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# MINDS. The Detection of $^{13}\text{CO}_2$ with JWST-MIRI Indicates Abundant $\text{CO}_2$ in a Protoplanetary Disk

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## Abstract

We present JWST-MIRI Medium Resolution Spectrometer (MRS) spectra of the protoplanetary disk around the low-mass T Tauri star GW Lup from the MIRI mid-Infrared Disk Survey Guaranteed Time Observations program. Emission from  $^{12}\text{CO}_2$ ,  $^{13}\text{CO}_2$ ,  $\text{H}_2\text{O}$ ,  $\text{HCN}$ ,  $\text{C}_2\text{H}_2$ , and  $\text{OH}$  is identified with  $^{13}\text{CO}_2$  being detected for the first time in a protoplanetary disk. We characterize the chemical and physical conditions in the inner few astronomical units of the GW Lup disk using these molecules as probes. The spectral resolution of JWST-MIRI MRS paired with high signal-to-noise data is essential to identify these species and determine their column densities and temperatures. The  $Q$  branches of these molecules, including those of hot bands, are particularly sensitive to temperature and column density. We find that the  $^{12}\text{CO}_2$  emission in the GW Lup disk is coming from optically thick emission at a temperature of  $\sim 400$  K.  $^{13}\text{CO}_2$  is optically thinner and based on a lower temperature of  $\sim 325$  K, and thus may be tracing deeper into the disk and/or a larger emitting radius than  $^{12}\text{CO}_2$ . The derived  $N_{\text{CO}_2}/N_{\text{H}_2\text{O}}$  ratio is orders of magnitude higher than previously derived for GW Lup and other targets based on Spitzer-InfraRed-Spectrograph data. This high column density ratio may be due to an inner cavity with a radius in between the  $\text{H}_2\text{O}$  and  $\text{CO}_2$  snowlines and/or an overall lower disk temperature. This paper demonstrates the unique ability of JWST to probe inner disk structures and chemistry through weak, previously unseen molecular features.

*Unified Astronomy Thesaurus concepts:* [Protoplanetary disks \(1300\)](#); [Planet formation \(1241\)](#)

## 1. Introduction

The inner 10 au of protoplanetary disks are regions of active chemistry, with high temperatures and densities and with the snowlines of  $\text{H}_2\text{O}$  and  $\text{CO}_2$  controlling the gas composition (e.g.,

Pontoppidan et al. 2014; Walsh et al. 2015; Bosman et al. 2022). The chemistry in this region is expected to impact the atmospheric compositions of any exoplanets, the bulk of which are expected to form in this region (Dawson & Johnson 2018; Öberg & Bergin 2021; Mollière et al. 2022), which is difficult to probe with the Atacama Large Millimeter/submillimeter Array (ALMA).

Of the several species that emit from the inner  $\sim 10$  au of disks,  $\text{CO}_2$  is a particularly informative tracer of the physical and chemical conditions in this region. In the interstellar

medium, ices are rich in CO<sub>2</sub> (abundances of 10<sup>-5</sup> with respect to the total gas density; de Graauw et al. 1996; Gibb et al. 2004; Bergin et al. 2005; Pontoppidan et al. 2008; Boogert et al. 2015). However, the CO<sub>2</sub> abundance in disks, derived from both local thermodynamic equilibrium (LTE) slab models and full disk non-LTE modeling of Spitzer-InfraRed-Spectrograph (IRS) observations, is between 10<sup>-9</sup> and 10<sup>-7</sup> with respect to the total gas density, indicating reprocessing in the disk (Salyk et al. 2011; Pontoppidan & Blevins 2014; Bosman et al. 2017). Despite these lower disk abundances, Pontoppidan et al. (2010) found that CO<sub>2</sub> was the second most common molecule detected in disks (20 disks) after water (25 disks) in a sample of 73 protoplanetary disks observed with Spitzer-IRS. In those sources, the CO<sub>2</sub> *Q* branch at 14.9 μm was useful as a diagnostic of the gas temperature and abundance in their inner regions. Besides ice production, CO<sub>2</sub> is also formed in the gas phase at moderate temperatures (100–200 K) through the reaction of CO + OH → CO<sub>2</sub> + H. At higher temperatures, OH primarily reacts with H<sub>2</sub> to form H<sub>2</sub>O. Thus, the CO<sub>2</sub>/H<sub>2</sub>O ratio is sensitive to the gas temperature.

Despite the usefulness of its typically bright *Q* branch, <sup>12</sup>CO<sub>2</sub> is thought to be largely optically thick in the regions of the disk where it is emitting (Bosman et al. 2017). Therefore, optically thinner lines and isotopologues are more useful in determining the column density of CO<sub>2</sub>, as well as the physical and chemical conditions in the disk. Moderate spectral resolution and high signal-to-noise observations are needed to detect the weaker optically thin lines and isotopologues, which was not possible with Spitzer. JWST-MIRI provides a new opportunity to study the *Q* branches of <sup>12</sup>CO<sub>2</sub> and <sup>13</sup>CO<sub>2</sub>, and the ability to identify individual *P*- and *R*-branch lines for <sup>12</sup>CO<sub>2</sub>.

We present JWST-MIRI observations of one of the CO<sub>2</sub>-bright sources identified in Spitzer observations: GW Lup (Pontoppidan et al. 2010; Salyk et al. 2011; Bosman et al. 2017). GW Lup (Sz 71) is an M1.5 star ( $T_{\text{eff}} = 3630$  K,  $L_* = 0.33 L_{\odot}$ ,  $M_* = 0.46 M_{\odot}$ ) in the Lupus I cloud at a distance of 155 pc (Alcalá et al. 2017; Andrews et al. 2018). This target was observed as part of the DSHARP survey (Andrews et al. 2018), which found a very narrow ring of continuum emission at a radius of 85 au in addition to a centrally peaked continuum (Dullemond et al. 2018). We re-detect C<sub>2</sub>H<sub>2</sub> and strong <sup>12</sup>CO<sub>2</sub> emission in this disk (Pontoppidan et al. 2010; Salyk et al. 2011; Banzatti et al. 2020) and additionally detect <sup>13</sup>CO<sub>2</sub>, H<sub>2</sub>O, HCN, and OH for the first time in this source. We fit the 13.6–16.3 μm wavelength range of the MIRI spectrum with LTE slab models to constrain the column density and temperature for each species. We discuss our findings, in particular the detection of <sup>13</sup>CO<sub>2</sub>, which is the first such detection in a protoplanetary disk, and the column density ratio of CO<sub>2</sub> to H<sub>2</sub>O, which provides new insight into the inner disk structure.

## 2. Observations and Analysis

### 2.1. Observations and Data Reduction

GW Lup was observed with the Mid-InfraRed Instrument (MIRI; Rieke et al. 2015; Wells et al. 2015; Wright et al. 2015, Wright et al. 2023, I. Argyriou et al. 2023, in preparation) in the Medium Resolution Spectroscopy (MRS) mode on 2022 August 8. These observations are part of the MIRI mid-InfraRed Disk Survey (MINDS) JWST Guaranteed Time Observations Program (PID: 1282, PI: T. Henning). Target

acquisition was used so that a point-source fringe flat could be used in the data reduction. A four-point dither was performed in the positive direction. The total exposure time was 1 hr. All the JWST data used in this paper can be found in MAST doi:10.17909/aez2-za93.

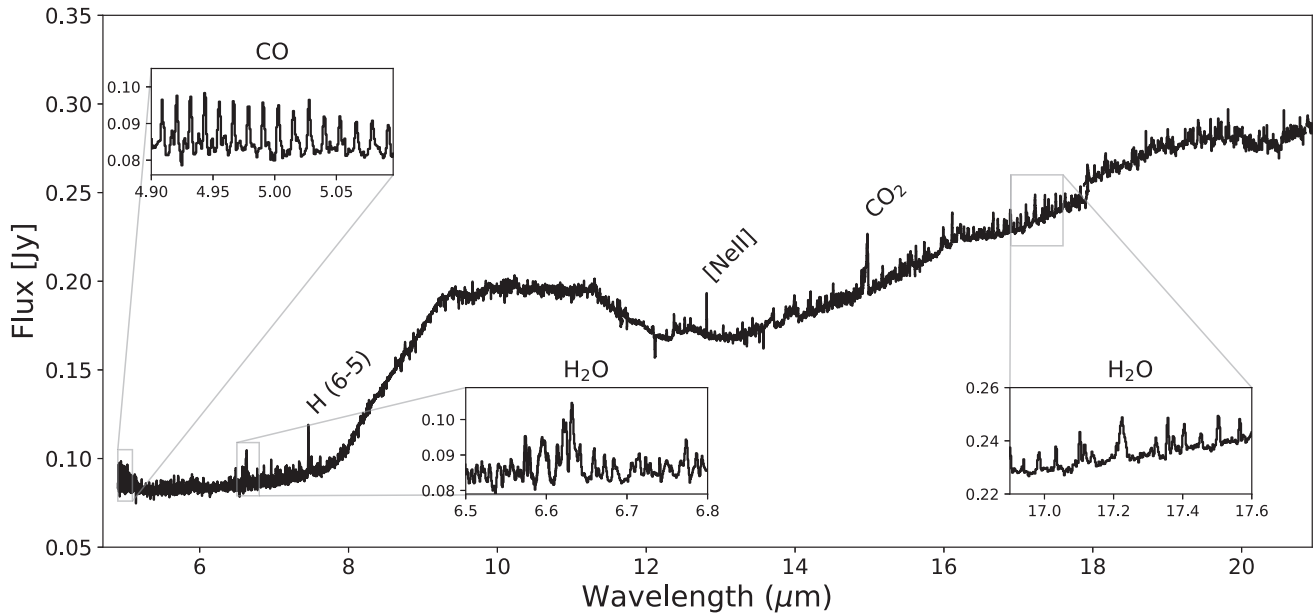
The MIRI MRS observations were processed through all three reduction stages (Bushouse et al. 2022) using Pipeline version 1.8.4. The reference files were generated from the observation of the reference A-type star HD 163466. Additional details on the reference files used can be found in Gasman et al. (2023). A single dedicated point-source fringe flat and dedicated spectrophotometric calibration were used in the reduction process. We skip the outlier rejection step in `Spec3`, as this produces spurious results due to the under-sampling of the point-spread function (PSF), causing under-sampling artifacts (e.g., short-period oscillations at the beginning and end of each subband) in the extracted spectrum. Undersampling of the PSF and its artifacts will be discussed in an upcoming paper (D. R. Law et al. 2023, in preparation). Finally, the centroid of the PSF was found manually prior to the extraction of the spectra in each subband, which included aperture correction, with an aperture size of  $2.5\lambda/D$ . The correction factors are the same as those presented in I. Argyriou et al. (2023, in preparation), which include the contribution of the PSF wings to the estimated background determined from an annulus around the source. The background emission from this annulus is subtracted and its value ranges from  $\sim 0.001$  Jy in Channel 1 to  $\sim 0.1$  Jy around 22 μm. The continuum emission is not extended in the 2D images; therefore, the disk is not resolved.

The final GW Lup spectrum through Channel 4A is presented in Figure 1. At longer wavelengths the flux calibration becomes increasingly uncertain, due to the low flux level at these wavelengths in the reference star used for calibration; therefore, we only show through Channel 4A. The  $\nu_2 = 1-0$  <sup>12</sup>CO<sub>2</sub> *Q* branch is the most prominent, but a large number of weaker lines are detected as well. A spurious, single-pixel spike at 18.8 μm has been removed. Below 7.5 μm, the Spitzer low-resolution spectrum of GW Lup has a  $\sim 20\%$  higher flux. However, above 7.5 μm, the flux of the JWST-MIRI spectrum is  $\sim 15\%$  higher than the Spitzer-IRS high- and low-resolution spectra of this target, but the overall shape is very similar (see Figure 5 in the Appendix). These offsets may be due to calibration issues and/or variability in this system. This will be investigated in a future work. In this work, we use the MIRI continuum-subtracted spectrum for analysis.

### 2.2. Slab Modeling Procedure

The MIRI spectrum is continuum subtracted in the 13–17 μm range by selecting regions with minimal line emission and using a cubic spline interpolation to determine the continuum level. This continuum is then subtracted from the observed spectra (see Appendix B and Figure 6 for more details).

We fit the 13–16.3 μm continuum-subtracted spectrum with LTE slab models. The line profile function is assumed to be Gaussian with an FWHM of  $\Delta V = 4.7$  km s<sup>-1</sup> ( $\sigma = 2$  km s<sup>-1</sup>) as is done in Salyk et al. (2011), which represents the line width for H<sub>2</sub> at 700 K. This value does not have a large impact on the results and we adopt the value of Salyk et al. (2011) for consistency. The model takes into account the mutual shielding of adjacent lines for the same species. In particular, the total



**Figure 1.** The JWST-MIRI MRS spectrum for GW Lup through Channel 4A. Several of the strongest emission features are labeled and insets show additional molecular features. The beginning and end of each subband has been trimmed to reduce spurious features due to the increased noise at the ends of the bands.

opacity is first computed on a fine wavelength grid by summing the contribution of all the lines before computing the emerging line intensity. These models allow us to reproduce the data with only three free parameters: the line-of-sight column density  $N$ , the gas temperature  $T$ , and the emitting area given by  $\pi R^2$  for a disk of emission with radius  $R$ . While we report the emitting area in terms of this emitting radius, the emission could be coming from a ring with an area equivalent to  $\pi R^2$ . We include emission from  $C_2H_2$ , HCN,  $H_2O$ ,  $^{12}CO_2$ ,  $^{13}CO_2$ , and OH. The  $^{12}CO_2$ ,  $^{13}CO_2$ ,  $C_2H_2$ , and HCN line transitions are derived from the HITRAN database (Gordon et al. 2022). All the lines within 4–30  $\mu m$  range are selected and are converted into LAMDA format (van der Tak et al. 2020) for compatibility with our slab model. The partition sums for these molecules are retrieved from the TIPS\_2021\_PYTHON package provided by the database.<sup>24</sup> The OH spectroscopy stems from Tabone et al. (2021) who used data from Yousefi & Bernath (2018) and Brooke et al. (2015). We vary the emitting area, as described below, and compute a synthetic spectrum in Jy, assuming a distance to GW Lup of 155 pc. The model spectrum is then convolved to a resolving power of 2500 for Channel 3 where the emission features are present (Labiano et al. 2021). Finally, the convolved model spectrum is resampled to have the same wavelength grid as the observed spectrum.

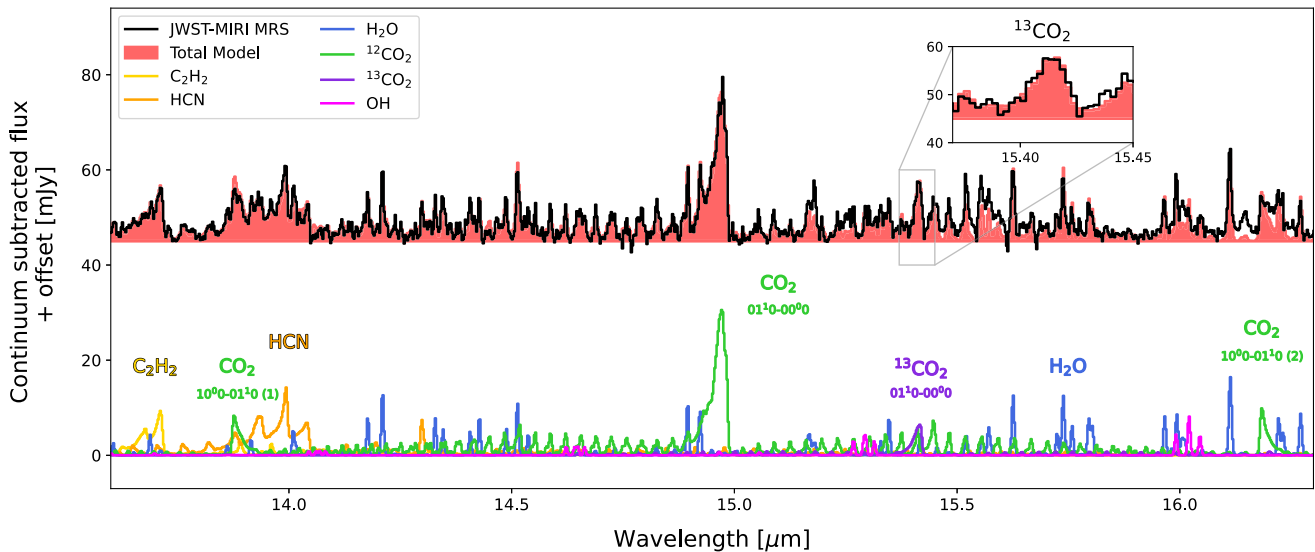
For each molecule, a grid of models was run with  $N$  from  $10^{14}$  to  $10^{22}$   $cm^{-2}$ , in steps of 0.166 in  $\log_{10}$ -space, and  $T$  from 100 to 1500 K, in steps of 25 K. The emitting area is varied by ranging the radius from 0.01 to 10 au in steps of 0.03 in  $\log_{10}$ -space. The best-fit  $N$  and  $T$  are determined using a  $\chi^2$  fit (see Appendix C and Figure 7 for more details) between the continuum-subtracted data and the convolved and resampled model spectrum. For each  $N$  and  $T$ , the best-fit emitting area is determined by minimizing the  $\chi^2$ . The  $\chi^2$  fit is done in spectral windows that are selected to minimize the contribution of emission from other species while still containing features that help to constrain the fits (e.g., optically thin lines and line peaks

that are sensitive to temperature). This is done in an iterative approach to further reduce contamination from other species. The best-fit model is found for  $H_2O$  first. This model is then subtracted from the observed, continuum-subtracted spectrum. We then fit HCN, subtract that model, and continue that procedure for  $C_2H_2$ ,  $^{12}CO_2$ ,  $^{13}CO_2$ , and then the final fit is done for OH. This is illustrated, with the spectral windows used for the  $\chi^2$  determinations, in Figure 8 in Appendix C. After the initial best-fit models are found, this process is repeated for each molecule, after subtracting the best-fit models for all other species. For instance, the best-fit models for HCN,  $C_2H_2$ ,  $^{12}CO_2$ ,  $^{13}CO_2$ , and OH are subtracted from the observed spectra before the best-fit  $H_2O$  model is found again (Figure 9). We repeat this process a third time, after which we see no further improvements in the residuals. The  $\chi^2$  maps are shown in Appendix C (Figure 7).

### 3. Results

The best-fit model is presented in Figure 2 together with the continuum-subtracted spectrum in the 13.6–16.3  $\mu m$  range. The best-fit model parameters are given in Table 1. The  $\chi^2$  maps show that at low column densities (below  $\sim 10^{17}$ – $10^{18}$   $cm^{-2}$ , depending on the molecule, see Figure 7), in the optically thin regime, the column density and emitting radius are completely degenerate (e.g., Salyk et al. 2011). In the optically thick regime, the emitting radius can more accurately be determined, although there is still a degeneracy between temperature and column density. Typical uncertainties can be read from the  $\chi^2$  maps where the degeneracies are also evident. The degeneracy between our three free parameters is reduced by fitting a combination of optically thick and thin lines. For optically thin emission, the total number of molecules  $N_{tot} = \pi N R^2$  is well determined and is included in Table 1. In GW Lup, we find that the emission of all species is optically thick, or at least on the border between optically thick and optically thin; therefore, the number of molecules should be taken as a lower limit.

<sup>24</sup> <https://hitran.org/suppl/TIPS/TIPS2021/>



**Figure 2.** The 13–16.3  $\mu\text{m}$  wavelength range of the GW Lup spectrum, with the JWST-MIRI data (black) compared to a model (red) composed of emission from  $\text{C}_2\text{H}_2$  (yellow), HCN (orange),  $\text{H}_2\text{O}$  (blue),  $^{12}\text{CO}_2$  (green),  $^{13}\text{CO}_2$  (purple), and OH (pink). The inset shows a zoom-in of the  $^{13}\text{CO}_2$  feature.

**Table 1**  
Best-fit Model Parameters

Species	$N$ ( $\text{cm}^{-2}$ )	$T$ (K)	$R$ (au)	$N_{\text{tot}}^a$ (mol.)
$\text{H}_2\text{O}$	$3.2 \times 10^{18}$	625	0.15	$5 \times 10^{43}$
HCN	$4.6 \times 10^{17}$	875	0.06	$1.2 \times 10^{42}$
$\text{C}_2\text{H}_2$	$4.6 \times 10^{17}$	500	0.05	$9.3 \times 10^{41}$
$^{12}\text{CO}_2$	$2.2 \times 10^{18}$	400	0.11	$1.7 \times 10^{43}$
$^{13}\text{CO}_2$	$1 \times 10^{17}$	325	0.11	$9.3 \times 10^{41}$
OH	$1 \times 10^{18}$	1075	0.06	$2.6 \times 10^{42}$

**Note.**

<sup>a</sup> As the best-fit model parameters reported here are either totally in the optically thick regime or on the border between optically thick and thin, the total molecule number should be taken as a lower limit.

### 3.1. $^{12}\text{CO}_2$ and $^{13}\text{CO}_2$

Our best-fitting  $^{12}\text{CO}_2$  model has  $N = 2.2 \times 10^{18} \text{ cm}^{-2}$ , a temperature of 400 K, and an emitting radius of 0.11 au.  $^{12}\text{CO}_2$  is well constrained to a temperature below  $\sim 700$  K, with the shape of the main  $Q$  branch being particularly constraining for the temperature. Using similar models and a similar technique on Spitzer-IRS data, Salyk et al. (2011) fit the fundamental  $^{12}\text{CO}_2$   $Q$  branch at  $14.9 \mu\text{m}$  and find a temperature of 750 K, a column density of  $1.6 \times 10^{15} \text{ cm}^{-2}$ , and an emitting radius of 1.01 au. While this optically thin model from Salyk et al. (2011) reproduces the main  $14.9 \mu\text{m}$   $Q$  branch, it does not reproduce the  $^{12}\text{CO}_2$  hot-band  $Q$  branches at  $13.9$  and  $16.2 \mu\text{m}$  ( $10^0\text{--}01^1\text{0}$ ) as well as the optically thick model does (Figure 3). An example of the effect of changing the temperature by  $\pm 100$  K and column density by  $\pm 0.5$  dex on the  $^{12}\text{CO}_2$  model is shown in the Appendix in Figure 10.

For  $^{13}\text{CO}_2$ , models from both the optically thick and optically thin regimes reproduce the feature well. With respect to  $^{12}\text{CO}_2$ , the standard  $^{12}\text{CO}_2/^{13}\text{CO}_2$  abundance ratio of 68 from the local interstellar medium (Wilson & Rood 1994; Milam et al. 2005) is within the allowable range. The  $^{13}\text{CO}_2$  temperature is lower than that of  $^{12}\text{CO}_2$ , with the best-fit temperature of 325 K. This indicates that the optically thinner  $^{13}\text{CO}_2$  is tracing deeper layers into the disk or larger radii (e.g.,

in a thin annulus farther out than the  $^{12}\text{CO}_2$ , but with the same emitting area). The combination of  $^{13}\text{CO}_2$  and the  $P(23)$  line of  $^{12}\text{CO}_2$ , reproduces the feature at  $15.42 \mu\text{m}$ .

### 3.2. $\text{H}_2\text{O}$

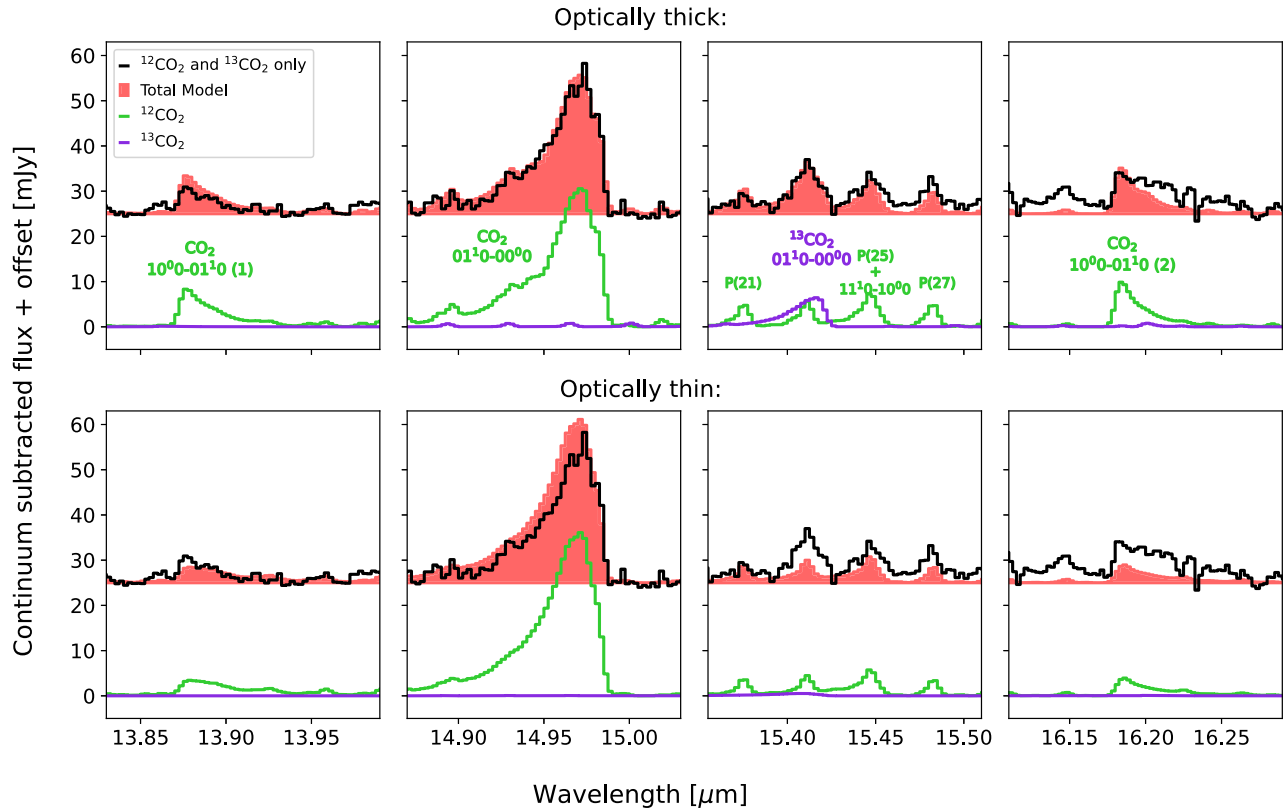
We include emission from both para- and ortho-water, assuming ortho/para = 3 (e.g., van Dishoeck et al. 2021 and references therein). Many  $\text{H}_2\text{O}$  lines are present in the 13–17  $\mu\text{m}$  region in the GW Lup spectra; however, they are weaker than the main  $\text{CO}_2$   $Q$  branch and were not seen previously by Spitzer. An LTE slab model with a temperature of 625 K, a column density of  $3.2 \times 10^{18} \text{ cm}^{-2}$ , and an emitting radius of 0.15 au reproduces the lines in this region. This similar  $\text{H}_2\text{O}$  column density compared to  $\text{CO}_2$  is in contrast to the much lower  $\text{CO}_2/\text{H}_2\text{O}$  ratios found in the large T Tauri Spitzer sample by Salyk et al. (2011), which is discussed in Section 4.

### 3.3. Other Species

For  $\text{C}_2\text{H}_2$  and HCN (including the  $02^0\text{--}01^1\text{0}$  HCN hot-band  $Q$  branch at  $14.3 \mu\text{m}$ ), the fits point to temperatures of  $\sim 500$  K and  $\sim 875$  K, respectively; however, this is quite unconstrained for  $\text{C}_2\text{H}_2$ , in particular. This high HCN temperature is needed to reproduce the ratio of line peaks in the main  $Q$  branch. The column densities for  $\text{C}_2\text{H}_2$  and HCN are both on the border between being optically thick and optically thin. The emitting area for  $\text{C}_2\text{H}_2$  and HCN is chosen from the best-fit model, but it is not well constrained. The OH emission is weak in the GW Lup spectrum, leading to quite unconstrained parameters; however, it is clear that the temperature is high ( $\gtrsim 1000$  K). OH levels are likely out of thermal equilibrium with an excitation temperature set by nonthermal processes such as prompt emission (Carr & Najita 2014; Tabone et al. 2021) or chemical pumping (Liu et al. 2000).

## 4. Discussion

As  $\text{CO}_2$  and  $\text{H}_2\text{O}$  are two of the main oxygen carriers in protoplanetary disks, the relative abundances of these species is informative. While  $\text{H}_2\text{O}$  emission is present in the MIRI MRS



**Figure 3.** Zoom-ins of the  $15 \mu\text{m}$  wavelength range of GW Lup, with the JWST-MIRI data (black) compared to a model (red) composed of emission from  $^{12}\text{CO}_2$  (green) and  $^{13}\text{CO}_2$  (purple). The continuum and the best-fit models of  $\text{C}_2\text{H}_2$ ,  $\text{HCN}$ ,  $\text{H}_2\text{O}$ , and  $\text{OH}$  shown in Figure 2, have been subtracted from the GW Lup spectrum. The top row shows the best optically thick fit from Figure 2, while the bottom row shows the optically thin  $^{12}\text{CO}_2$  fit from Salyk et al. (2011). The optically thick model reproduces the  $^{12}\text{CO}_2$  hot-band  $Q$  branches at  $13.9$  and  $16.2 \mu\text{m}$  better than the optically thin model. In the optically thin case, a  $^{12}\text{CO}_2/^{13}\text{CO}_2$  ratio of 68 is not able to reproduce the  $^{13}\text{CO}_2$   $Q$  branch at  $15.4 \mu\text{m}$ .

spectrum of GW Lup, it is relatively weak compared to  $\text{CO}_2$  with an  $N_{\text{CO}_2}/N_{\text{H}_2\text{O}}$  ratio of  $\sim 0.7$ . It should be stressed that column density ratios should not be equated with abundance ratios since the emission of different molecules (or even of different bands of the same molecule) may originate from different regions or layers of the disk (Bruderer et al. 2015; Woitke et al. 2018). Moreover, the emission seen at mid-infrared wavelengths only probes the upper layers of the disk above the  $\tau_{\text{mid-IR}} = 1$  contour where the dust continuum becomes optically thick. To infer local abundances and their ratios, retrieval methods such as used in Mandell et al. (2012) or full forward thermochemical models using a physical structure tailored to the GW Lup disk are needed. Such models are beyond the scope of this paper. However, given the relatively small difference in emitting radii between  $\text{CO}_2$  and  $\text{H}_2\text{O}$ , it is still informative to put the column density ratio into perspective.

The large T Tauri Spitzer sample of Salyk et al. (2011) find a median  $N_{\text{CO}_2}/N_{\text{H}_2\text{O}}$  ratio of  $5 \times 10^{-4}$ . However, the column density ratios from Salyk et al. (2011) are largely derived from the low column density and high temperature (optically thin) regime that we exclude using the weaker hot-band  $^{12}\text{CO}_2$   $Q$  branches at  $13.9$  and  $16.2 \mu\text{m}$ . If the best-fitting radii found for  $\text{H}_2\text{O}$  and  $\text{CO}_2$  of  $0.15$  and  $0.11$  au, respectively, correspond to the actual emitting radius (i.e., not coming from a thin annulus at larger radii with the same emitting area), then we note that these radii are smaller than the estimated midplane snowline of  $\text{H}_2\text{O}$ , which is at  $\sim 0.3$ – $0.4$  au for the stellar mass of GW Lup (Mulders et al. 2015). At high temperatures greater than  $\sim 250$

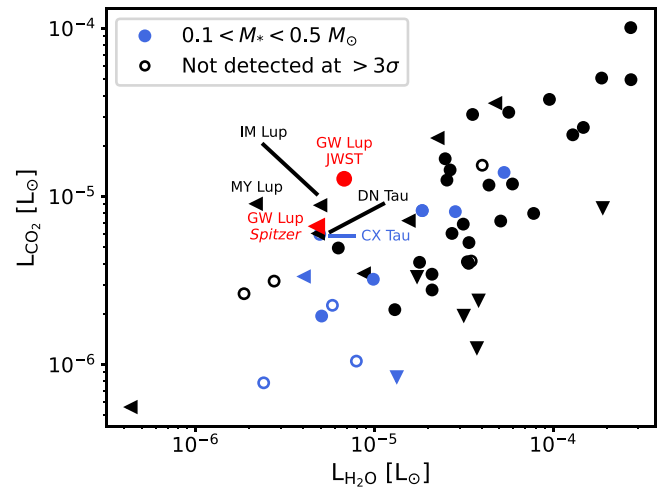
K,  $\text{OH}$  will react with  $\text{H}_2$  to form  $\text{H}_2\text{O}$  (Glassgold et al. 2009). Why, then, is  $\text{CO}_2$  so abundant relative to  $\text{H}_2\text{O}$  at these temperatures and locations in this disk? We present three scenarios.

1. *Temperature structure.* The temperature structure of the disk, which is largely controlled by the stellar luminosity, has a large impact on the inner disk  $\text{CO}_2$  and  $\text{H}_2\text{O}$  abundances and on their molecular emission (e.g., Walsh et al. 2015; Woitke et al. 2018; Anderson et al. 2021). Models and observations have shown that the inner disks around low-mass stars are richer in carbon-bearing species in the disk atmosphere than those around higher-mass stars (e.g., Pascucci et al. 2013; Walsh et al. 2015). GW Lup, with a stellar mass of  $0.46 M_{\odot}$ , may be a borderline case where the C/O in the infrared emitting region is moderately high, but not so high that  $\text{C}_2\text{H}_2$  is booming. Additionally, it is clear that  $\text{H}_2\text{O}$  is not so abundant in the upper layers that self-shielding is taking place, since  $^{13}\text{CO}_2$  is detected, indicating a deep layer of  $\text{CO}_2$ . Bosman et al. (2022) show that this occurs if the vertical  $\text{H}_2\text{O}$  column density remains low enough that water self-shielding is suppressed, producing more  $\text{OH}$  which is needed for additional  $\text{CO}_2$  formation. There is always some small amount of  $\text{H}_2\text{O}$  in the disk atmosphere that is being dissociated by UV photons from the star, decreasing the water abundance. This may be contributing to the relatively weak  $\text{H}_2\text{O}$  emission as some  $\text{OH}$  emission is detected. In the cooler disks around M-type stars, some of the oxygen may also be driven into

the unobservable  $O_2$  rather than  $H_2O$ , making the atmosphere appear to be carbon rich even though the C/O ratio is solar (Walsh et al. 2015). The moderately low luminosity of GW Lup may contribute to the the higher  $N_{CO_2}/N_{H_2O}$  determined here; however, GW Lup is not so low-mass that this is likely the sole explanation. Future observations of additional targets, will help to demonstrate the impact of temperature structure on the derived column densities.

2. *Pebble drift.* If dust grains coated in  $CO_2$ -rich ices are drifting inward from the outer disk without any traps halting the drift, the inner disk will be enriched in oxygen (Banzatti et al. 2020). However, if ice enrichment is taking place both  $CO_2$  and  $H_2O$  should be enriched at a ratio of 0.2–0.3 (Boogert et al. 2015), but only if the ices are transported vertically and there is no chemical reset. There may still be additional  $H_2O$  and  $CO_2$  hidden below the dust  $\tau_{15\mu m} = 1$  line. Bosman et al. (2017) compare the flux of the  $^{13}CO_2$   $Q$ -branch at  $15.42 \mu m$  to the neighboring  $^{12}CO_2$   $P(25)$  line at  $15.45 \mu m$ , and show that this ratio is sensitive to enhancements of  $CO_2$  at its snowline. In GW Lup, the  $P(25)$  line is stronger than the  $P(23)$  line, indicative of a contribution from the  $11^1_0$ – $10^0_0$  hot-band  $Q$  branch of  $^{12}CO_2$  that is only present at high temperatures/column densities and was not seen in the models of Bosman et al. (2017). Therefore, the  $P(25)$  line is not a good representative of an individual  $P$ -branch line at these  $J$  levels. Instead, the  $P(27)$  line at  $15.48 \mu m$  can be used. From the best-fit models, the peak of the  $^{13}CO_2$   $Q$ -branch is at 6.5 mJy, compared to 4.7 mJy for the  $P(27)$  line. In the modeling setup of Bosman et al. (2017), this  $^{13}CO_2/P(27)$  line ratio of 1.4 points to a low outer ( $10^{-8}$  with respect to the total gas density) and high inner ( $10^{-6}$ ) disk  $CO_2$  abundance. While this is intriguing, further modeling efforts, such as the full 2D physical-chemical models used by Bosman et al. (2017), are needed to realistically compare the inner and outer disk  $CO_2$  distribution for GW Lup specifically.

3. *Inner cavity and/or dust trap.* If there is an inner gas and dust cavity in the disk that extends to between the  $H_2O$  and  $CO_2$  snowlines (estimated at  $\sim 0.4$  and  $>1$  au, respectively), the  $H_2O$  will be suppressed but the  $CO_2$  will still be abundant in the gas phase. The models of T Tauri disks from Walsh et al. (2015) and Anderson et al. (2021) show that the column densities of  $H_2O$  dominate over those of  $CO_2$  in the inner 1 au. A cavity or gap may be present in GW Lup, which would remove abundant  $H_2O$  and result in the relatively strong  $CO_2$  lines and relatively weak  $H_2O$  lines observed in the GW Lup disk. In this case, the  $H_2O$  will only be present in the uppermost layers of the disk atmosphere or at the heated edge of the cavity/gap where the icy grains are warm enough to sublimate water ice and/or where any free volatile oxygen is driven into  $H_2O$ . Anderson et al. (2021) find that the  $H_2O$  flux decreases substantially if an inner gas cavity is present, while the  $CO_2$  flux is less affected due to having more contribution from emission at larger radii, although as they note, the fluxes may not accurately reflect the column densities and abundances. If there is a dust trap between the snowlines, either created by the same mechanism opening the cavity or by other means, water ice-rich grains could be trapped beyond the  $H_2O$  snowline, keeping  $H_2O$  from sublimating but



**Figure 4.** The Spitzer  $CO_2$   $Q$  branch vs.  $17 \mu m$   $H_2O$  fluxes in the sample studied in Banzatti et al. (2020). Downward-facing triangles are those with  $CO_2$  not detected above  $3\sigma$ , leftward-facing triangles are those with  $H_2O$  not detected above  $3\sigma$ , and open points are those with neither detected above  $3\sigma$ . Blue points are stars with stellar masses between  $0.1$  and  $0.5 M_\odot$ , to be comparable to GW Lup (red), which has a stellar mass of  $0.46 M_\odot$ . We show the luminosities determined from Spitzer and JWST for GW Lup.

allowing for the sublimation and enrichment of  $CO_2$ . Such a small cavity cannot be seen in the ALMA data, even with the high resolution of the DSHARP data. A small cavity in the dust could be traced in the dust continuum with near-infrared interferometry, whereas a gas cavity could be traced using high-spectral-resolution spectroscopy, for instance, of the CO rovibrational lines at  $4.7 \mu m$  (e.g., Brown et al. 2013; Banzatti et al. 2022). None of these data exist yet for the GW Lup disk.

As more sources are observed with JWST-MIRI, these scenarios can be explored further. For instance, looking for trends of the  $CO_2$  versus  $H_2O$  as a function of stellar luminosity and outer disk dust radius will be very informative in distinguishing between the importance of temperature structure versus pebble drift, as was done with Spitzer data. In the meantime, GW Lup can be put into context with other sources based on the Spitzer fluxes. Banzatti et al. (2020) (re)determined molecular line fluxes for  $H_2O$ , HCN,  $C_2H_2$ , and  $CO_2$  for the Spitzer sample. While GW Lup has a relatively high  $Q$ -branch  $CO_2$  flux and relatively low  $17 \mu m$   $H_2O$  flux compared to other disks, it is not a complete outlier (converted to line luminosities for comparison; Figure 4). Several disks in the Spitzer survey analyzed by Pontoppidan et al. (2010), Salyk et al. (2011), and Banzatti et al. (2020) also show high  $CO_2$  fluxes and low water fluxes, including DN Tau, IM Lup, MY Lup, and CX Tau (Figure 4). With the sensitivity and resolution of MIRI, there are likely to be other disks that will show  $^{13}CO_2$  emission, which will allow us to derive strong constraints on the  $CO_2$  column density and  $N_{CO_2}/N_{H_2O}$  ratio in these disks.

## 5. Summary and Conclusions

1. We identify  $^{12}CO_2$ ,  $^{13}CO_2$ ,  $H_2O$ , HCN,  $C_2H_2$ , and OH in the JWST-MIRI spectrum of GW Lup. Using LTE slab models, we reproduce the  $13.6$ – $16.3 \mu m$  spectrum.  $H_2O$ , HCN,  $^{13}CO_2$ , and OH are detected for the first time in this disk, as

the features had line/continuum ratios that were too low to be detectable at the spectral resolution of Spitzer-IRS.

2. The gas-phase  $^{13}\text{CO}_2$  detection is the first in a protoplanetary disk. This detection points to a high  $\text{CO}_2$  abundance deep into the disk, with a  $^{12}\text{CO}_2$  column density of  $2.2 \times 10^{18} \text{ cm}^{-2}$ , temperature of 400 K, and an emitting radius of 0.11 au. For  $^{13}\text{CO}_2$ , the best-fit model has a column density of  $1 \times 10^{17} \text{ cm}^{-2}$ , temperature of 325 K, and an emitting radius of 0.11 au.
3. The column density ratio of  $\text{CO}_2$  to  $\text{H}_2\text{O}$ , derived from LTE slab models ( $N_{\text{CO}_2}/N_{\text{H}_2\text{O}} \sim 0.7$ ) that fit simultaneously the  $^{12}\text{CO}_2$  hot bands, is over 2 orders of magnitude higher than what has previously been found in typical T Tauri disks. This may indicate an inner cavity with a radius in between the  $\text{H}_2\text{O}$  and  $\text{CO}_2$  midplane snowlines and/or an overall lower disk temperature.
4. While GW Lup has a high  $\text{CO}_2$  flux relative to  $\text{H}_2\text{O}$ , as seen with Spitzer, it is not completely an outlier, suggesting that other disks, such as those around MY Lup, IM Lup, DN Tau, and CX Tau are good candidates for the detection of  $^{13}\text{CO}_2$ .

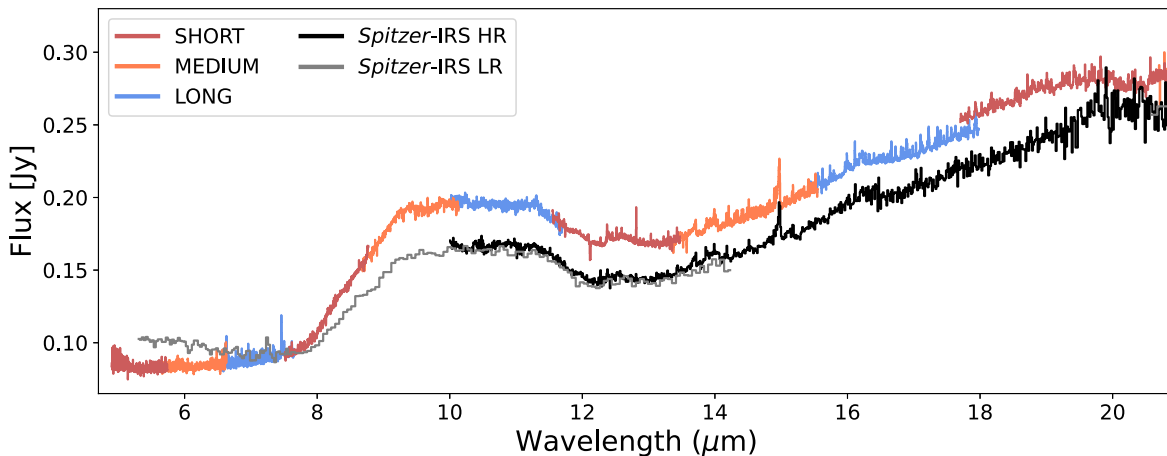
Taken together, this study demonstrates that JWST-MIRI MRS has the ability to provide new and unique constraints on inner disk physical and chemical structures.

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## Appendix A Comparison with Spitzer-IRS

The comparison between the MIRI MRS data and the Spitzer-IRS data for GW Lup is shown in Figure 5. A spurious, single-pixel spike at  $18.8 \mu\text{m}$  has been removed from the MIRI spectrum, as in Figure 1.



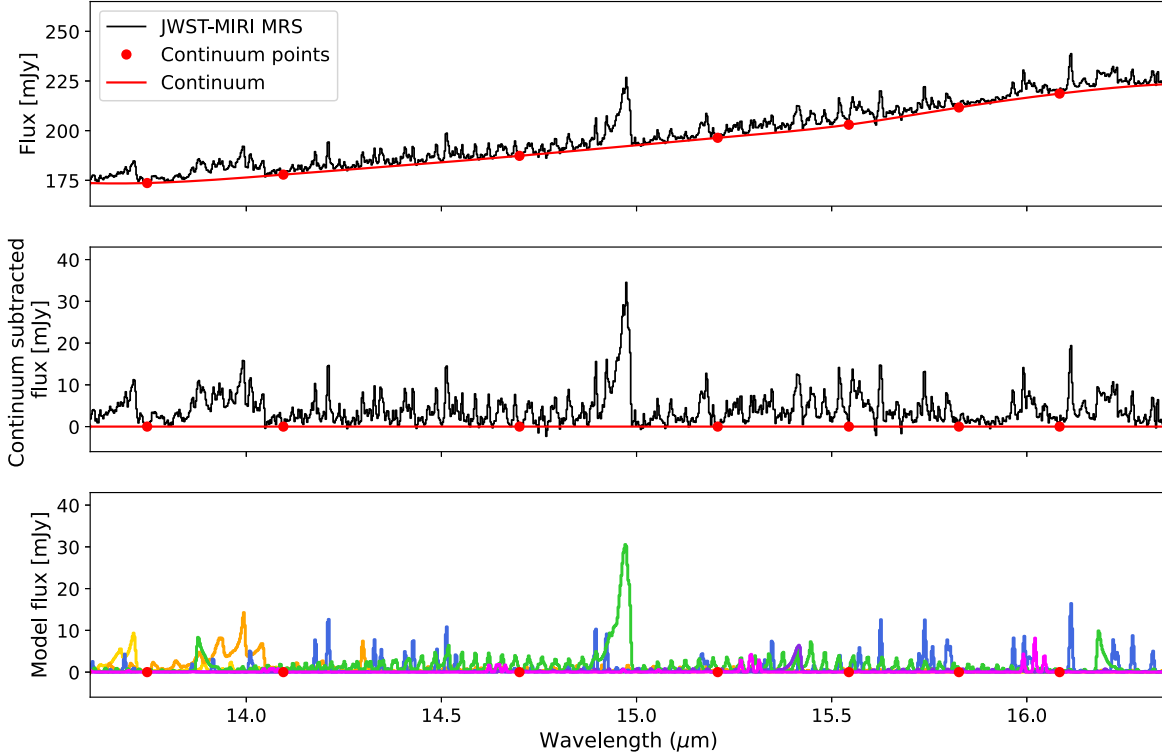
**Figure 5.** The JWST-MIRI MRS spectrum for GW Lup is shown with the subbands in different colors. The Spitzer-IRS high-resolution (HR) and low-resolution (LR) data are shown for comparison.

## Appendix B Continuum Subtraction

The continuum is determined using a cubic spline interpolation (`scipy.interpolate.interp1d`) between selected

using the following formula:

$$\chi^2 = \frac{1}{N} \sum_{i=1}^N \frac{(F_{\text{obs},i} - F_{\text{mod},i})^2}{\sigma^2}, \quad (\text{C1})$$



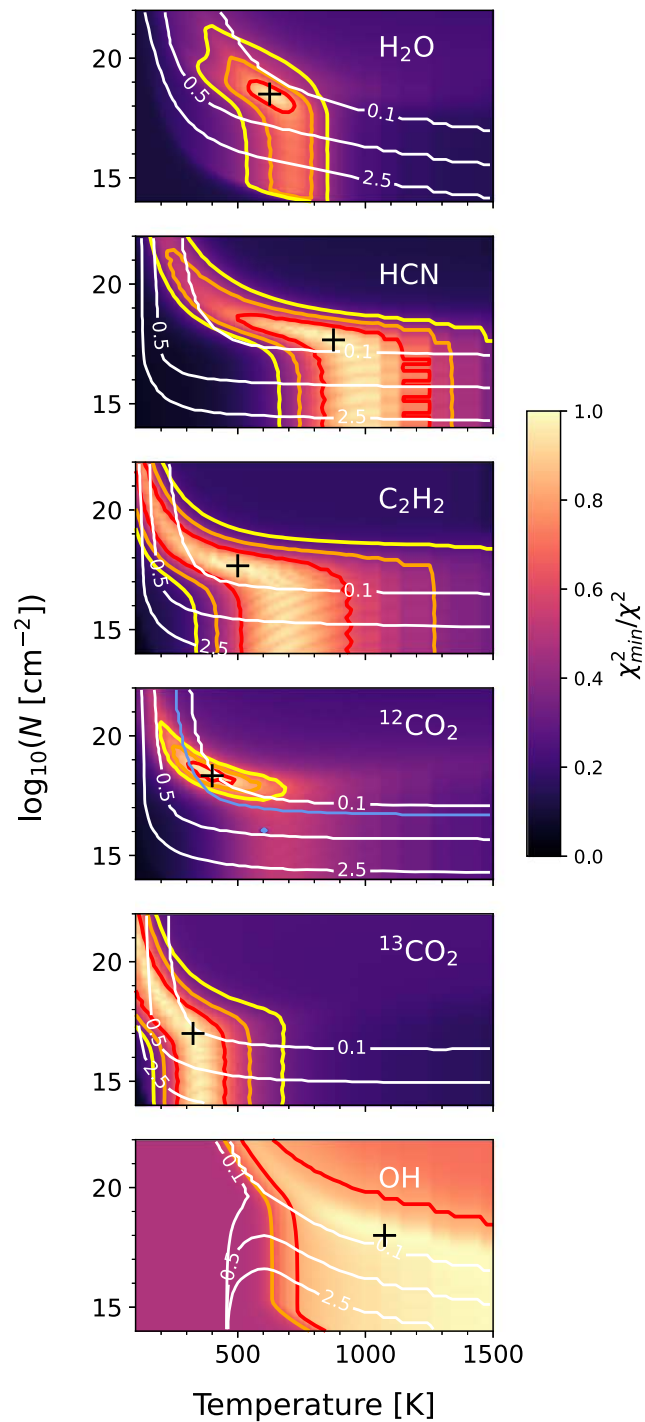
**Figure 6.** Top: The 13–16.3  $\mu\text{m}$  wavelength range of our JWST-MIRI MRS data of GW Lup (black). The continuum points that we select are shown as the red points and the interpolated continuum is shown as the red line. Middle: The continuum-subtracted spectrum. Bottom: The best-fit models, as in Figure 2, showing the continuum points relative to the molecular features.

regions with minimal line emission in the spectrum (Figure 6). Because the molecular emission is so rich in this wavelength region, the continuum points are selected to lie between emission features, which we confirm with our best-fit models (bottom panel). Due to the high signal-to-noise of the data and the fact that molecular features are not expected to produce an underlying continuum level at the column densities determined for this source, regions of low emission can be taken as the continuum level.

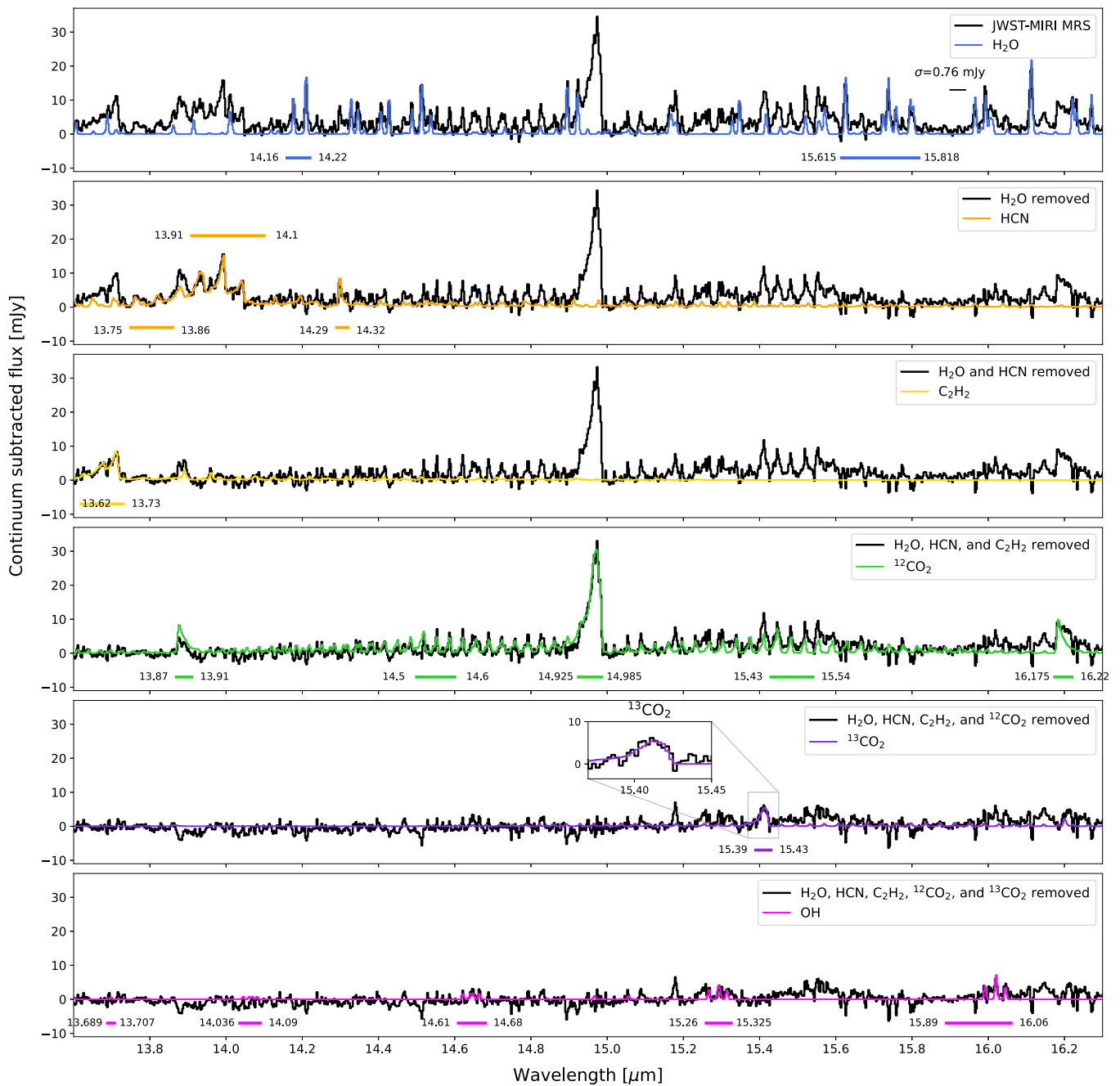
## Appendix C $\chi^2$ Procedure and Maps

The reduced  $\chi^2$  maps for  $\text{H}_2\text{O}$ ,  $\text{HCN}$ ,  $\text{C}_2\text{H}_2$ ,  $^{12}\text{CO}_2$ ,  $^{13}\text{CO}_2$ , and  $\text{OH}$  are shown in Figure 7. The reduced  $\chi^2$  is determined

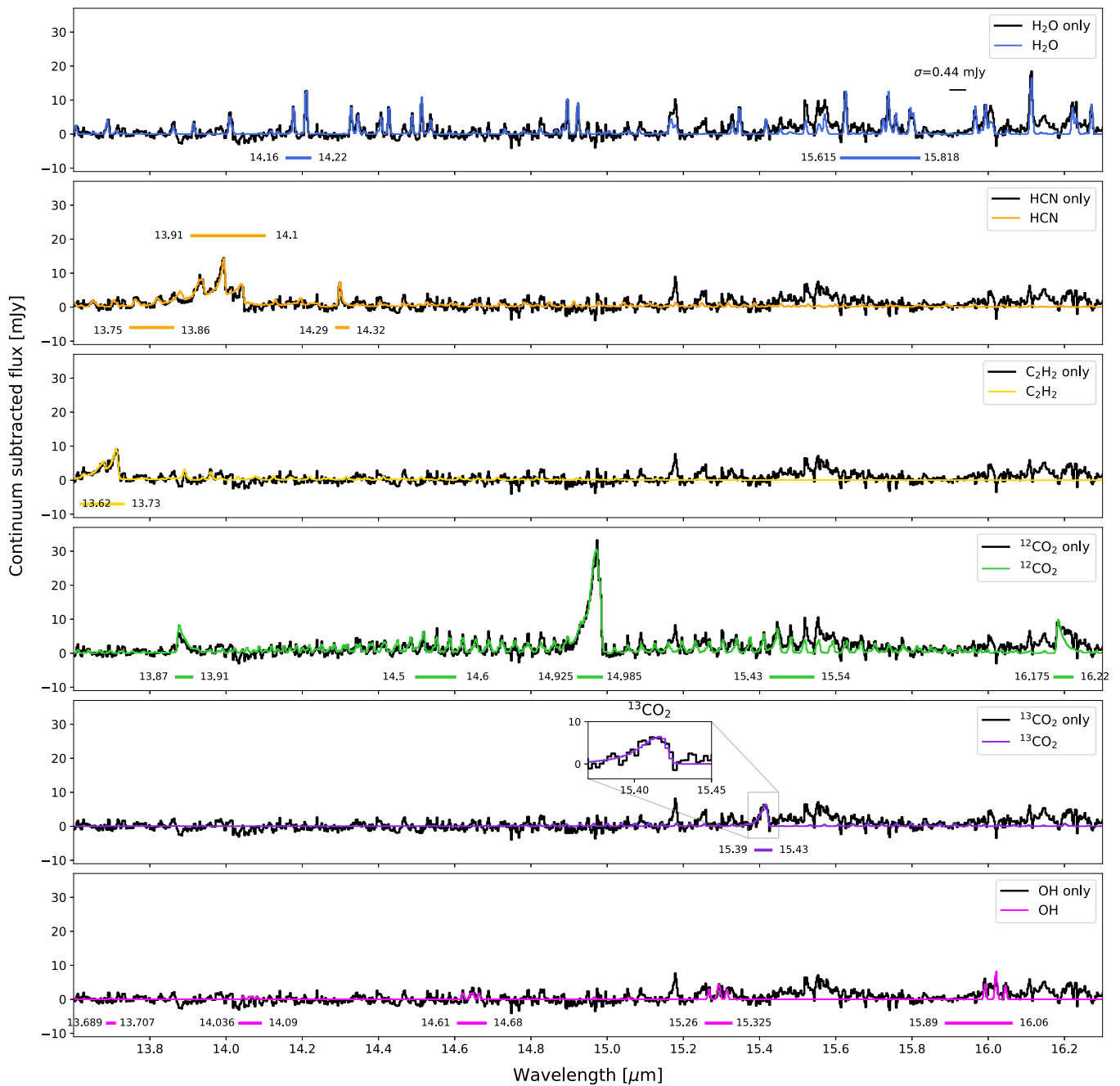
where  $N$  is the number of resolution elements in the spectral windows that the fit is done over and  $\sigma$  is the standard deviation in a region with minimal line emission from 15.90 to 15.94  $\mu\text{m}$  (Figure 8). As the emitting radius is just a scaling factor, the degrees of freedom is only 2 for the column density and temperature. The contours in the reduced  $\chi^2$  shown in Figure 7 are the  $1\sigma$ ,  $2\sigma$ , and  $3\sigma$  levels determined as  $\chi_{\text{min}}^2 + 2.3$ ,  $\chi_{\text{min}}^2 + 6.2$ , and  $\chi_{\text{min}}^2 + 11.8$ , respectively (see Press et al. 1992 and Table 1 and Equation (6) of Avni 1976). Any contribution from other species in this line-rich region of the spectrum increases the overall  $\chi^2$  value, although the spectral windows are selected to minimize this contribution. The procedure is iterative, as described in Section 2.2, to reduce the influence of overlapping molecular features on the best-fit parameters for a given species. The best-fit models are shown in Figure 9, along with



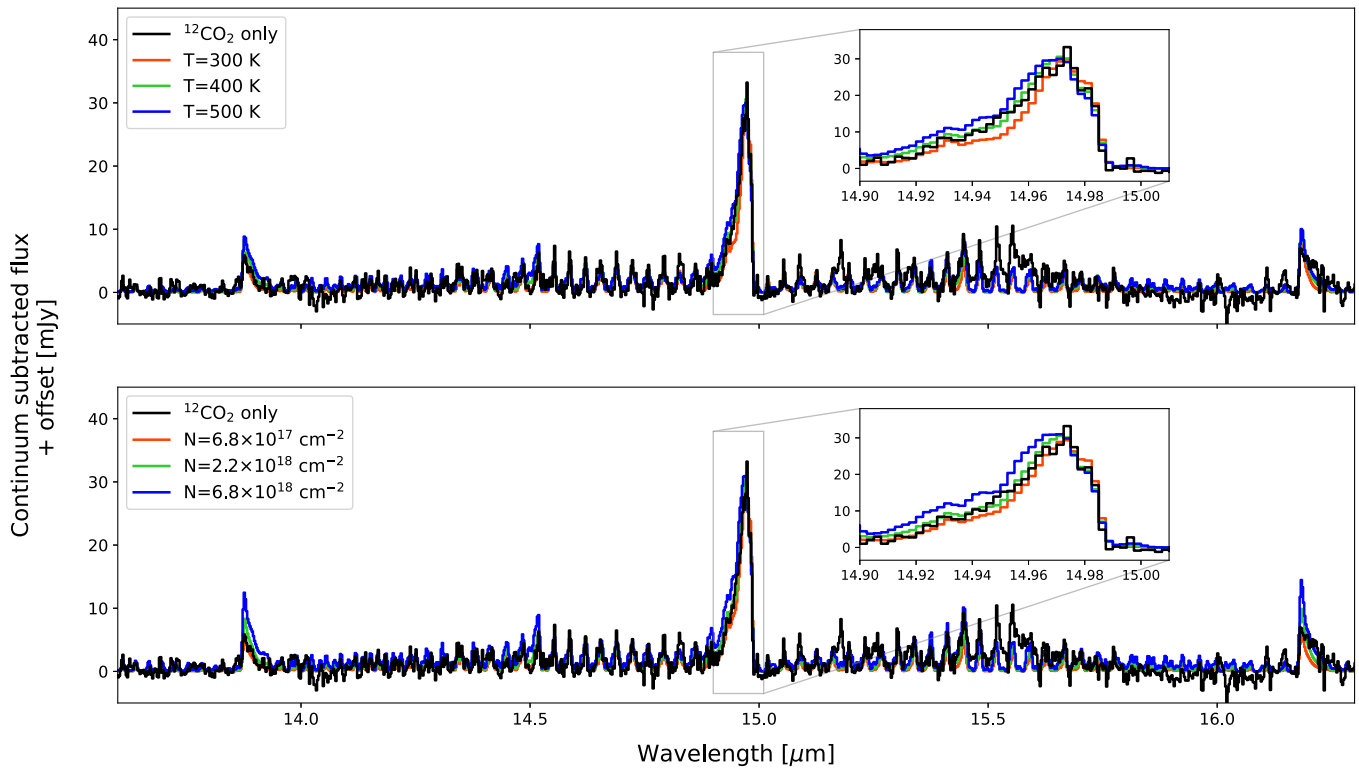
**Figure 7.** The  $\chi^2$  maps for H<sub>2</sub>O, HCN, C<sub>2</sub>H<sub>2</sub>, <sup>12</sup>CO<sub>2</sub>, <sup>13</sup>CO<sub>2</sub>, and OH (from top to bottom). The color scale shows  $\chi_{\min}^2/\chi^2$ . The red, orange, and yellow contours correspond to the  $1\sigma$ ,  $2\sigma$ , and  $3\sigma$  levels. The white contours show the emitting radii in astronomical units, as given by the labels. The best-fit model is marked as the black plus. The best-fit model corresponds to  $\chi_{\min}^2/\chi^2 = 1$ . These maps correspond to the  $\chi^2$  and uncertainties after the third round in the iterative fitting procedure. The blue curve in the <sup>12</sup>CO<sub>2</sub> plot is the best-fitting emitting radius for H<sub>2</sub>O for comparison.



**Figure 8.** The best-fit model procedure is shown here. The top panel shows the best-fit H<sub>2</sub>O model (blue) overlaid on the continuum-subtracted JWST-MIRI spectrum. In the second panel, the black spectrum is the observed spectrum after subtracting the H<sub>2</sub>O model from the first panel. The best-fit HCN model is found using this as the input spectrum. This process continues down the panels. The spectral windows used for each species fit are shown as the horizontal bars, with the given starting and ending points. A region with minimal line emission from 15.90 to 15.94  $\mu\text{m}$  is chosen to determine the noise level (top panel). This region, before subtracting the models has a standard deviation of 0.76 mJy; however, some low-level molecular emission is still present.



**Figure 9.** The same as Figure 8, but now showing the final best fits after the iterative process. The spectra shown in black are the observed data after subtracting the best-fit models from the previous iterations for all molecules except that being fitted; these spectra are what is used in determining the best-fit for the species in each panel. The noise level is decreased from Figure 8 because the excess emission, mostly from <sup>12</sup>CO<sub>2</sub> in this region has been removed.



**Figure 10.** The  $^{12}\text{CO}_2$ -only data, as in Figure 9, with models showing the impact of temperature ( $\pm 100$  K; top) and column density ( $\pm 0.5$  dex; bottom). The emitting area for the models has been chosen to best match the data. The best-fit model found for  $^{12}\text{CO}_2$ , with a temperature of 400 K and a column density of  $2.2 \times 10^{18} \text{ cm}^{-2}$ , is shown in green. The temperature controls the width of the  $Q$  branches and the column density controls the position of the main  $Q$ -branch peak and the height of the hot-band peaks.

the final noise level of 0.44 mJy. Figure 10 shows the effects of changing temperature and column density on the  $\text{CO}_2$  model as an example.

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