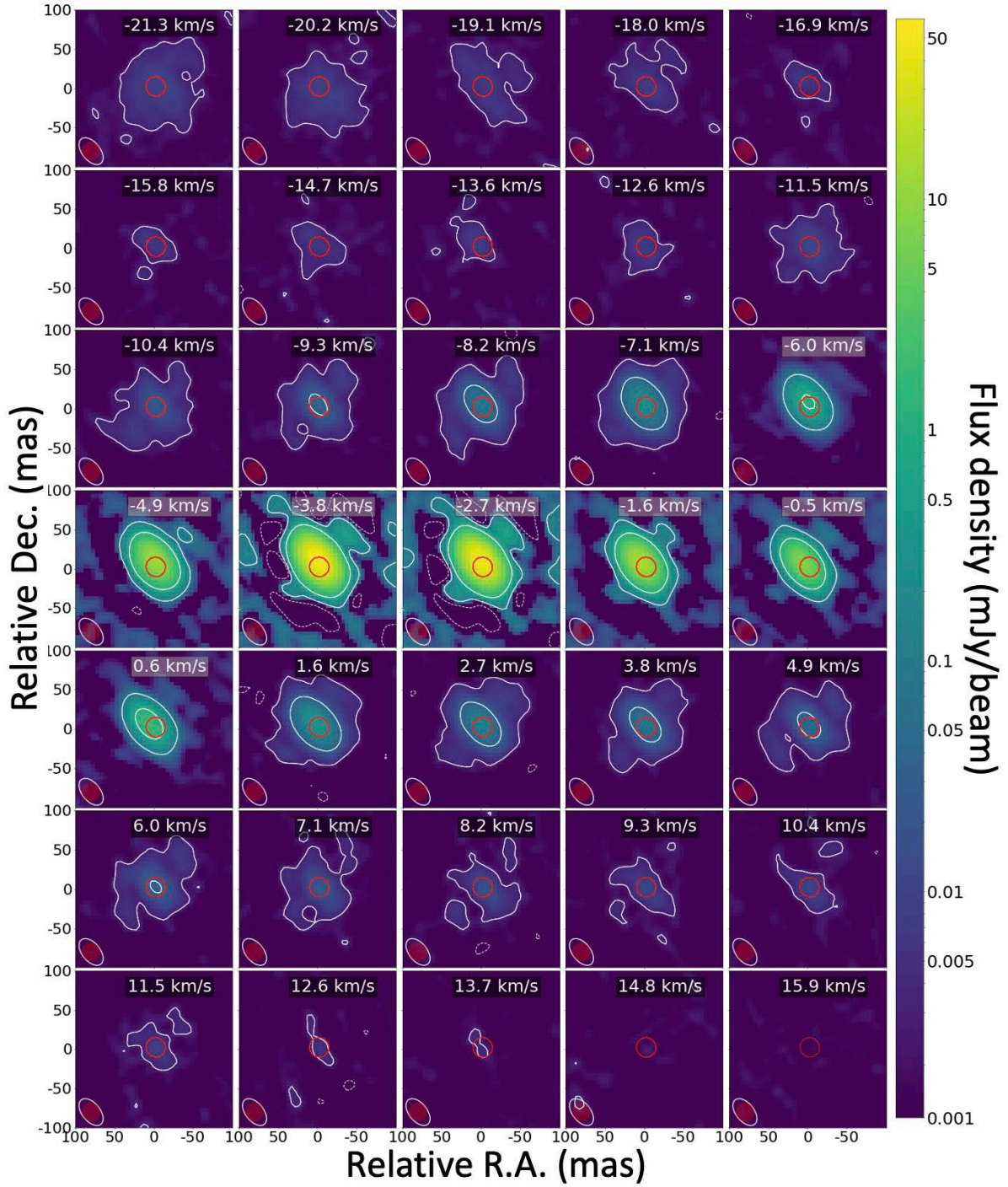
**Fig. D.1.** High resolution channel map of S Pav in the  $(0,2,0) 6_{5,2}-7_{4,3}$  rotational transition of water at 268.149 GHz. Caption as in Fig. 6 except for the velocity range and the line peak flux density, 75 mJy/beam; the typical r.m.s. is 1 mJy/beam. The HPBW is  $(23 \times 18)$  mas at PA  $4^\circ$  and  $(25 \times 20)$  mas at PA  $-13^\circ$  for the line and continuum, respectively.



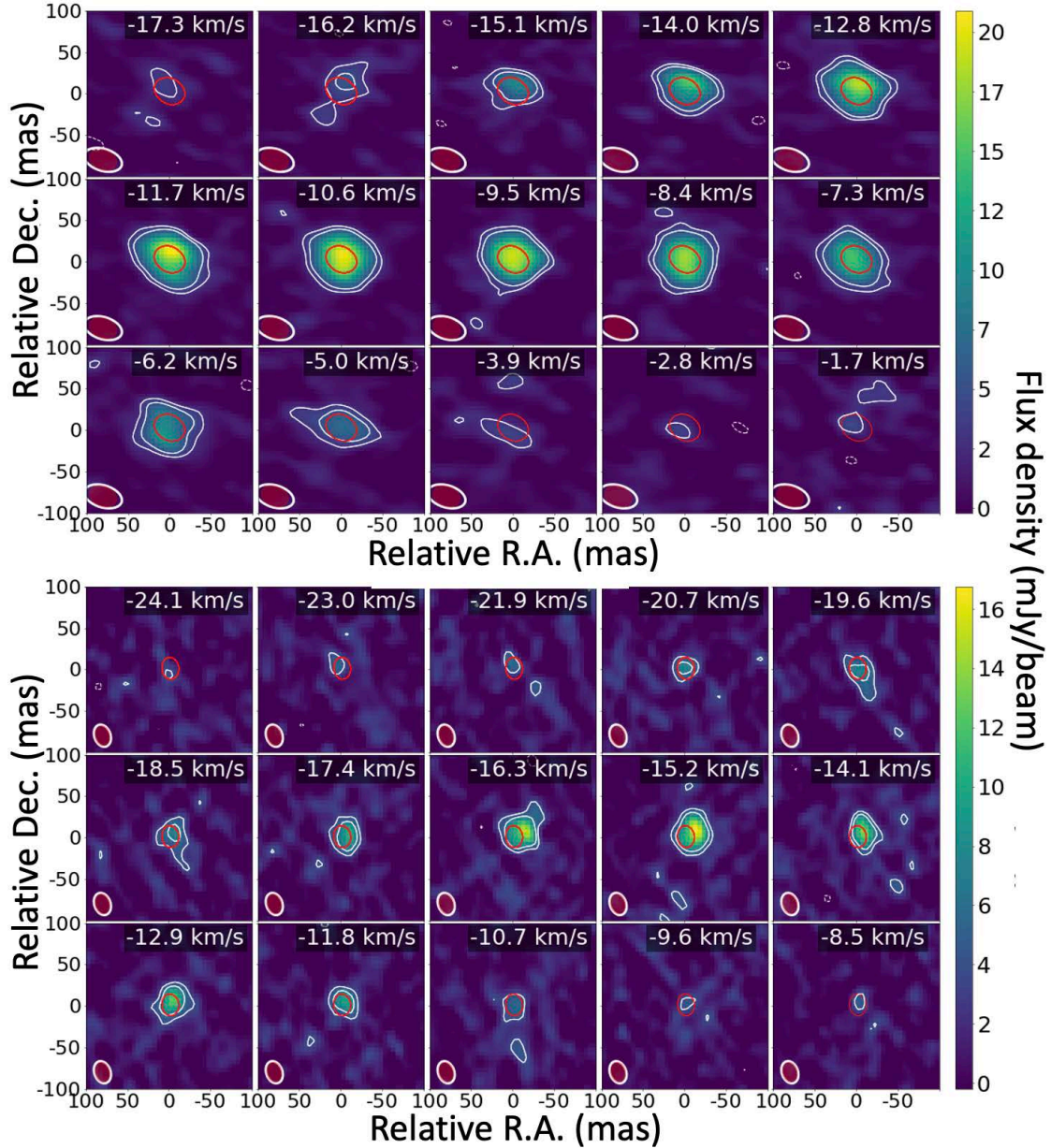
**Fig. D.2.** High resolution channel map of IRC+10011 in the  $(0,2,0)$   $6_{5,2}-7_{4,3}$  rotational transition of water at 268.149 GHz. Caption as in Fig. 6 except for the velocity range and the line peak flux density, 1178 mJy/beam; the typical r.m.s. is 2 mJy/beam. The HPBW is  $(24 \times 19)$  mas at PA  $26^\circ$  and  $(27 \times 19)$  mas at PA  $31^\circ$  for the line and continuum, respectively.



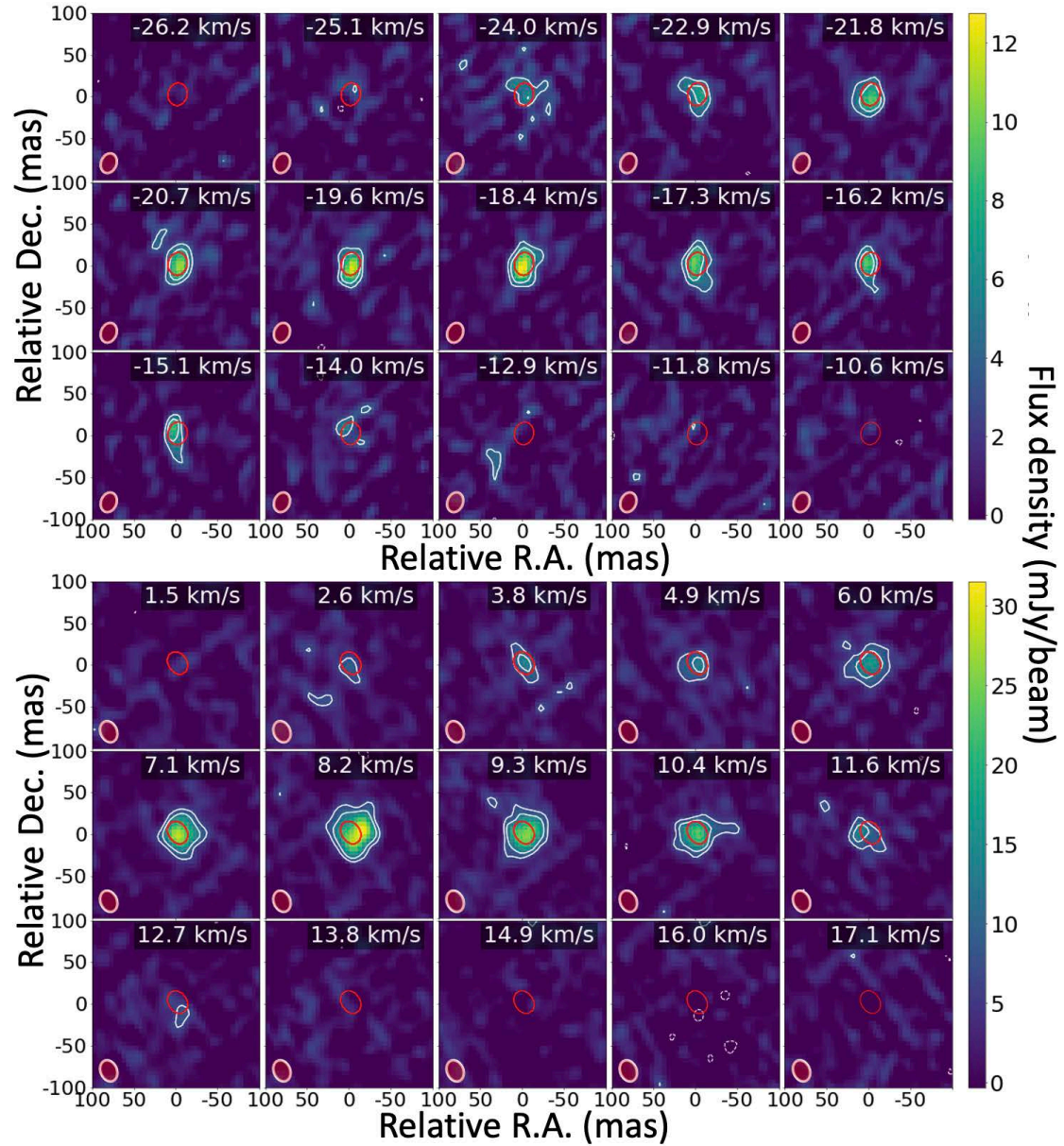
**Fig. D.3.** High resolution channel map of VX Sgr in the  $(0,2,0)$   $6_{5,2}-7_{4,3}$  rotational transition of water at 268.149 GHz. Caption as in Fig. 6 except for the velocity range and the line peak flux density, 263 mJy/beam; the typical r.m.s. is 2 mJy/beam. The HPBW is  $(33\times 21)$  mas at PA  $89^\circ$  and  $(28\times 20)$  mas at PA  $89^\circ$  for the line and continuum, respectively.



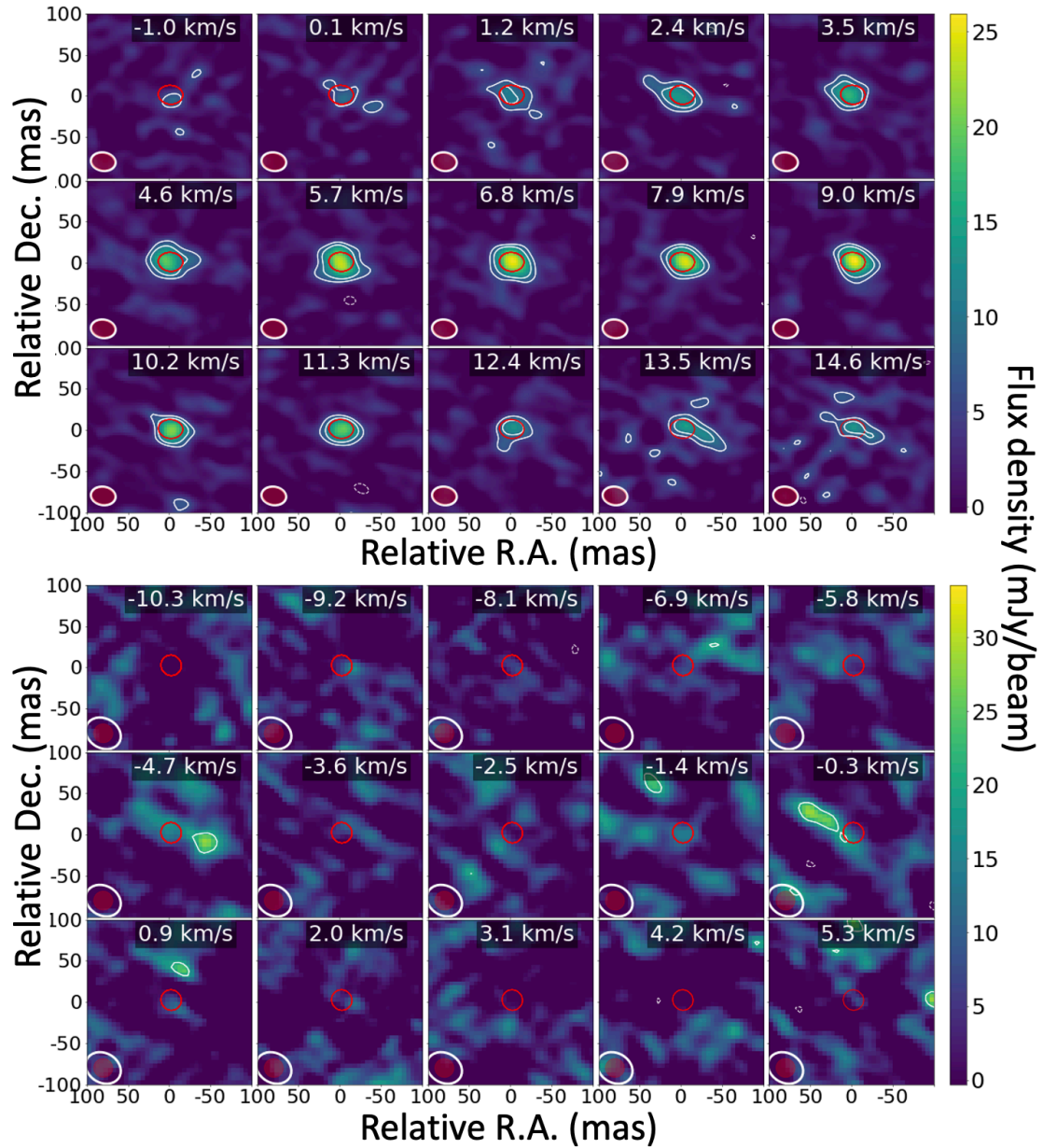
**Fig. D.4.** High resolution channel map of AH Sco in the  $(0,2,0)$   $6_{5,2}-7_{4,3}$  rotational transition of water at 268.149 GHz. Caption as in Fig. 6 except for the velocity range and the line peak flux density, 60344 mJy/beam; the typical r.m.s. is 1 mJy/beam. The HPBW is  $(40 \times 23)$  mas at PA  $38^\circ$  and  $(23 \times 23)$  mas at PA  $70^\circ$  for the line and continuum, respectively.



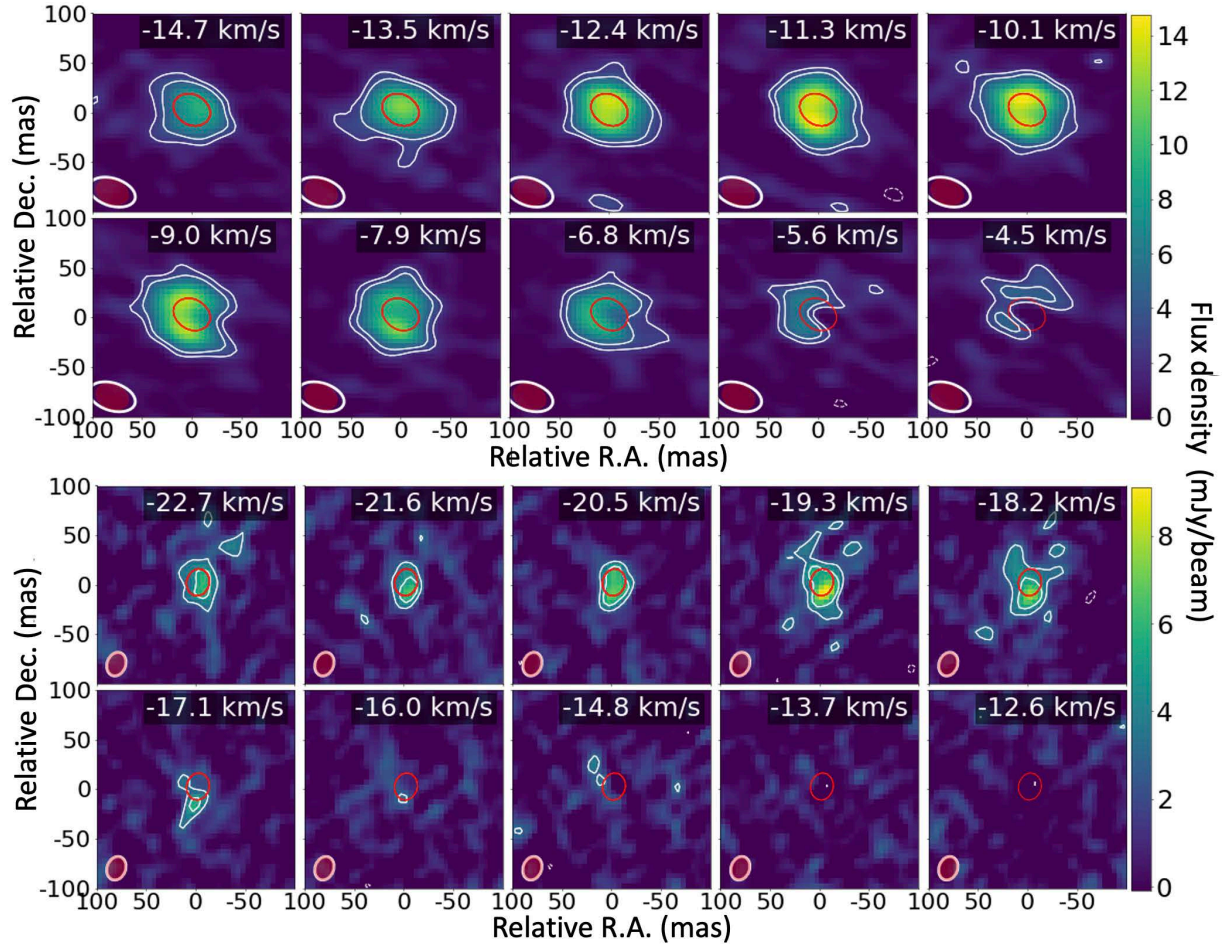
**Fig. D.5.** High resolution channel maps of R Hya and U Her in the  $(0,1,0) 7_{7,1}-8_{6,2}$  rotational transition of water (line 12) at 262.898 GHz (upper and lower panels). Caption as in Fig. 6 except for the line frequency, velocity range and the line peak flux density, 20.9 mJy/beam (R Hya) and 16.7 mJy/beam (U Her); the typical r.m.s. is 1 mJy/beam in both stars. The line HPBW is  $(47 \times 27)$  mas at PA  $73^\circ$  (R Hya) and  $(28 \times 20)$  mas at PA  $20^\circ$  (U Her). The continuum HPBW is  $(34 \times 25)$  mas at PA  $67^\circ$  (R Hya) and  $(24 \times 18)$  mas at PA  $8^\circ$  (U Her).



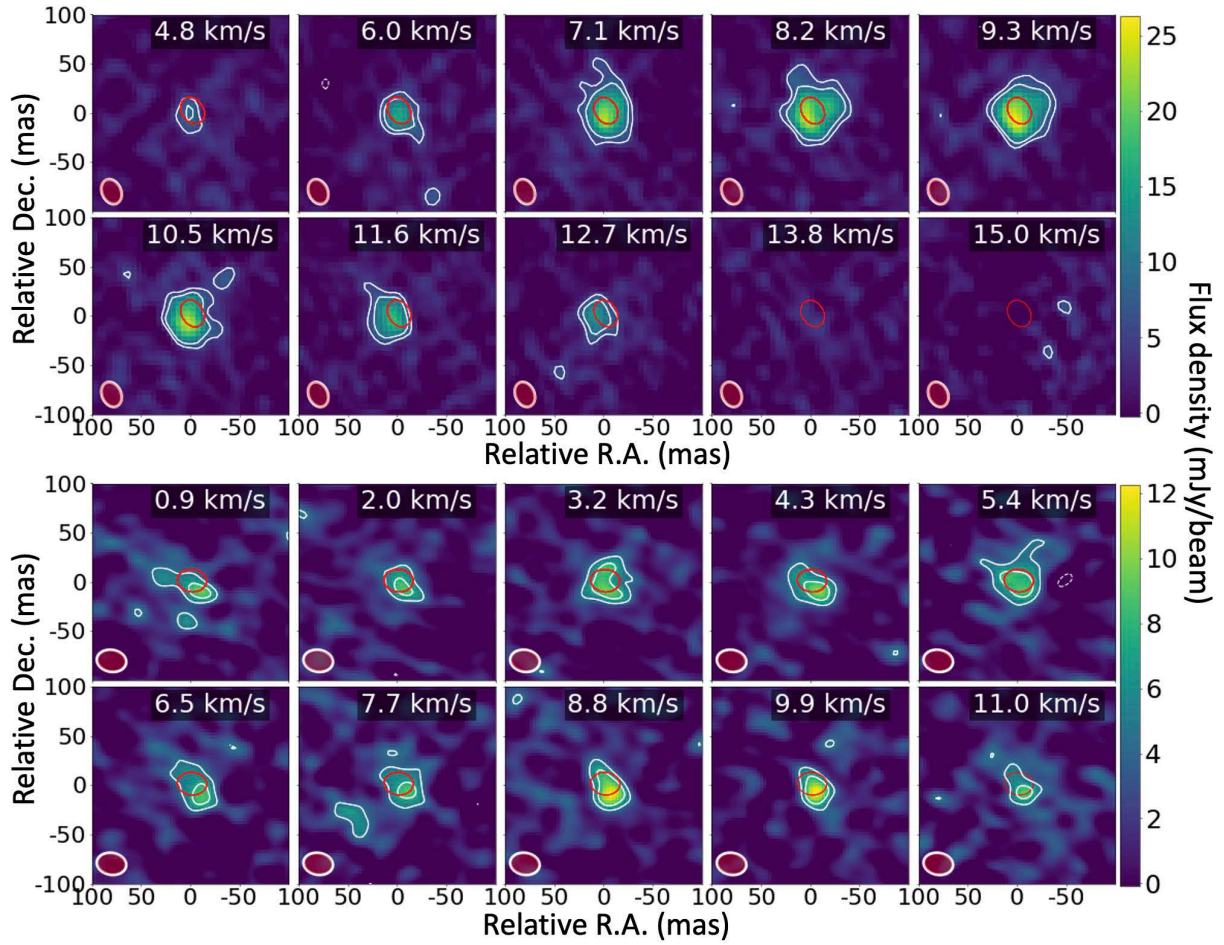
**Fig. D.6.** High resolution channel map of S Pav and IRC+10011 in the  $(0,1,0)$   $7_{7,1}-8_{6,2}$  rotational transition of water (line 12) at 262.898 GHz (upper and lower panels). Caption as in Fig. 6 except for the line frequency, velocity range and the line peak flux density, 12.8 mJy/beam (S Pav) and 31.5 mJy/beam (IRC+10011); the typical r.m.s. is 1 and 2 mJy beam<sup>-1</sup>, respectively. The line HPBW is (24×18) mas at PA  $-20^\circ$  (S Pav) and (26×20) mas at PA  $22^\circ$  (IRC+10011). The continuum HPBW is (25×20) mas at PA  $-13^\circ$  (S Pav) and (27×19) mas at PA  $31^\circ$  (IRC+10011).



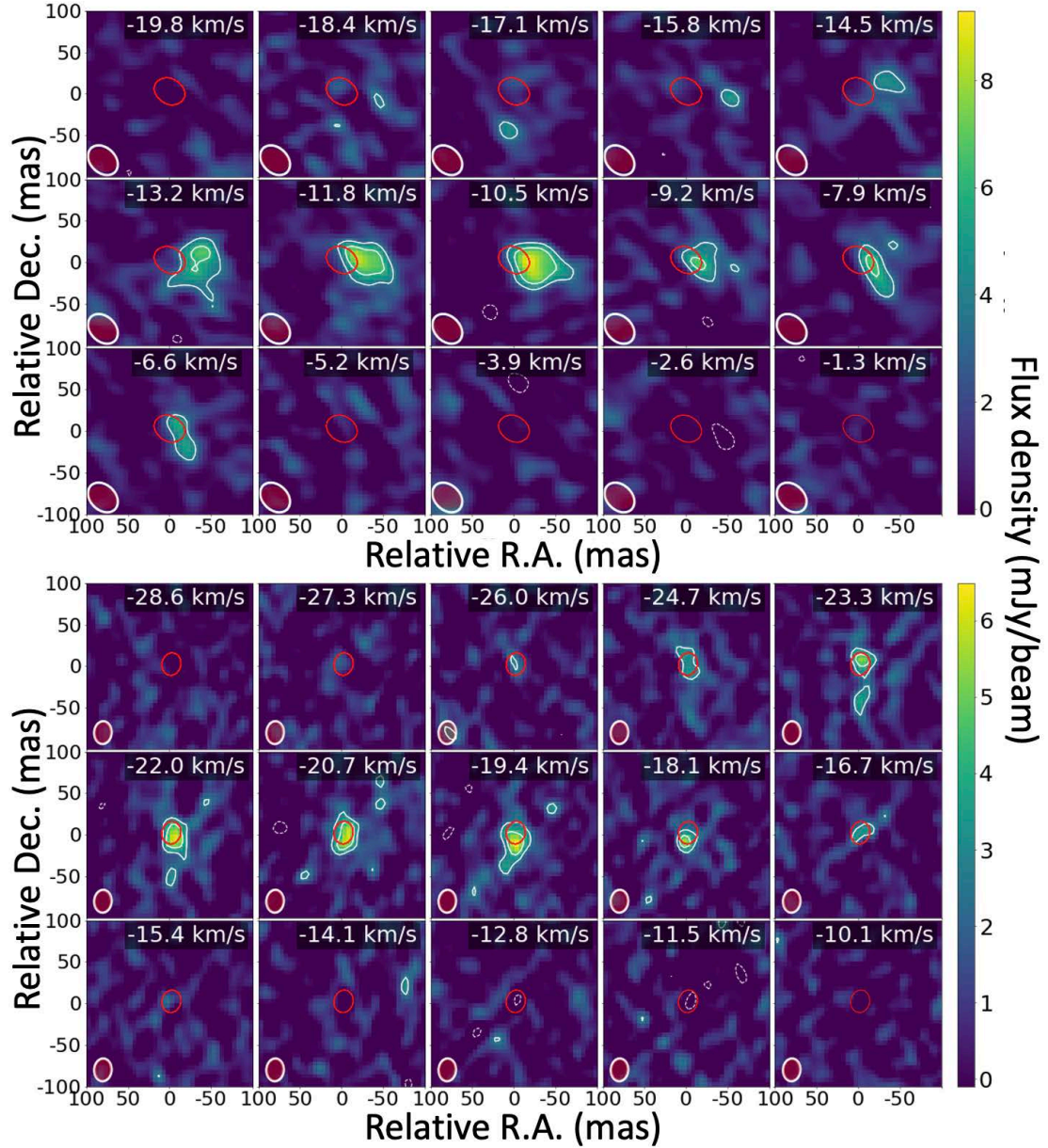
**Fig. D.7.** High resolution channel map of VX Sgr and AH Sco in the  $(0,1,0) 7_{7,1}-8_{6,2}$  rotational transition of water (line 12) at 262.898 GHz (upper and lower panels). Caption as in Fig. 6 except for the line frequency, velocity range and the line peak flux density, 26 mJy/beam (VX Sgr) and 33.5 mJy/beam (AH Sco); the typical r.m.s. is 2 and 6.5 mJy/beam, respectively. The line HPBW is  $(30 \times 22)$  mas at PA  $80^\circ$  (VX Sgr) and  $(45 \times 36)$  mas at PA  $59^\circ$  (AH Sco). The continuum HPBW is  $(28 \times 20)$  mas at PA  $89^\circ$  (VX Sgr) and  $(23 \times 23)$  mas at PA  $70^\circ$  (AH Sco).



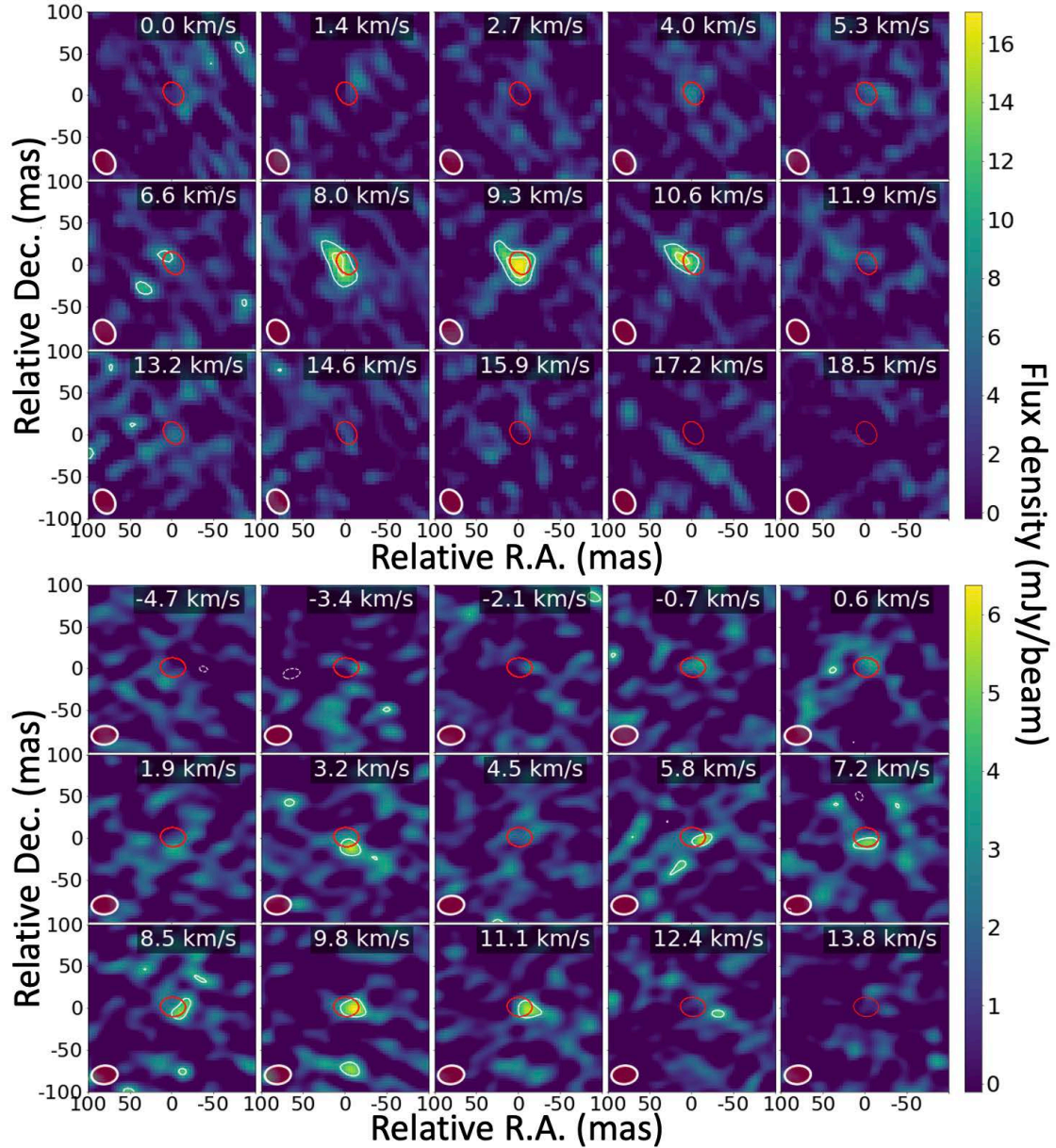
**Fig. D.8.** High resolution channel maps of relatively low energy ( $3954\text{ K}$  or  $2748\text{ cm}^{-1}$ )  $(0,0,0)$   $13_{6,8}-14_{3,11}$  transition of water at  $259.952\text{ GHz}$  in R Hya and S Pav (upper and lower panels). Caption as in Fig. 6 except for the velocity range and the line peak flux density,  $15$  and  $9\text{ mJy/beam}$  in R Hya and S Pav, respectively; the typical r.m.s. noise is  $1\text{ mJy/beam}$  for both stars. The HPBW is  $(48\times 28)$  mas at PA  $72^\circ$  and  $(34\times 25)$  mas at PA  $67^\circ$  for the line and continuum in R Hya, respectively, and  $(24\times 19)$  mas at PA  $-19^\circ$  (line) and  $(25\times 20)$  mas at PA  $-13^\circ$  (continuum) in S Pav.



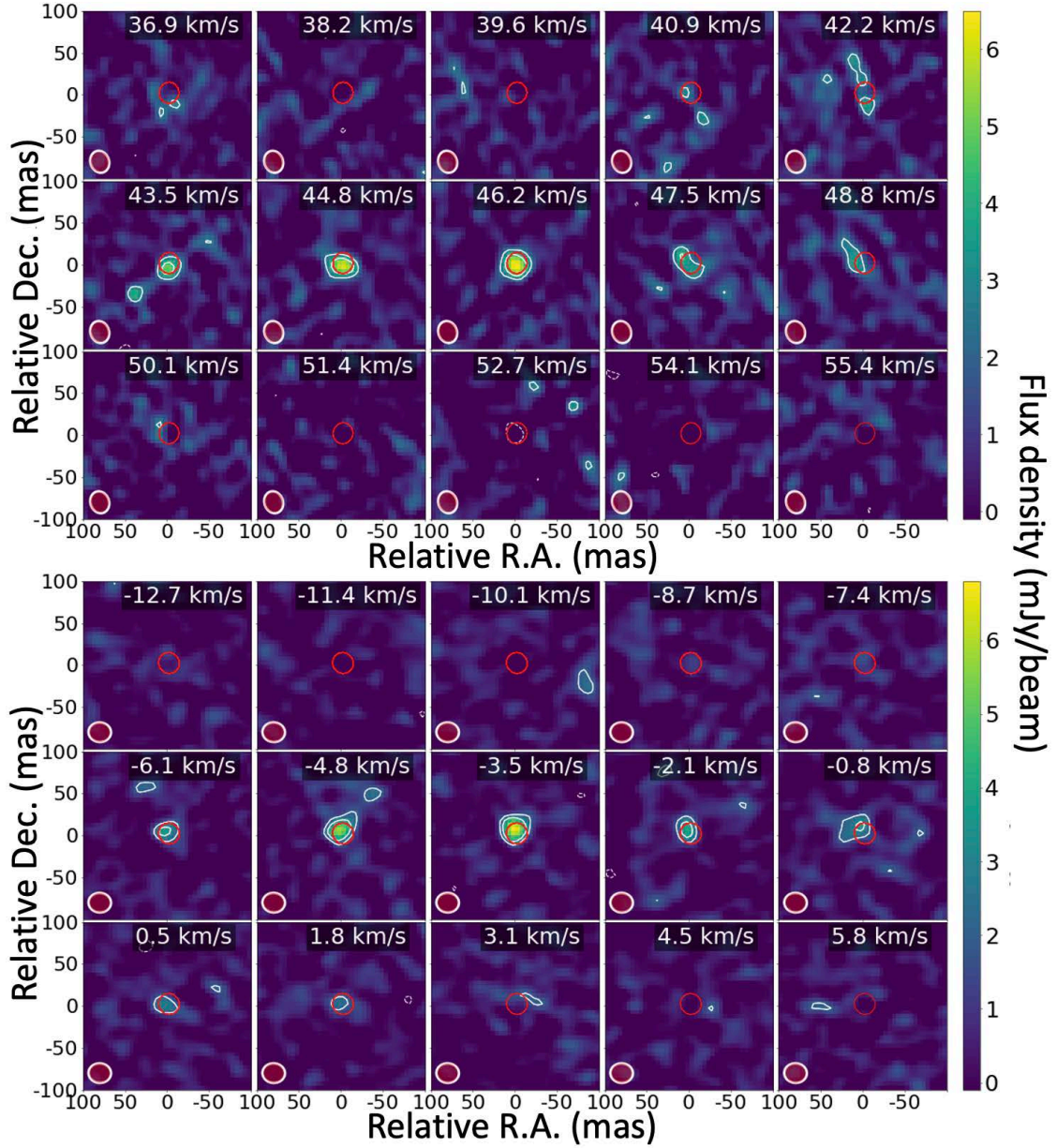
**Fig. D.9.** High resolution channel maps of water at 259.952 GHz in IRC+10011 and VX Sgr (upper and lower panels). Caption as in Fig. 6 except for the velocity range and the line peak flux density, 26.5 and 12.2 mJy/beam in IRC+10011 and VX Sgr, respectively; the typical r.m.s. noise is 1.7 mJy/beam (IRC+10011) and 1.5 mJy/beam (VX Sgr). The HPBW is (26×19) mas at PA 24° and (27×19) mas at PA 31° for the line and continuum in IRC+10011, respectively, and (30×22) mas at PA 79° (line) and (28×20) mas at PA = 89° (continuum) in VX Sgr.



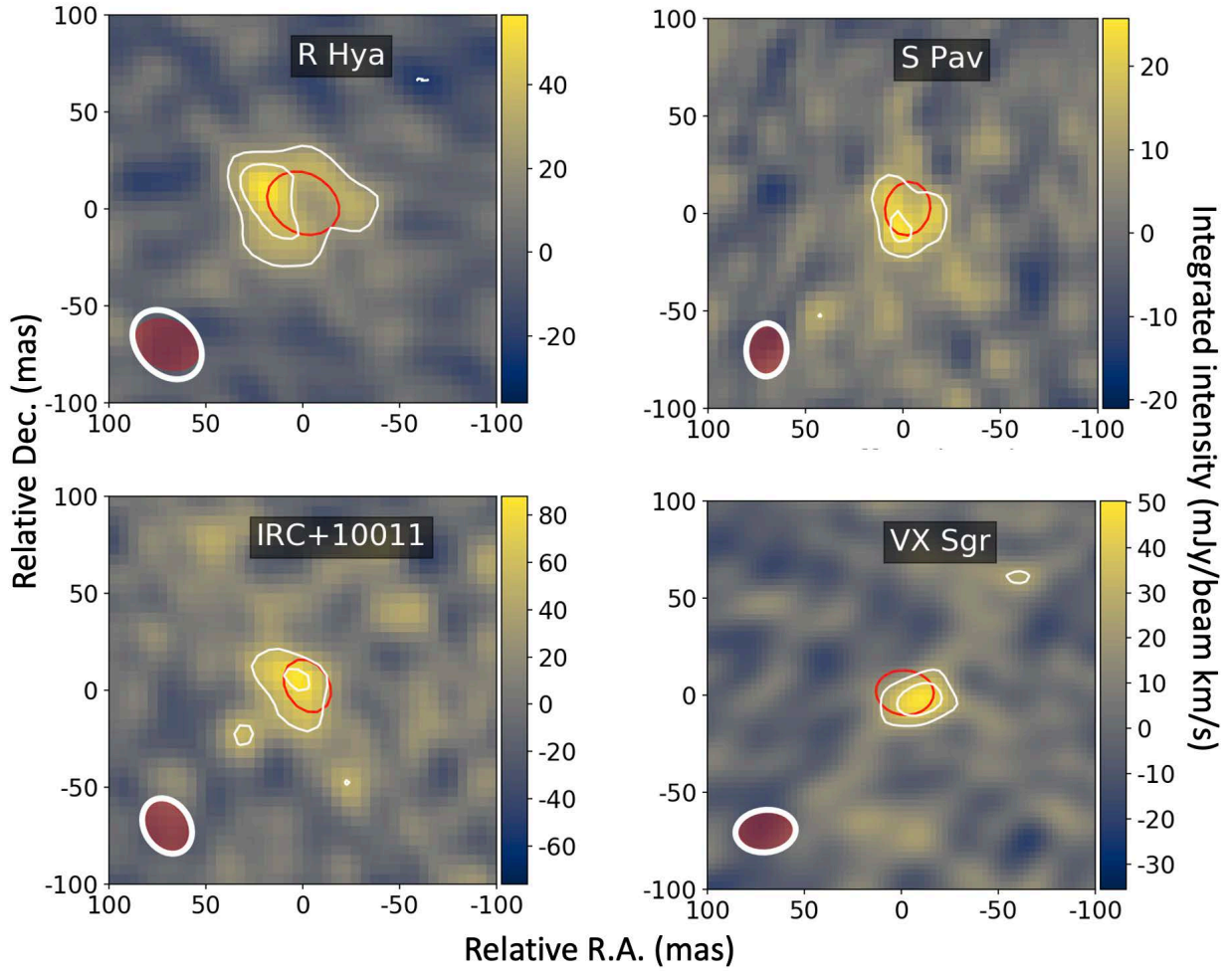
**Fig. D.10.** High resolution channel maps of high energy (8331 K)  $(0,3,0) 8_{3,6}-7_{4,3}$  transition of  $\text{H}_2\text{O}$  at 222.014 GHz in R Hya and S Pav (upper and lower panels). Caption as in Fig. 6 except for the velocity range and the line peak flux density, 9.3 and 6.5 mJy/beam in R Hya and S Pav, respectively; the typical r.m.s. noise is  $\sim 1$  mJy/beam in both stars. The LSR velocity in each panel is determined from our own rest frequency, 222017.31 MHz, whose uncertainty is low compared to that in W2020 (see Table 2). The HPBW is  $(41 \times 30)$  mas at PA =  $45^\circ$  and  $(34 \times 25)$  mas at PA =  $67^\circ$  for the line and continuum in R Hya, respectively, and  $(27 \times 21)$  mas at PA  $-3^\circ$  (line) and  $(25 \times 20)$  mas at PA  $-13^\circ$  (continuum) in S Pav.



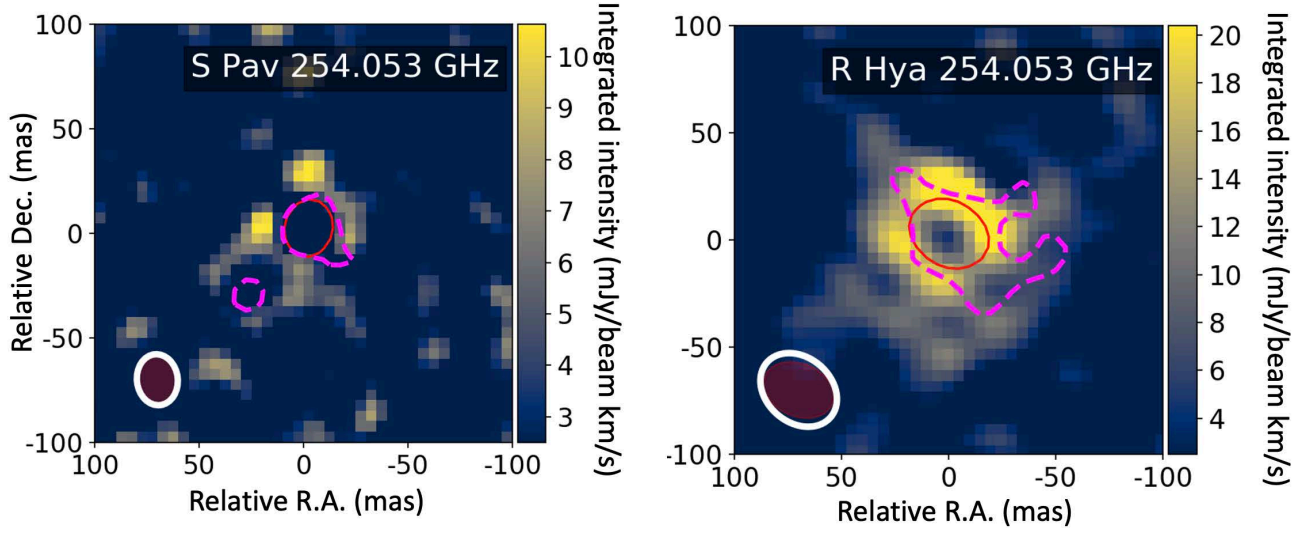
**Fig. D.11.** High resolution channel maps of water at 222.014 GHz in IRC+10011 and VX Sgr (upper and lower panels). Caption as in Fig. D.10 except for the velocity range and the line peak flux density, 17.1 and 6.4 mJy/beam in IRC+10011 and VX Sgr, respectively; the typical r.m.s. noise is 3 mJy/beam (IRC+10011) and 1.5 mJy/beam (VX Sgr). The HPBW is (30×24) mas at PA 30° and (27×19) mas at PA = 31° for the line and continuum in IRC+10011, respectively, and (31×22) mas at PA -84° (line) and (28×20) mas at PA 89° (continuum) in VX Sgr.



**Fig. D.12.** High resolution channel maps of water at 222.014 GHz in R Aql and AH Sco (upper and lower panels). Caption as in Fig. D.10 except for the velocity range and the line peak flux density, 6.5 and 6.8 mJy/beam in R Aql and AH Sco, respectively; the typical r.m.s. noise is 1 mJy/beam (R Aql) and 0.6 mJy/beam (AH Sco). The HPBW is (27×22) mas at PA 18° and (24×22) mas at PA = 13° for the line and continuum in R Aql, and (27×23) mas at PA 86° (line) and (23×23) mas at PA 70° (continuum) in AH Sco.



**Fig. D.13.** Zeroth moment emission maps of the  $(0,3,0)$   $8_{3,6}-7_{4,3}$  transition of ortho  $\text{H}_2\text{O}$  at 222.014 GHz toward R Hya, IRC+10011, VX Sgr and S Pav for the extended array configuration. The map field of view is  $100 \times 100$  mas for all sources. The white contours are for  $3$  and  $5\sigma$  emission. The red contour at the map center delineates the extent at half peak intensity of the continuum emission. The noise level is 7.4, 16.0, 7.8 and 4.5 mJy/beam.km/s for R Hya, IRC+10011, VX Sgr and S Pav, respectively. The line HPBW (white ellipse) is,  $(41 \times 30)$  mas at PA  $45^\circ$ ,  $(30 \times 24)$  mas at PA  $30^\circ$ ,  $(31 \times 22)$  mas at PA  $-84^\circ$  and  $(27 \times 21)$  mas at PA  $-3^\circ$  in R Hya, IRC+10011, VX Sgr and S Pav, respectively. The associated continuum HPBW (dark-red ellipse) is  $(34 \times 25)$  mas at PA  $67^\circ$ ,  $(27 \times 19)$  mas at PA  $31^\circ$ ,  $(28 \times 20)$  mas at PA  $89^\circ$  and  $(25 \times 20)$  mas at PA  $-13^\circ$ . (Maps are integrated over  $-19.8$  to  $-5.2$ ,  $1.4$  to  $15.9$ ,  $-0.7$  to  $13.8$  and  $-26.0$  to  $-14.1$   $\text{km s}^{-1}$  for R Hya, IRC+10011, VX Sgr and S Pav, respectively.)

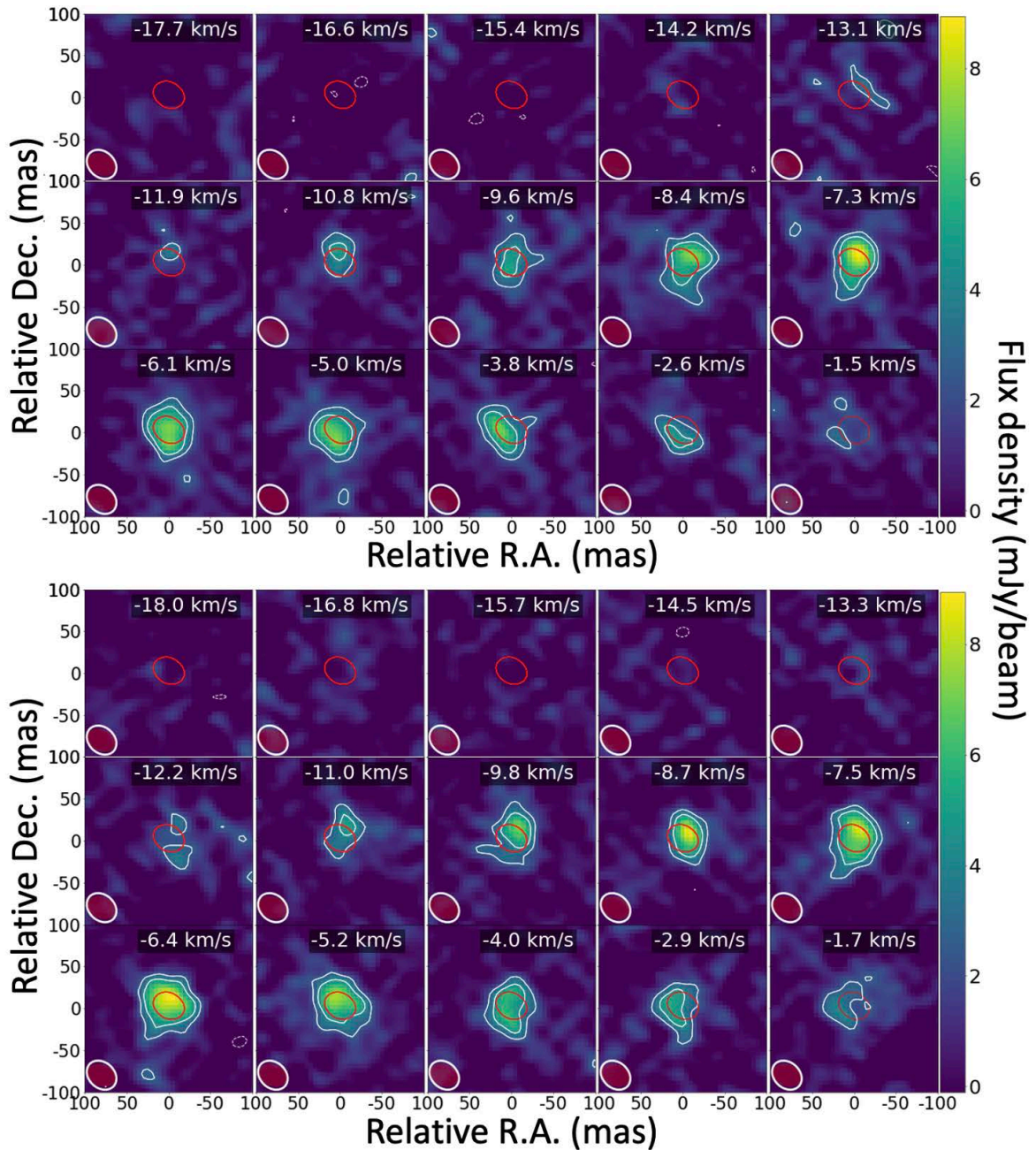


**Fig. D.14.** Comparison of the mom 0 absorption and emission of water at 254.053 GHz (line 8 in Table 2). *Left panel:* Absorption in S Pav is delimited by the dotted  $-5\sigma$  contour while the ring-like emission is shown in yellow. The noise level is  $3 \text{ mJy/beam km s}^{-1}$ . *Right panel:* As for left panel but in R Hya. The noise level is  $2.5 \text{ mJy/beam km s}^{-1}$ . In both panels the red contour at the map center delineates the extent at half peak intensity of the continuum emission. The HPBW is  $(24 \times 19)$  mas at PA  $6^\circ$  and  $(25 \times 20)$  mas at PA  $-13^\circ$  for the line (white ellipse) and continuum (dark-red ellipse) in S Pav, and  $(39 \times 30)$  mas at PA  $49^\circ$  (line) and  $(34 \times 25)$  mas at PA  $67^\circ$  (continuum) in R Hya. (The velocity intervals are:  $-2.6$  to  $6.5 \text{ km s}^{-1}$  and  $-9.3$  to  $-3.9 \text{ km s}^{-1}$  for S Pav absorption and emission;  $-9.2$  to  $-2.6 \text{ km s}^{-1}$  and  $-19.1$  to  $-10.5 \text{ km s}^{-1}$  for R Hya absorption and emission.)

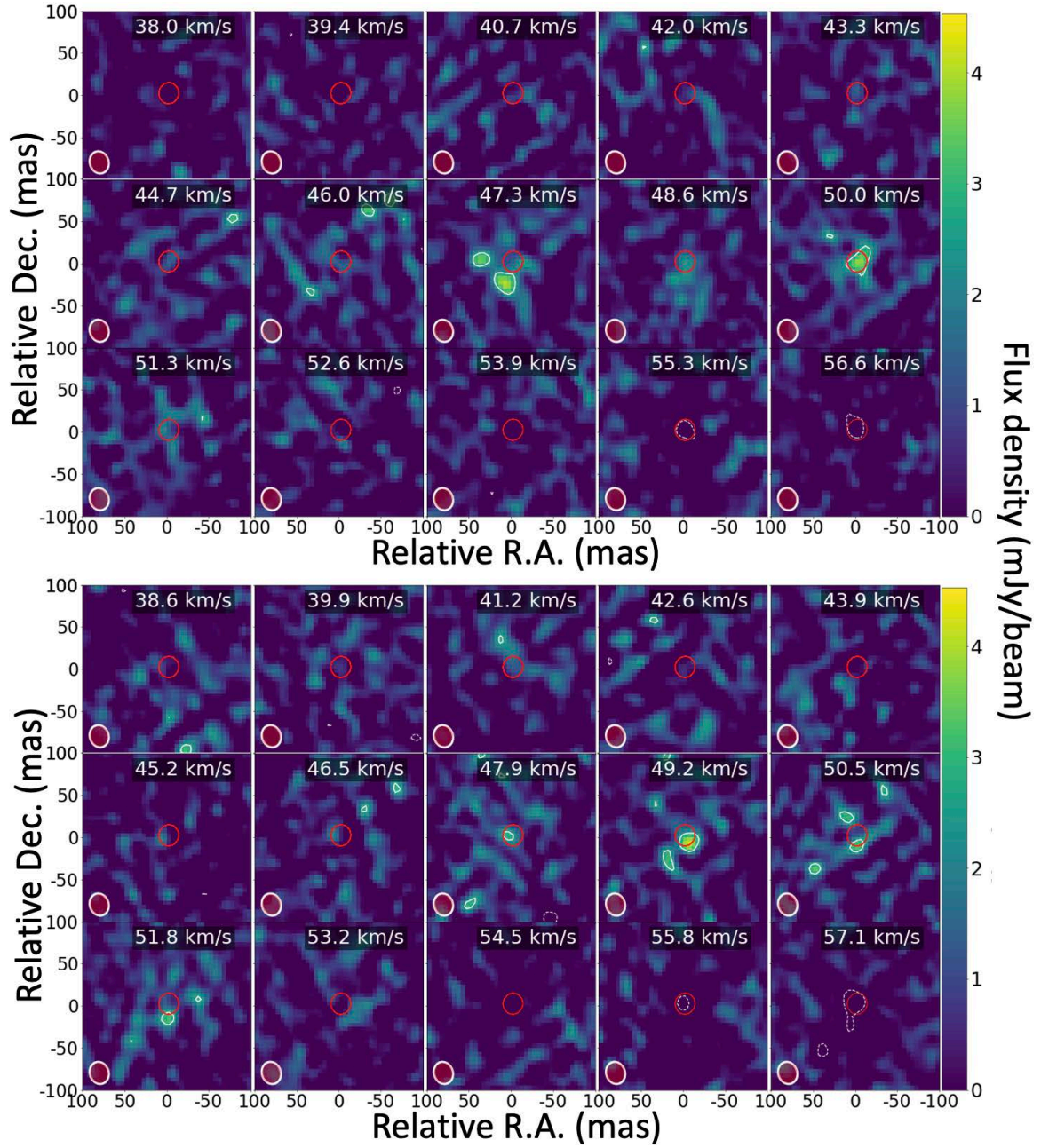
## Appendix E: OH channel maps and zeroth moment maps

Additional OH channel maps obtained from the extended resolution data cubes are presented here for the  $J = 27/2$  rotational level (Figs. E.1 and E.2 in R Hya and R Aql) and the 29/2 level (Fig. E.3 in S Pav).

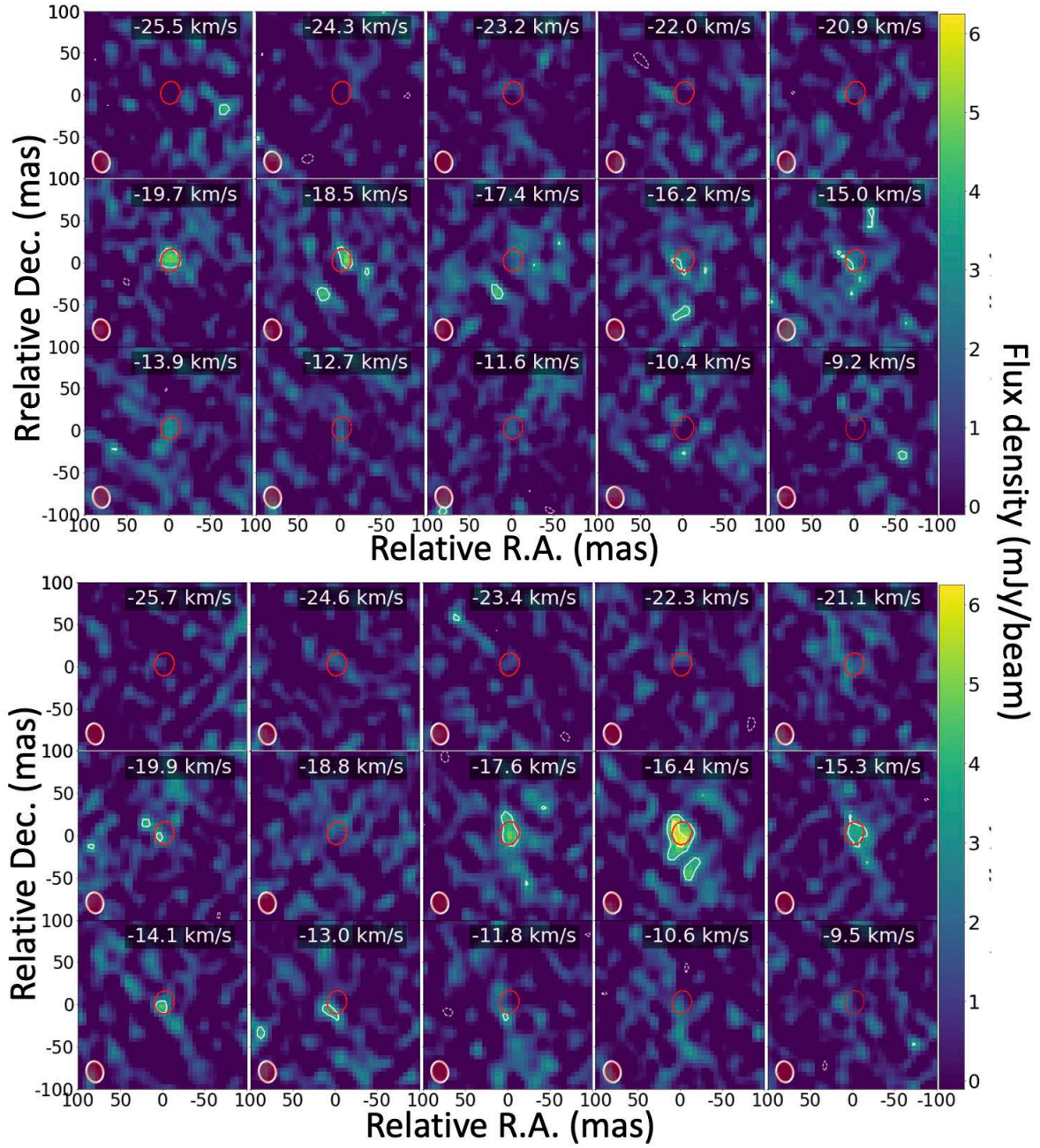
Fig. E.4 shows the  $J = 29/2$  zeroth moment maps for R Aql and VX Sgr and, zeroth moment maps in the same  $J = 29/2$  rotational level are presented in the main text for R Hya and S Pav (Fig.22). OH zeroth moment maps in the  $J = 27/2$  level are shown in Fig. E.5 for R Hya, S Pav, R Aql and VX Sgr.



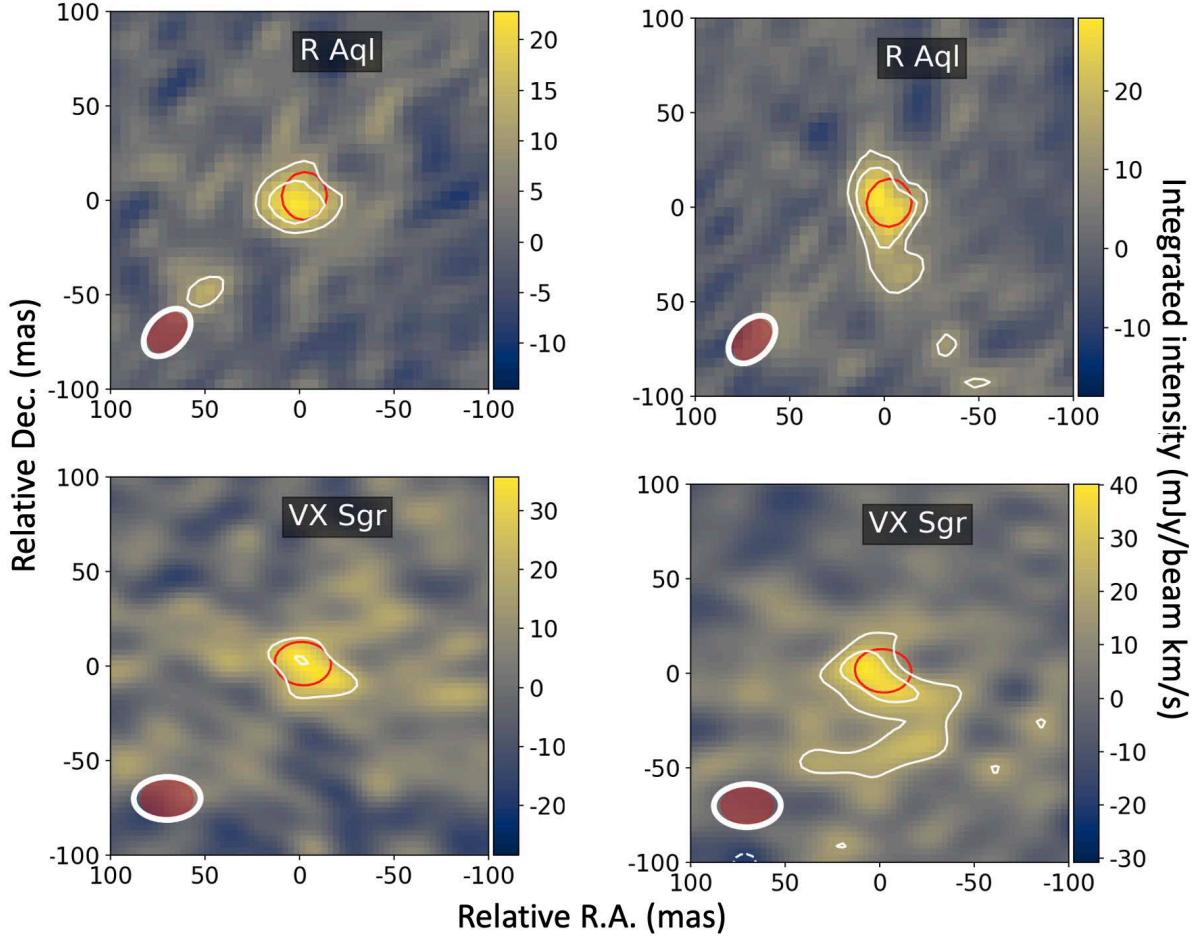
**Fig. E.1.** High resolution channel map of  $J = 27/2$ ,  $F' - F'' = 13 - 13$  and  $14 - 14$  transitions of OH in R Hya (upper and lower panels, respectively). Figure caption as in Fig. 21 except for the velocity range. The line peak flux density and the r.m.s. noise level are identical to those in Fig. 21. The HPBW is  $(41 \times 30)$  mas at PA  $45^\circ$  and  $(34 \times 25)$  mas at PA  $67^\circ$  for line and continuum, respectively.



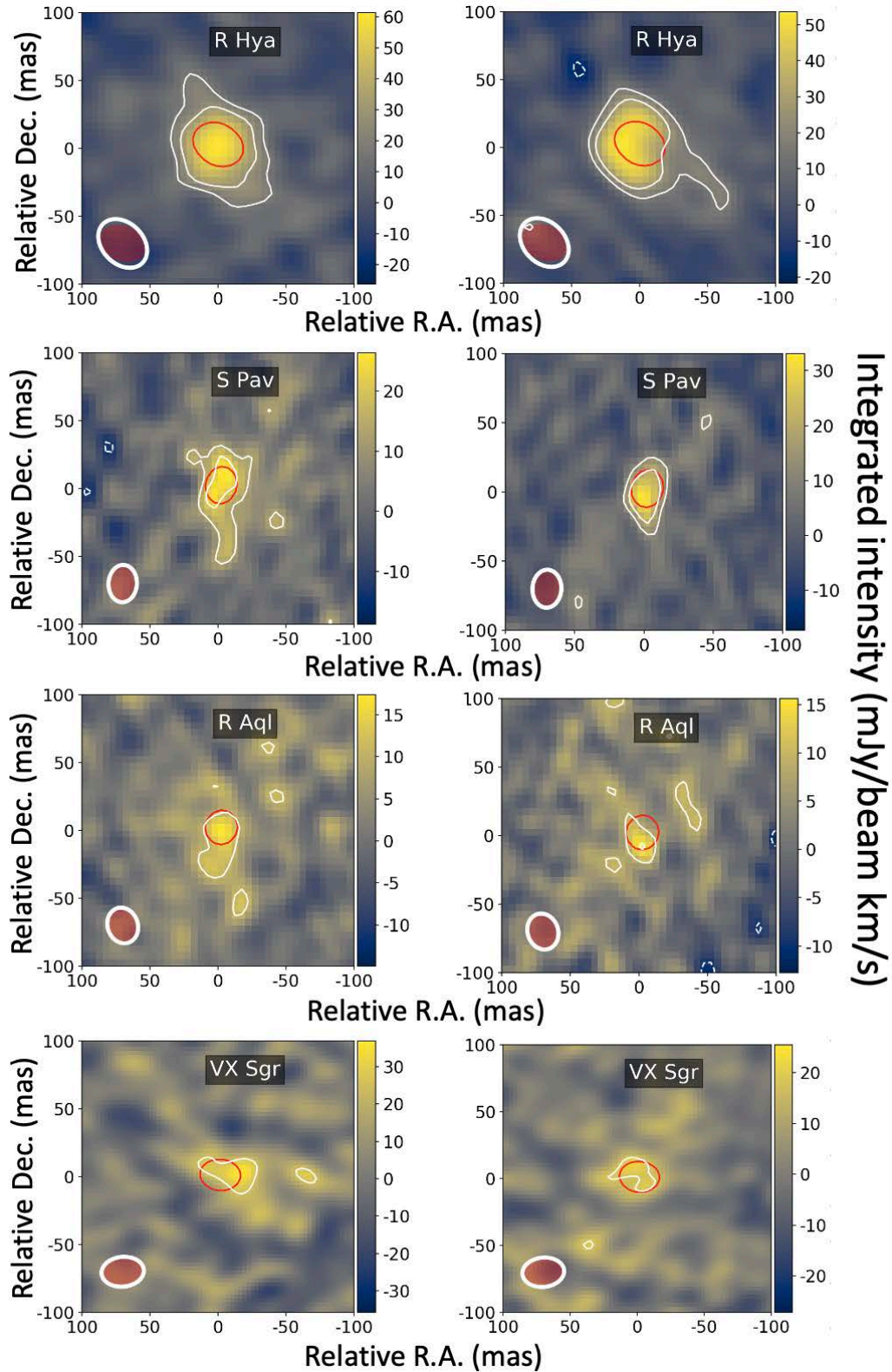
**Fig. E.2.** High resolution channel map of  $J = 27/2$ ,  $F' - F'' = 13 - 13$  and  $14 - 14$  transitions of OH in R Aql (upper and lower panels, respectively). Figure caption as in Fig. 21 except for the beam widths and the velocity range. The line peak flux density and r.m.s. noise levels are  $\sim 5$  and 1 mJy/beam, respectively. The line and continuum HPBW are  $(27 \times 22)$  mas at PA  $18^\circ$  and  $(24 \times 22)$  mas at PA  $-13^\circ$ , respectively.



**Fig. E.3.** High resolution channel map of  $J = 29/2$ ,  $F' - F'' = 14 - 14$  and  $15 - 15$  transitions of OH in SPav (upper and lower panels, respectively). Fig. caption as in Fig. 21 except for the velocity range. The line peak flux density and r.m.s. noise level are  $\sim 6$  and  $1$  mJy/beam, respectively. The line and continuum HPBW are  $(25 \times 20)$  mas at PA =  $11^\circ$  and  $(25 \times 20)$  mas at PA =  $-13^\circ$ , respectively.



**Fig. E.4.** Zeroth moment maps of OH emission in the  $J = 29/2, F' - F'' = 14 - 14$  (left panels) and  $15 - 15$  (right panels) transitions in R Aql and VX Sgr as marked. Figure caption as in Fig. 22 except for the velocity intervals in the  $F' - F'' = 14 - 14$  and  $15 - 15$  transitions which are:  $46.8$  to  $53.8 \text{ km s}^{-1}$  and  $46.4$  to  $53.4 \text{ km s}^{-1}$  in R Aql;  $3.0$  to  $15.8 \text{ km s}^{-1}$  and  $6.1$  to  $17.8 \text{ km s}^{-1}$  in VX Sgr. The line HPBWs are  $(29 \times 20)$  mas at PA  $-43^\circ$  and  $(35 \times 23)$  mas at PA  $-89^\circ$  in R Aql and VX Sgr, respectively. The continuum HPBWs are  $(24 \times 22)$  mas at PA  $-13^\circ$  (R Aql) and  $(28 \times 20)$  mas at PA  $89^\circ$  (VX Sgr).

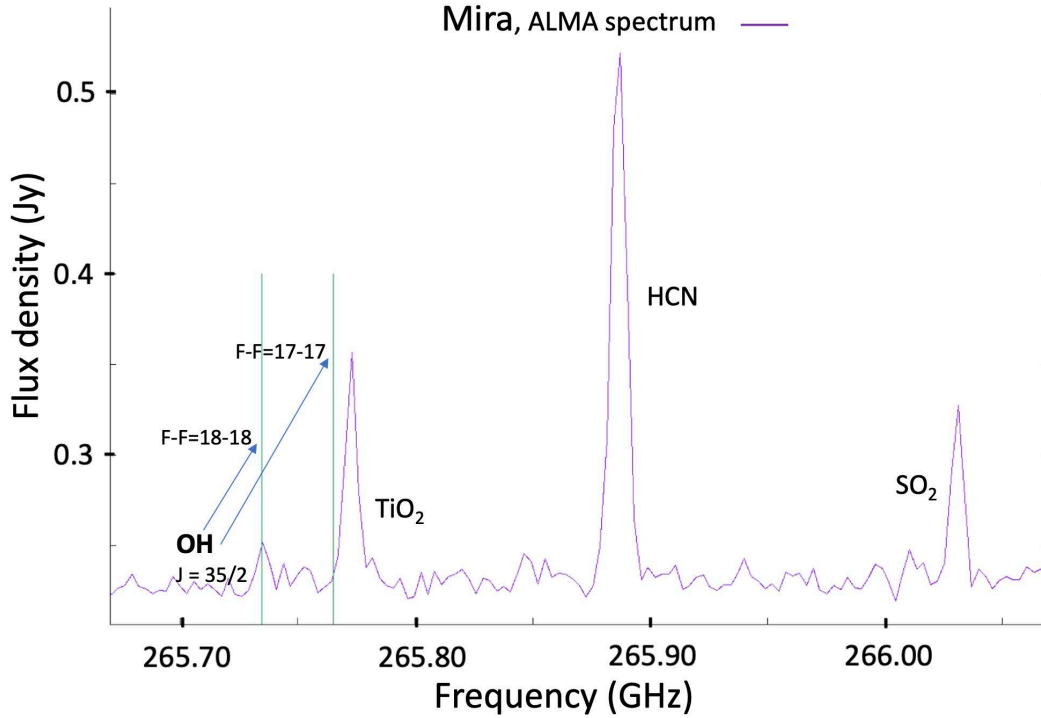


**Fig. E.5.** Zeroth moment maps of OH emission in the  $J = 27/2, F' - F'' = 13 - 13$  (left panels) and  $14 - 14$  (right panels) transitions in R Hya, S Pav, R Aql and VX Sgr as marked. Figure caption as in Fig. 22 except for the velocity intervals. The velocity intervals in the  $F' - F'' = 13 - 13$  and  $14 - 14$  transitions are:  $-10.7$  to  $-4.1$   $\text{km s}^{-1}$  and  $-11.5$  to  $-2.2$   $\text{km s}^{-1}$  in R Hya;  $-21.0$  to  $-10.4$   $\text{km s}^{-1}$  and  $-21.8$  to  $-12.5$   $\text{km s}^{-1}$  in S Pav;  $43.3$  to  $51.3$   $\text{km s}^{-1}$  and  $46.6$  to  $51.7$   $\text{km s}^{-1}$  in R Aql;  $2.9$  to  $21.5$   $\text{km s}^{-1}$  and  $7.5$  to  $16.8$   $\text{km s}^{-1}$  in VX Sgr. The line HPBW is  $(41 \times 30)$  mas,  $(27 \times 21)$  mas,  $(27 \times 22)$  mas and  $(31 \times 22)$  mas at PA  $45^\circ$ ,  $-3^\circ$ ,  $18^\circ$  and  $-84^\circ$  in R Aql, S Pav, R Aql and VX Sgr, respectively. The continuum HPBWs are:  $(34 \times 25)$  mas at PA  $67^\circ$  (R Hya),  $(25 \times 20)$  mas at PA  $13^\circ$  (S Pav),  $(24 \times 22)$  mas at PA  $-13^\circ$  (R Aql) and  $(28 \times 20)$  mas at PA  $89^\circ$  (VX Sgr).

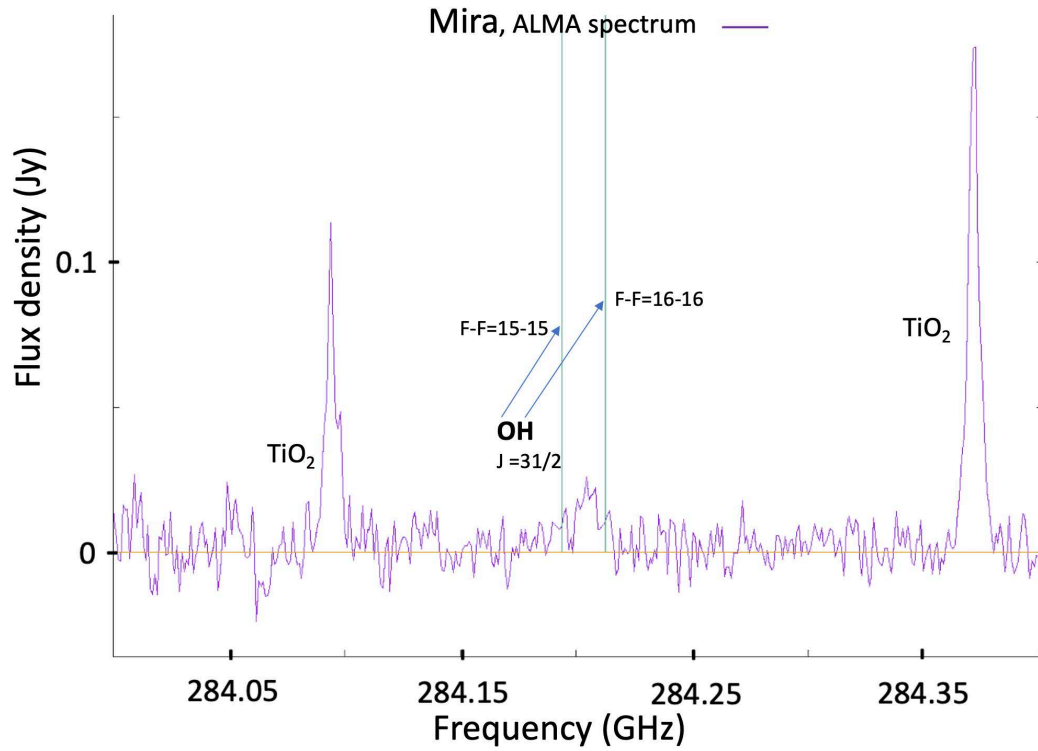
## Appendix F: Bands 6 and 7 OH lines toward omi Cet (Mira)

Using the ALMA archive, we report here on the detection of high- $J$  hyperfine transitions of OH in the atmosphere of omi Cet (Mira). In the Band 6 data acquired with 20 mas resolution (project 2017.1.00393.S) we have identified a weak feature (S/N  $\sim 2.5$ – $3$ ) near 265.735 GHz (Fig. F.1) coinciding, within the frequency uncertainties, with the  $\nu = 0$ ,  $J = 35/2$ ,  $F' - F'' = 18 - 18$  hyperfine transition of OH (see Table 3). We have not been able to identify any other molecular line carrier at this frequency. Unfortunately, the second hyperfine transition of the  $J = 35/2$   $\Lambda$ -doublet (see second thin green vertical line in Fig. F.1) is blended with the relatively strong TiO<sub>2</sub> 24(2, 22) – 24(1, 23) line emission at 265.7705 GHz; this suggests that the OH  $J = 35/2$ ,  $F' - F'' = 17 - 17$  transition is shifted by more than 1 MHz with respect to the frequency given in Table 3.

Using the Band 7 data (project 2018.1.00749.S), we have observed in Mira another weak signal,  $\sim 20$  mJy, nearly coinciding with the  $\nu = 0$ ,  $J = 31/2$ ,  $\Lambda$ -doublet of OH at 284.2032 GHz (Fig. F.2). However, the two hyperfine transitions of the  $J = 31/2$  level expected near 284.2032 GHz (see the two green vertical lines in Fig. F.2) are not separated here and we consider this identification as still uncertain although we have not been able to identify any other possible line carrier at this frequency. On the other hand, the two nearby TiO<sub>2</sub> transitions are clearly identified in Fig. F.2 and well separated from the  $\nu = 0$ ,  $J = 31/2$  transition. The same ALMA project also covers the  $J = 37/2$ ,  $\Lambda$ -doublet of OH at 295.99877 and 296.02859 GHz but, unfortunately, an SO<sub>2</sub> line coincides with this doublet.



**Fig. F.1.** OH spectrum and nearby transitions of TiO<sub>2</sub>, HCN and SO<sub>2</sub> observed in the range 265.65 to 266.05 GHz toward omi Cet (Mira). The frequencies of the two hyperfine transitions of OH in the  $\nu = 0$ ,  $J = 35/2$  rotational level are indicated with two light green vertical lines. The  $F' - F'' = 17 - 17$  hyperfine transition of the  $J = 35/2$  level is blended with the 24(2, 22) – 24(1, 23) transition of TiO<sub>2</sub>. This spectrum is extracted from a  $0''.2 \times 0''.2$  region containing all the OH emission.



**Fig. F.2.** Line spectrum in the vicinity of the  $v = 0$ ,  $J = 31/2$  rotational level of OH and of two nearby TiO<sub>2</sub> transitions observed in the 284.00 to 284.40 GHz range toward omi Cet (Mira). The expected  $F' - F'' = 15 - 15$  and  $16 - 16$  hyperfine transitions of OH in the  $J = 31/2$   $\Lambda$ -doublet are indicated with two light green vertical lines at the expected frequencies. The two TiO<sub>2</sub> lines correspond to the  $27(7, 21) - 27(6, 22)$  and  $21(1, 21) - 26(0, 20)$  transitions at 284.0943 and 284.3719 GHz, respectively. Spectrum extracted from a  $0''.25 \times 0''.20$  region containing all the OH emission.