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RESEARCH ARTICLE

Microgrid Working Conditions Identification Based on Cluster Analysis—A Case Study From Lambda Microgrid

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ABSTRACT This article presents the application of cluster analysis (CA) to data proceeding from a testbed microgrid located at Sapienza University of Rome. The microgrid consists of photovoltaic (PV), battery storage system (BESS), emergency generator set, and different types of load with a real-time energy management system based on supervisory control and data acquisition. The investigation is based on the area-related approach - the CA algorithm considers the input database consisting of data from all measurement points simultaneously. Under the investigation, different distance measures (Euclidean, Chebyshev, or Manhattan), as well as an approach to the optimal number of cluster selections. Based on the investigation, the four different clusters that represent working conditions were obtained using methods to define an optimal number of clusters. Cluster 1 represented time with high PV production; cluster 2 represented time with relatively low PV production and when BESS was charged; cluster 3 represents time with relatively high PV production and when BESS was charged; cluster 4 represents time without PV production. Additionally, after the clustering process, a deep analysis was performed in relation to the working condition of the microgrid.

INDEX TERMS Microgrid, area-related approach, cluster analysis, different measurement distances, optimal number of clusters.

I. INTRODUCTION

Recently, the approach to energy supply has tended to decentralized control [1], [2]. Thus, different ways to obtain those solutions are proposed, such as microgrids, power plants, energy communities [3], [4] or smart grids [5].

Microgrids are small-scale power systems consisting of distributed power generation, power storage, and load [6]. Recently many real cases of microgrids are indicated in the literature. The examples of microgrids in Europe are located in:

- UK [7], [8]
- Germany [9], [10],

- Austria [11], [12],
- France [13], [14],
- Poland [15], [16],
- Italy [17], [18].

In this paper, the application of cluster analysis (CA) to real data from a microgrid is presented. Thus, the recent articles in this area are discussed. Article [19] proposes a demand data reduction method based on k-means clustering. In the article, the reduced demand data is considered separately for weekdays and weekends. The proposed data reduction approach is tested on the basis of real data from a microgrid with a 1 hour resolution. Article [20] proposed using k-mean clustering to identify suitable candidates in a local microgrid for demand-side management who have insignificant influence on microgrid peaks. The paper [21] proposes an optimal

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coordination approach for directional overcurrent relays for the microgrid using the k-means algorithm. The final number of clusters is defined in the basement of setting groups number of commercially available relays. The article [22] proposes the method for optimal network planning of hybrid microgrid. In this case, the optimal clustering partition was defined by a combination of k-means cluster analysis with the particle swarm optimization algorithm. The article proposes a scheme for island detection of microgrid using data mining. The method is based on fuzzy c-means clustering [23]. In article [24], a support management system based on stochastic mixed-integer linear programming was proposed. To deal with uncertainty issues, the reduction of scenario was realized. This task was performed using a clustering technique of k-means and a fast backward scenario reduction method. In the paper [25], the optimal location to place the data of the one-year electric vehicle charging station from the real microgrid is investigated. In this case, k-means clustering was applied to divide the load data into representatives. Only those representatives (clusters) were used in simulations. The final number of clusters was selected using the elbow method. As can be observed from the literature review, the application of CA, but the main aim of its application is to reduce the size of the analyzed data [26], as well as to obtain general knowledge with the maintenance of data features. [27].

In this article, the main aim of the CA application is to divide the long-term data from the real microgrid to define its working conditions. The investigated microgrid is the testbed microgrid located at Sapienza University of Rome. The microgrid consists of photovoltaic (PV), battery storage system (BESS), emergency generator set, and different types of load. There are several points observed at the point of energy flow in the microgrid. The investigation is based on four Lambda Microgrid measurement points - point of common coupling (PCC), the connection point of the 12 kWh peak 3-phase PV system, the 6.5 kWh battery energy storage system and the University laboratory as a specific load. Therefore, to connect these measurement points, an area-related approach [28] was proposed for long-term data, 18 months with a resolution of 15 minutes of the data. It is worth noting that the CA algorithm considers the input database that consists of data from all measurement points simultaneously. In this paper, the different aspects of CA are considered such as distance measure selection (Euclidean, Chebyshev, or Manhattan), as well as the optimal number of cluster selection (v-fold validation test). However, the main element is that after CA application, a deep analysis is performed at the point of qualitative assessment of clusters. It's important to notice that each cluster represents different microgrid working conditions defined based on multipoint measurement to assess the system globally (all measurement points) not locally (each point separately).

The article is organized into six sections. Section II presents the methodology part with details about CA, selection of distance measurements, and selection of the optimal number of clusters. Section III present the investigated

object – Lambda Microgrid. Section IV presents the results of CA application and analysis in point of microgrid working conditions. Section V contains a discussion of the results. Finally, Section VI concludes the paper

II. METHODOLOGY

The presented in this section methodology is based on cluster analysis, as a representant of data mining techniques. This technique assures the division of data based on their features. Thus obtained clusters (groups), when obtained from a set of synchronized and related data assures the general knowledge about the object considering all input parameters. In this section, we presented the general aim of clustering and commonly used algorithms. Then we introduced the problem of distance selection, which is a measure of similarity/dissimilarity of data. Finally, we discussed the main problem of clustering – the selection of the final (optimal) number of clusters.

A. INTRODUCTION TO CLUSTER ANALYSIS

Cluster analysis (CA) is a representative technique of data mining [29], [30]. In general, CA aims to assure the division of the data in the point of their features [31], [32]. Cluster analysis can be performed using both a hierarchical and nonhierarchical approach [33], [34]. The hierarchical approach is represented as n classes of m observations. The non-hierarchical method concerns the assignment of all observations to the earlier assumed number of groups (clusters). In the case of non-hierarchical clustering is not assured the tree as a classification result as in the case of the hierarchical clustering [35], [36]. This approach results in the division into groups of the database to maximize/minimize the selected evaluation criteria [37]. In this article, the application of the non-hierarchical approach is discussed. The most used in the literature nonhierarchical methods are based on e.g. [38]–[40]:

- K-mean algorithm,
- K-median algorithm,
- Fuzzy C-means Method
- Expectation maximization (EM) algorithm.

In this paper, the authors suggest using the nonhierarchical with the k-mean algorithm for different distance measures. Generally, the K-mean algorithm's main aim is to find the extremum value of the specific objective function. The mentioned objective is defined as [41]:

$$ObjecFun(B, MC) = \sum_{a=1}^x \sum_{b=1}^y e_{ab} * distance \quad (1)$$

where:

- ObejcFun – objective function,
- B – matrix of the object belonging to a cluster,
- MC – matrix in which a row vector represents the centroids of clusters,
- a = 1, 2, 3, . . . , x – number of objects,
- b = 1, 2, 3 . . . , y – number of classes (clusters),

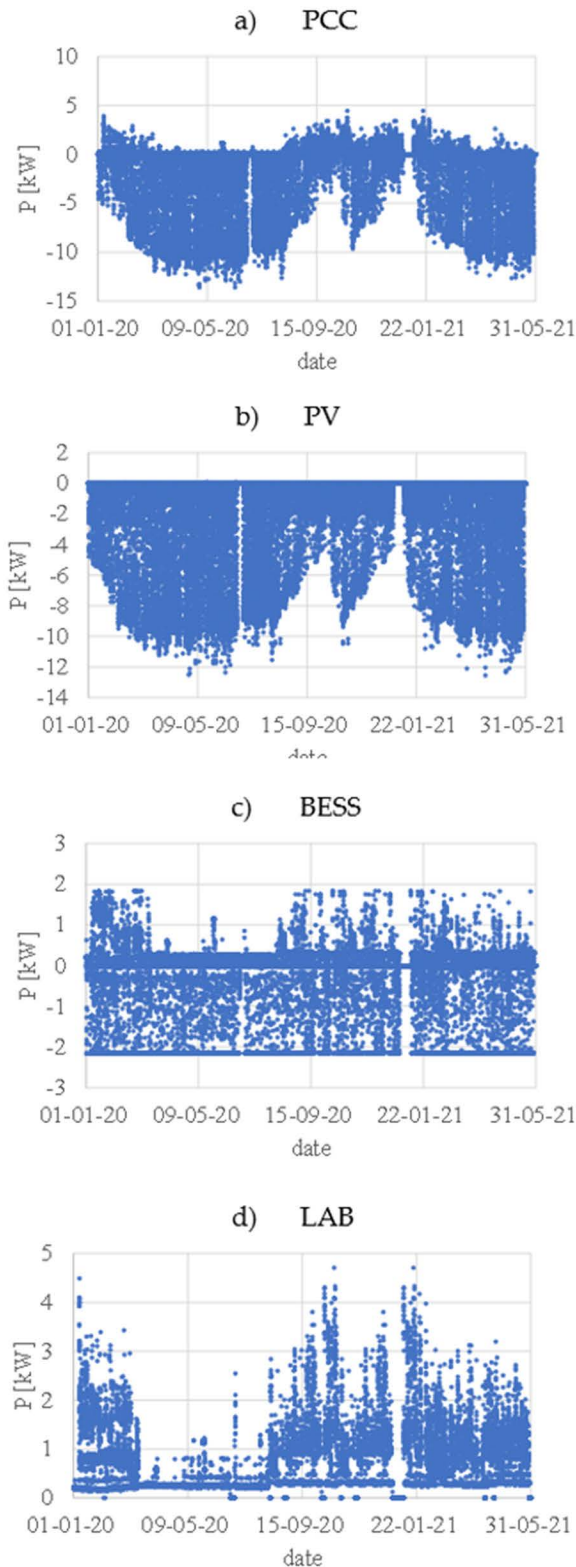


FIGURE 2. Load/generation changeability in observed time for different measurement points.

BESS operations are mounted to avoid power flux from the microgrid to the external system. The BESS consists of one battery with an inverter inside, Varta’s model. The setups

for BESS are the depth of discharge in this battery set to 90%, 2.2 kW of possible charging, and 1.8 kW of discharging capacity. For emergency issues such as external system failure or blackout, the requirements of the IEEE 1547 standard are applied [46] - PV and BESS are automatically disconnected. Then the emergency generators are started to ensure the off-grid microgrid mode. Then after 5 minutes, the PV and BESS are connected, respectively. During the operation of the microgrid, different monitoring systems are used [47]. The source for data in this paper was Schneider Electric iEM3150 meters, which are installed in different points of Lambda microgrid. The location of the meters is presented in Fig. 1. The data collection was realized within 18 months from 01.01.2020 to 31.05.2021. The simplified scheme of Lambda microgrid with the location of smart meters.

IV. RESULTS

A. CHARACTERIZATION OF THE OBJECT IN THE LONG-TERM APPROACH

Based on the long term measurements (18 months with 15 minutes aggregation) for multipoint measurement, the following changeability diagrams of active power level are performed (Fig. 2.):

- PCC of Lambda microgrid (a),
- PV system (b),
- BESS (c),
- Laboratory Load - LAB (d).

As it can be observed in Fig. 2, for such a long period of time different working conditions are indicated based on the changeability of all parameters. To define each point in measurement point in the mean of active power level in the long term approach the statistical analysis based on normality charts was performed (Fig. 3). The results are presented in Fig. 3. As it can be observed for each of the points the specific similar groups can be indicated. Thus authors decided to apply cluster analysis to assure the correct division of them into groups (clusters). The division will be realized in area related approach – together for all measurement points.

B. COMPARISON IN POINT OF DIFFERENT DISTANCE DEFINITION

The first step of the investigation was to define the optimal number of cluster for the investigated multipoint dataset. Thus the v-fold cross-validation was performed for k-mean algorithm with different measurement distances: Euclidean, Manhattan, Chebyshev. The following assumption based on literature suggestions were performed:

- minimal number of clusters equal to 2 and maximal number of clusters equal to 10 [48];
- minimal percentage decrease 10% [49];
- the number of subsets: 10 [50].

The results for the v-fold test were presented in Fig. 4 for Euclidean (a), Manhattan (b), and Chebyshev (c). The v-fold cross-validation for all investigated distances indicated that the optimal number of clusters is equal to 4.

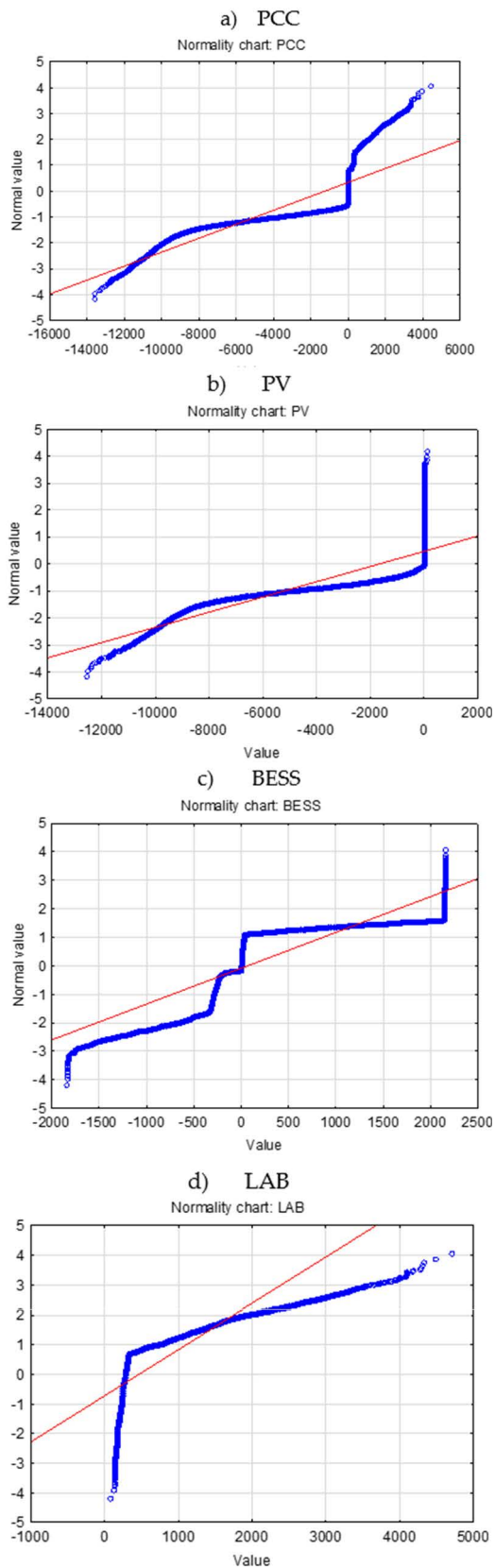


FIGURE 3. Normality charts for the monitored measurement points- a) PCC, b) PV, c) BESS, d) LAB.

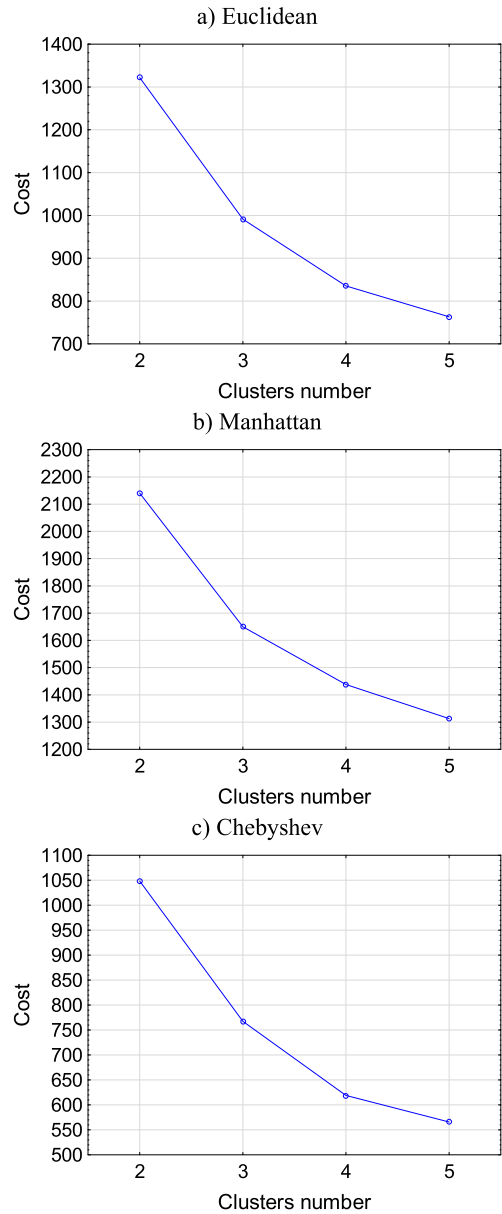


FIGURE 4. Defining the optimal number of clusters based on a v-fold cross-validation test for different distance measurements.

However, to assure the correctness of indicated division in the next step was a validation of data assignment to the same representative cluster based on different distances was performed. Table 1 presents the mean values of data that are assignment to clusters based on different distance measurements. Based on the results indicated in Table 1 it can be assumed that for all distances the obtained classification is similar. The differences between number of single data assigned to the same clusters does not exceed 5%. Additionally the mean vale of active power level in clusters do not exceed 100 W for all measurement points.

TABLE 1. Comparison of load cluster values for each measurement point for different distance measurements in case of the optimal number of clusters equal to 4.

Cluster	PCC	PV	BESS	LAB	Number of single data
<i>Euclidean distance</i>					
1	-9039	-8701	56	479	4313
2	-4926	-5809	723	554	3646
3	-1160	-2686	1044	665	5048
4	128	-133	-149	421	33552
<i>Manhattan distance</i>					
1	-8943	-8632	63	485	4511
2	-4786	-5710	760	548	3505
3	-1202	-2728	1077	632	4730
4	127	-145	-144	428	33813
<i>Chebyshev distance</i>					
1	-8911	-8588	64	473	4590
2	-4599	-5583	781	568	3692
3	-917	-2450	954	750	5383
4	131	-107	-156	403	32894

TABLE 2. Comparison of load cluster values for each measurement point for different distance measurements in case of the optimal number of clusters equal to 4.

Cluster	PCC	PV	BESS	LAB	Number of single data
Final number of clusters equal to 2					
1	-7241	-7467	370	516	7768
2	-58	-483	7	452	38791
Final number of clusters equal to 3					
1	-3192,79	-4489	990	593	5019
2	-8537,08	-8344	116	494	5326
3	82,57	-270	-67	440	36214
Final number of clusters equal to 4					
1	-9039	-8701	56	479	4313
2	-4926	-5809	723	554	3646
3	-1160	-2686	1044	665	5048
4	128	-133	-149	421	33552

C. CASE STUDY FOR EUCLIDEAN DISTANCE - DEEP STATISTICAL ANALYSIS

As a representative distance for CA, the Euclidean distance was selected due to its features as a representative selection of distance measurement value [51]. Thus, the deep analysis for the final number of the cluster from 2 to optimal based on v-fold validation values was performed in this subsection. As indicated in the previous section (section IV.E) the optimal number of clusters is equal to 4, thus the qualitative assessment is performed for the number of clusters equal to 2, 3, and 4. The results in point-of-mean values are presented in Table 2. However, using only mean values, the analysis of the results can be misleading. Thus, to justify that results are correct, the distribution graphs were prepared and presented in Fig. 5 and Fig. 6.

Based on both mean values and distribution graphs prepared for each cluster for clustering with the different final

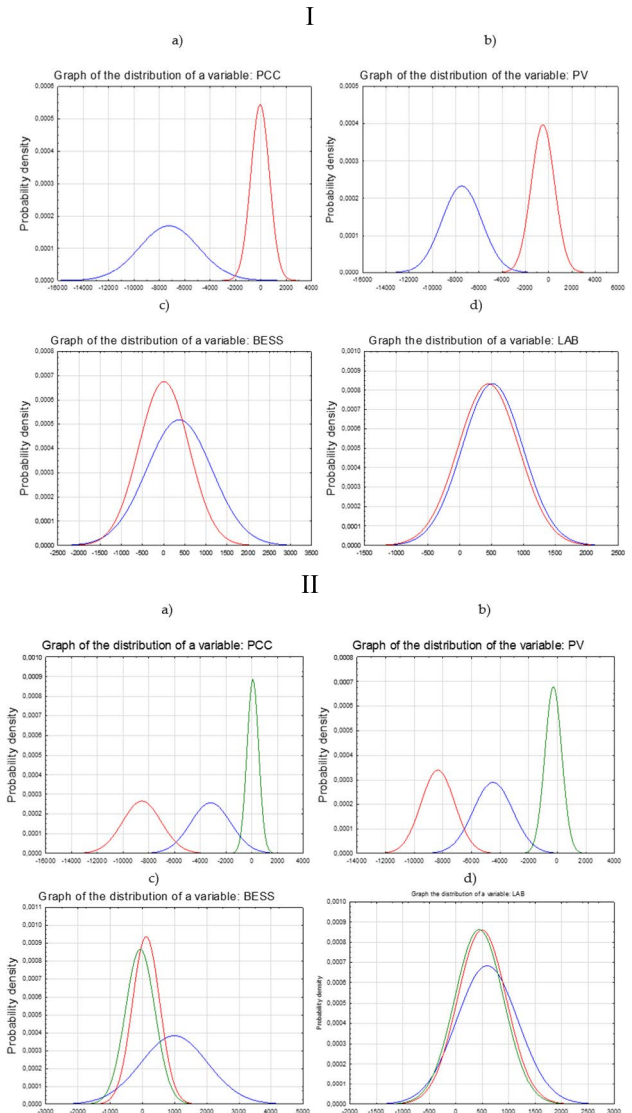


FIGURE 5. Distribution graphs for clustering results for final number of cluster equal to I) 2 clusters; II) 3 clusters; measurement points where: a) PCC, b) PV, c) BESS, d) LAB.

number of clusters (from 2 to 4) using Euclidean measurement of distance, the following observation is indicated:

- CA with the final number of clusters equal to 2: cluster 1 represents time with high PV production; cluster 2 represents time without PV production.
- CA with the final number of clusters equal to 3: cluster 1 represents time with PV production (but not high) and BESS is charged; cluster 2 represents time with high PV production; cluster 3 represents time without PV production.
- CA with the final number equal to 4: cluster 1 represents time with high PV production; cluster 2 represents time with relatively low PV production and BESS is charged; cluster 3 represents time with relatively high PV production and BESS is charged; cluster 4 represents time without PV production.

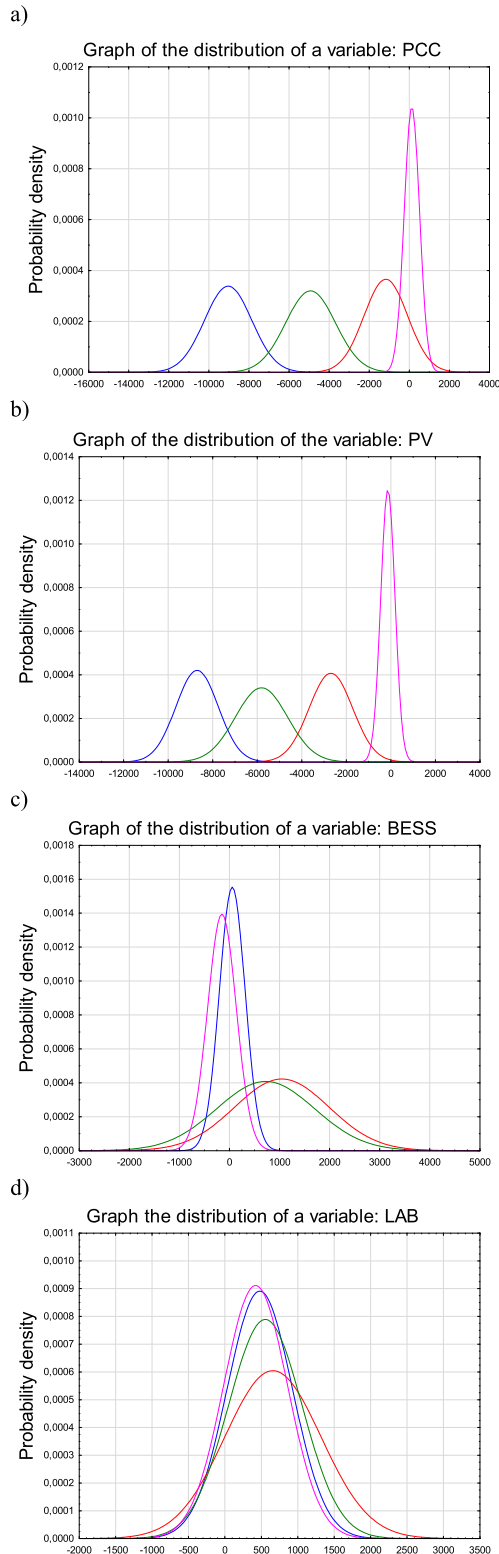


FIGURE 6. Distribution graphs for clustering results for final number of cluster equal to 4 cluster for all measurement points where: a) PCC, b) PV, c) BESS, d) LAB.

V. DISCUSSION

Firstly, it is worth noting that the investigation performed was based on a real testbed microgrid located at Sapienza

University of Rome. The microgrid consists of PV, BESS, and different types of load. During the observed time, the microgrid worked only in grid mode, so the emergency generator system had no impact on the results.

Four measurement devices were used as the data source. All the measurement devices were settled in the local microgrid, thus under this investigation the area-related approach was used. The area-relation approach means that we can analyze the object (microgrid) based on the simultaneous analysis of all objects that have an impact on each other. This mutual impact is connected with the fact that all these units are decentralized managed by the microgrid.

In this investigation, the three different measurement distances were investigated – Euclidean, Chebyshev, and Manhattan. In II.B, all of them were compared and highlights about the selection of them in point of data features were indicated. Using different measurement distances for the clustering process, there is also a possibility to verify the character of data. If the clustering results for Euclidean distance are noticeable different from Chebyshev and Manhattan, it means that some specific outliers in any of the measurement points are noticeable. In the case of the investigated object for 18 months period because results for all distances are similar it can be stated that outliers are not indicated in those data.

The next element of discussion is based on a comparison of working conditions that are obtained during the clustering process. The most general division (for 2 clusters) assures division in point of PV generation. When the final number of clusters increased into 3 also the operation of BESS was significant to division. The additional increase in the final number to cluster to 4 indicated that both PV and BESS had an impact to indicate additional cluster.

VI. CONCLUSION

In this article, the case study of cluster analysis for real microgrid data was performed. The results were based on long-term data (18 months), so the different working conditions occurred. The CA performed was realized simultaneously for all points, thus in fact the investigation was toward the whole microgrid not only a separated part of it. The results of the clustering performed are representatives of the Lambda Microgrid working conditions. Generally the working conditions are related to PV generation and operation of BESS. By analyzing each cluster separately, it is possible to analyze the general scenarios of working of the microgrid. Such scenarios can be used to define the microgrid operation rather than analyzing the entire dataset with maintaining the data features.

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