



## **LCA in architectural design—a parametric approach**

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## LCA in Architectural Design - A Parametric Approach

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<b>Abstract:</b>	<p><b>Purpose:</b> Life Cycle Assessment (LCA) is a valuable method for evaluating the environmental impact of buildings. However, it is only rarely applied in the building design process, as it is complex and time-consuming. There is high demand for simplified approaches that are applicable by architects without detailed knowledge of LCA. Therefore, this paper presents a parametric LCA approach, which allows architects to efficiently reduce the environmental impact of building designs.</p> <p><b>Methods:</b> To develop this parametric approach, first of all the requirements for design-integrated LCA are analysed. Based on these requirements, assumptions to simplify the required data input are made and a parametric model is established. The model parametrizes all input, including building geometry, materials, and boundary conditions, and calculates the LCA in real-time. The parametric approach possesses the advantage that input parameters can be adjusted easily and quickly. The architect has two options to improve the design: either through manually changing geometry, building materials, and building services, or through the use of an optimization solver. The parametric model was implemented in a parametric design software for the purpose of applying the method to two examples.</p> <p><b>Results and discussion:</b> The application is demonstrated using two examples: the design of a new residential building and the retrofitting of a single-family house. In both examples the goal is to find a solution with minimum environmental impact. In the first example, the parametric method is used to manually compare geometric design variants. The LCA is calculated based on assumptions for materials and building services. In the second example, evolutionary algorithms are employed to find the optimum combination of insulation material, heating system, and windows for retrofitting. The results indicate that there is not one optimum insulation thickness, but many optima, depending on the individual boundary conditions and the chosen environmental indicator.</p> <p><b>Conclusion:</b> By incorporating a simplified LCA into the design process, the additional effort of performing LCA is minimized. The parametric approach allows the architect to focus on his main task of designing the building and finally makes LCA an applicable parameter for design optimization in architectural practice. In future, further analyses can be integrated and the method could be extended for Life Cycle Costing.</p>
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# LCA in Architectural Design – A Parametric Approach

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## Abstract

**Purpose:** Life Cycle Assessment (LCA) is a valuable method for evaluating the environmental impact of buildings. However, it is only rarely applied in the building design process, as it is complex and time-consuming. There is high demand for simplified approaches that are applicable by architects without detailed knowledge of LCA. Therefore, this paper presents a parametric LCA approach, which allows architects to efficiently reduce the environmental impact of building designs.

**Methods:** To develop this parametric approach, first of all the requirements for design-integrated LCA are analysed. Based on these requirements, assumptions to simplify the required data input are made and a parametric model is established. The model parametrizes all input, including building geometry, materials, and boundary conditions, and calculates the LCA in real-time. The parametric approach possesses the advantage that input parameters can be adjusted easily and quickly. The architect has two options to improve the design: either through manually changing geometry, building materials, and building services, or through the use of an optimization solver. The parametric model was implemented in a parametric design software for the purpose of applying the method to two examples.

**Results and discussion:** The application is demonstrated using two examples: the design of a new residential building and the retrofitting of a single-family house. In both examples the goal is to find a solution with minimum environmental impact. In the first example, the parametric method is used to manually compare geometric design variants. The LCA is calculated based on assumptions for materials and building services. In the second example, evolutionary algorithms are employed to find the optimum combination of insulation material, heating system, and windows for retrofitting. The results indicate that there is not one optimum insulation thickness, but many optima, depending on the individual boundary conditions and the chosen environmental indicator.

**Conclusion:** By incorporating a simplified LCA into the design process, the additional effort of performing LCA is minimized. The parametric approach allows the architect to focus on his main task of designing the building and finally makes LCA an applicable parameter for design optimization in architectural practice. In future, further analyses can be integrated and the method could be extended for Life Cycle Costing.

**Keywords:** sustainable building, parametric design, architectural design process, optimization, simplified LCA

## Nomenclature

		<b>Name</b>	<b>Unit</b>
1			
2			
3			
4			
5			
6	<i>I</i>	Environmental impact	
7			
8	<i>ED</i>	Energy demand	kWh
9			
10	<i>M</i>	Mass	kg
11			
12	<i>R</i>	Number of replacements	-
13			
14	<i>RSP</i>	Reference service period (of the building)	a
15			
16	<i>RSL</i>	Reference service life (of a building component)	a
17			
18	<i>IF</i>	Environmental impact factor	
19			
20	<i>PF</i>	Performance factor of a building service	-
21			
22	<i>PET</i>	Total primary energy	MJ
23			
24	<i>PERT</i>	Total renewable primary energy	MJ
25			
26	<i>PENRT</i>	Total non-renewable primary energy	MJ
27			
28	<i>GWP</i>	Global Warming Potential for a time horizon of 100 years	kg CO <sub>2</sub> -eqv.
29			
30	<i>EP</i>	Eutrophication Potential	kg R11-eqv.
31			
32	<i>AP</i>	Acidification Potential	kg SO <sub>2</sub> -eqv.
33			
34	<i>ODP</i>	Ozone Layer Depletion Potential	kg PO <sub>4</sub> <sup>3-</sup> -eqv.
35			
36	<i>POCP</i>	Photochemical Ozone Creation Potential	kg C <sub>2</sub> H <sub>4</sub> -eqv.
37			
38	<i>ADPE</i>	Abiotic Resource Depletion Potential for elements	kg Sb-eqv.
39			
40			
41			
42			
43	Subscript:		
44			
45	<i>LC</i>	Life Cycle	
46			
47	<i>o</i>	Operational	
48			
49	<i>E</i>	Embodied	
50			
51	<i>heat</i>	Heating	
52			
53	<i>env</i>	Building envelope	
54			
55	<i>pri</i>	Primary structure	
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# 1. Introduction: Sustainable Building and Life Cycle Assessment

## 1.1. The global problem and existing measures

The building sector is responsible for a large proportion of the world's consumption of energy and resources and has a significant environmental impact. About 50% of the world's processed raw materials are used for construction (Hegger et al. 2007). Buildings account for more than 40% of the world's primary energy demand and one third of greenhouse gas emissions (UNEP SBCI 2009).

The general public became aware of the great amount of energy consumed for the operation of buildings in the 1970s, triggered by the first oil crisis and the resulting rise in costs for fossil energy carriers. Most governments of industrialized countries reacted by introducing regulations on the energy demand of buildings, such as the first German Thermal Insulation Ordinance, in 1977. Over the years the requirements have been made steadily more demanding, and contemporary regulations, such as the German Energy Saving Ordinance (EnEV 2013), are very strict. In addition, governments have introduced financial incentives for exceeding the requirements of the current regulation. For example, the German government-owned development bank (KfW) awards subsidies for new buildings and retrofitting measures if the requirements of the EnEV 2014 are exceeded.

Whether enforced by law or motivated by incentives, current planning approaches attempt to reduce the energy demand for the operation of buildings as much as possible. State-of-the-art measures employ, among other things, very high insulation thicknesses, highly insulated thermal windows, and mechanical ventilation. All of these measures require resources and energy, both for their initial production and again for their later disposal. The question is therefore whether the energy and environmental savings achieved through these measures are greater than the consumption of energy and resources for their production. This can be answered using Life Cycle Assessment (LCA).

## 1.2. The need for LCA in the building sector

The energy demand of buildings over the entire life cycle can be divided in two types: the operational energy demand in the use phase, and the energy embodied in the production, construction, and replacement of components, as well as their disposal at the end of their useful life. The measures implemented to reduce operational energy demand have caused the ratio of operational energy to embodied energy to shift in recent years. Figure 1 shows the distribution of primary energy demand for residential buildings under different historical energy standards in Germany over a reference service period of 50 years. Before the first Energy Saving Ordinance (EnEV) was introduced in 2002, operational energy demand accounted for a share of more than 85% of the life cycle primary energy demand. Embodied energy was thus insignificant and could be neglected. With the tightening of building regulations, the overall life cycle energy demand has been reduced, but the share of embodied energy has risen for two reasons: first, operational energy demand has been successfully reduced, causing the relative contribution of embodied energy to rise. Second, the measures themselves increase the embodied energy, increasing the absolute embodied energy.

The embodied energy of a residential building in Passivhaus standard accounts for a share of more than 30% (El Khouli et al. 2014) of the whole life cycle primary energy demand. According to Passer et al. (2012), energy optimization measures for the use phase in low-energy buildings, such as the Passivhaus standard, have reached the limit of what can be achieved. Nevertheless, the Energy Performance Directive of the European Union 2010/31/EU stipulates a further reduction of operational energy demand. Beginning in 2021, only Nearly-Zero

1 Energy Buildings (NZEBs) will be allowed to be built (EU 2010). NZEBs are defined as buildings that produce  
2 approximately the same amount of energy that they consume on average on an annual basis, although a clear  
3 legal definition is still lacking (Weißenberger et al. 2014). As the operational energy demand of NZEBs will be  
4 close to zero, the proportional contribution of embodied energy will be nearly 100%. The only way to further  
5 reduce the life cycle primary energy demand of NZEBs will be to minimize the embodied energy. This clearly  
6 shows the need for LCA in the design of buildings. Until now, however, European regulations have only existed  
7 for operational energy, while embodied energy is still neglected (Szalay & Zöld 2007).  
8  
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### 10 1.3. Challenges of LCA in the architectural design process

11 LCA of buildings is currently a complex and very time-consuming procedure (Wittstock et al. 2009;  
12 Weißenberger et al. 2014; Zabalza Bribián et al. 2009). There are various reasons for this: first of all, buildings  
13 usually consist of different building components, each consisting of many different materials, which makes the  
14 necessary assessment of all material quantities a laborious task. Secondly, many buildings possess a very long  
15 life span with a use phase that can easily last hundreds of years. Additionally, a building's use may change over  
16 time, introducing a high degree of uncertainty. Besides the use phase, the end-of-life scenario is also very  
17 uncertain. Most consumer products are produced by a single manufacturer, who can take back the product and  
18 recycle it or dispose of it in a controlled way, as its constituent parts are known. In buildings, however, products  
19 made by different manufacturers are often inseparably connected. A further challenge is the lack of  
20 environmental data for building materials. Data availability has been improved within the last couple of years  
21 (Passer et al. 2015) and for the proposed method within this paper, it is assumed that an adequate, local database  
22 will be available when applying the method.  
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31 Additional challenges arise when applying LCA during the architectural design process. In general, architects  
32 lack the knowledge and experience necessary to carry out an LCA. Therefore, simplified approaches to  
33 conducting an LCA are needed which incorporate the knowledge of LCA experts in a design tool and allow the  
34 architect to focus on the main task of designing the building. The nature of the architectural design process  
35 makes the application of LCA difficult. The design process usually consists of several phases, which are defined  
36 similarly in most industrialized countries. In this case, the process was divided into six stages, similar to El  
37 Khouli et al. (2014), as shown in Figure 2.  
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42 The design process begins with preparation in stage one, which consists of preliminary studies, research,  
43 feasibility studies and the definition of project roles. If an architecture competition is held, this work is usually  
44 carried out by the competition initiators and the information is provided to the participants.  
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48 In the second stage a basic architectural concept is developed. This is where the most fundamental decisions are  
49 made, including the number of storeys, building orientation, and the massing of the building. Decisions made in  
50 these early stages have the greatest influence (Hegger et al. 2007), because they define key parameters for the  
51 remainder of the design process. Here, LCA would be a valuable tool to evaluate the environmental impact of  
52 design proposals (Fuchs et al. 2013).  
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56 In the third stage the design is refined and the final geometry is determined. The material of the primary  
57 construction and building envelope is defined in a generic way. While the general choice of material is known,  
58 e.g. concrete, its precise quality characteristics and manufacturer are not yet decided. The building permit  
59 application usually follows this phase. In Germany, a permit application should theoretically include a  
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1 calculation of the operational demand. In practice, the calculation is often only carried out shortly before  
2 construction commences.

3 In the fourth stage, design details are drawn up and technical specifications are defined. Tendering and  
4 procurement is carried out at the end of this phase. If available, specific Environmental Product Declarations  
5 (EPDs) can be employed for LCA. Only at this point is all of the information available to proceed with the LCA.  
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7  
8 Stage 5 is the construction of the building, culminating in the handover of the building to the client. To a certain  
9 degree, the way the building is used also influences energy consumption, e.g. the temperature that the tenants  
10 desire in their rooms (Hegger et al. 2007). However, a large part of the operational demand has already been  
11 defined in the design process, for example by the thermal quality of the building envelope, the window and  
12 floorplan layout, and the choice of heating and ventilation systems.  
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17 The dilemma of LCA during the design process is that decisions taken in stage 2 have the greatest influence, but  
18 the information available is scarce and uncertain. The exact bill of quantities (BoQ) and product-specific  
19 information needed for a complete LCA are only available after stage 4, but by then the results are less useful  
20 because it is too costly to make changes at this stage. It may be possible to exchange a few materials, but  
21 changes to the building's geometry, which could significantly reduce the environmental impact, are close to  
22 impossible. Basically, once the necessary information is available, the LCA results are impractical to implement.  
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27 Even if the required information is available beforehand, it is not sufficiently integrated into the architectural  
28 design (Hildebrand 2014). Bates et al. (2013) see the key limitation in “the translation between the distant  
29 language of LCA and the grammar of building construction”. In the few cases that LCA is conducted in practice,  
30 it is needed for a sustainable building certification. Usually, the building is only evaluated after the tendering  
31 procedure in stage 4, which is late in the design process. Baitz et al. (2012) describe the general discrepancy  
32 between the application of LCA in theory and practice and show that there is demand for simplified, time-  
33 efficient LCA approaches.  
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38 In addition, evaluating the building design through LCA is not sufficient on its own, as it does nothing to  
39 improve the design. In order to minimize environmental impact, an optimization based on different design  
40 variants is needed. As most buildings are unique designs, the parameters that influence their energy performance  
41 vary from building to building. This makes every kind of optimization difficult when compared with a serially  
42 produced product. For consumer products, a lot of time can be invested in finding the optimal solution, because  
43 even a very small improvement in the individual product has a great impact when multiplied by the vast number  
44 of products sold. The uniqueness of building designs means that a very time-efficient way of finding a solution  
45 that lies close to the optimum is needed. Deadlines in the design process are short, and in the words of Baitz et  
46 al. (2012): “You can NOT reduce CO<sub>2</sub> with a ‘good’ and scientifically brilliant LCA, if it is NOT applied.”  
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#### 52 1.4. Computer-aided approaches in practice

53 Various computer-aided approaches exist to facilitate the LCA of buildings. Reviews and comparison of LCA  
54 tools can be found in the literature, e.g. Zabalza Bribián et al. (2009), Lasvaux et al. (2012), El Khouli et al.  
55 (2014). For this paper, LCA tools for buildings have been classified in four categories:  
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#### 59 **Generic LCA tools**

1 Typical generic LCA tools, such as Gabi, SimaPro or OpenLCA have been developed for the LCA of products  
2 or processes. Wittstock et al. (2009) conducted an LCA of a building using a generic model of such software.  
3 The input of areas occurs in tabular form. From an architect's point of view, these tools are not practical, because  
4 they do not mesh with the design process. Furthermore, they require extensive background knowledge.  
5

### 6 **Spreadsheet-based calculation**

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8 Most tools are based on a spreadsheet, and the user has to manually input the BoQ. The embodied impact is  
9 calculated by multiplying the material quantities by mass with environmental data on the respective materials,  
10 which can be found in databases or EPDs. Some tools integrate the operational environmental impact, but the  
11 user has to input the externally calculated energy demand manually. Exceptions to this are Legep and Elodie,  
12 which can internally calculate the operational energy demand. The manual input of the geometry in tabular form  
13 is time-consuming and error-prone: surfaces, for example, can easily be missed and escape notice. Furthermore,  
14 the effort for the manual input means that users are unwilling to investigate more variants than absolutely  
15 necessary, and as such do not exploit the optimization potential.  
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### 21 **Building component catalogues**

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23 In various countries, online catalogues are available that facilitate the LCA of building components, e.g. the  
24 Swiss Bauteilkatalog and the German eLCA. Online access has the advantage that environmental data can be  
25 updated continuously in the background. The catalogues are based on a tabular input of the quantities from  
26 which a BoQ is extracted and multiplied with the respective environmental data. Typical components are  
27 predefined and can be adapted quickly. In some cases, the externally calculated operational demand can be  
28 integrated. If the operational energy demand is calculated externally, it is not linked to the thermal quality of the  
29 building envelope. A change in the material of the envelope, for example switching the insulation to another  
30 material with a different conductivity, causes a change in heating demand, which means a new external  
31 calculation has to be undertaken and the results have to be input again. This high labour intensity prevents users  
32 from calculating variants for an optimization process.  
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### 40 **CAD integrated tools**

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42 Recently, LCA tools that are integrated into 3D computer-aided design (CAD) programs have become available,  
43 e.g. Impact and Tally. The BoQ is generated automatically from the geometric model and multiplied with the  
44 environmental data. These geometry models are called Building Information Models (BIM). In theory, LCA is  
45 easily conducted with BIM. In practice, the challenge lies in the high complexity that BIM can achieve. As a  
46 consequence, a means of managing BIM is required for large projects, while for small projects it is usually not  
47 employed at all. Additionally, this complexity reduces the likelihood of modelling various design proposals to  
48 optimize based on variant comparison. Therefore, the application of BIM in the crucial early design stages is not  
49 practicable.  
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55 Table 1 gives an overview of currently available computer LCA tools. While all tools are designed to calculate  
56 the embodied environmental impact, none of them covers all features needed for application during early design  
57 stages, namely: a link to a 3D model for the geometry input, the ability to calculate the operational energy  
58 demand, and the possibility for optimization. This illustrates the lack of an adequate tool for design-integrated  
59 LCA.  
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### 1.5. Computer-aided approaches in research

1 Literature on new approaches to integrate LCA in the architectural design process mainly focusses on BIM. The  
2 basic concept behind the combination of BIM and LCA is described in Neuberg (2004) and Ekkerlein (2004).  
3 Seo et al. (2007) demonstrate their BIM-based LCA approach for the detailed design stage of a commercial  
4 building in Australia. Antón & Díaz (2014) perform a SWOT analysis for the Integration of BIM and LCA in the  
5 early design stages and show the demand for design-integrated approaches. Basbagill et al. (2013) provide a  
6 literature review of BIM-integrated LCA. Furthermore, they present their own approach to combining various  
7 software packages: the BIM software DProfiler, eQuest for energy simulation, SimaPro and Athena  
8 EcoCalculator for LCA. Similar approaches combining multiple software packages can be found in other studies,  
9 e.g. Aurélio et al. (2011), who use TRNSYS, SketchUp, and OpenLCA. These setups deliver detailed results, but  
10 expert knowledge is needed to operate such complex software combinations.

11 Flager et al. (2011) also employ BIM and a combination of analysis software for optimization based on LCA.  
12 Based on a cradle-to-gate analysis, they optimize the building envelope towards minimum life cycle costs (LCC)  
13 and minimum Global Warming Potential. Ostermeyer et al. (2013) also optimize towards minimum LCA and  
14 LCC results and provide a Pareto front for one case study. Again, a chain of analysis software is employed which  
15 is far too complex for application in practice.

16 Next to BIM, parametric design has become a major trend in CAD in recent years. Parametric design has been  
17 known for a long time, but only the recent availability of suitable computer tools has promoted its wider  
18 application in architecture and design (Davis 2013). In standard CAD-software, geometric forms are drawn the  
19 same way the architect would draw on paper: once drawn, the geometry is fixed and changes in the design  
20 require redrawing the initial geometry. The parametric approach describes the geometry using mathematical  
21 formulae. The form is then based on defining parameters, such as the width, height, and length of a cube. These  
22 parameters can easily be changed afterwards, making it possible to quickly vary the basic form. Furthermore,  
23 this permits the automated generation of variants by computers, and this can serve as the basis for an  
24 optimization process. A number of parametric tools exist for building performance analysis, e.g. Honeybee  
25 (Roudsari et al. 2013), Diva (Jakubiec & Reinhart 2011), or TRNSYS-Lizard (Frenzel & Hiller 2014).  
26 Furthermore, Nembrini et al. (2014) describe the advantages of parametric scripting for energy performance  
27 optimization, but this approach requires expert knowledge in scripting.

28 Parametric approaches for building LCA are rare. Heeren et al. (2015) describe a detailed parametric model for  
29 joint assessment of operational and embodied environmental impact. A great number of parameters can be varied  
30 and the geometry is integrated as one parameter, but a link to CAD is missing. Therefore, the approach is  
31 valuable for research purposes, but impractical for design practice.

## 32 2. Methods: Parametric LCA

### 33 2.1. Requirements for design-integrated LCA

34 The following requirements can be derived from the challenges of incorporating LCA into the architectural  
35 design process and the remaining issues of current approaches:

1 To allow architects and planners to assess their design ideas during the design process, a method is needed that is  
2 both easy to understand and applicable without extensive knowledge and experience in LCA. The process must  
3 be simplified and its focus restricted to the most relevant aspects in the complex and often uncertain life cycle of  
4 buildings.  
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6 The method should be applicable in the early design stages, where its relevance is greatest. Since detailed  
7 information is usually not available at this stage, the method must be able to proceed with missing information  
8 and make adequate assumptions to fill in the gaps. In a traditional design process (see Figure 3 a), the architect  
9 develops a number of geometric variants and then decides on one geometry. In the next step the architect  
10 provides a number of material variants and chooses one material. The decisions are based on educated guesses,  
11 because the LCA can only be carried out at the end of the process, when all parameters, including building  
12 services, etc. have been determined. Using assumptions for the following steps, the method should be able to  
13 carry out the LCA during the first step to provide a quantitative basis for deciding on a geometry. The result is a  
14 decision tree (see Figure 3 b).  
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20 In order to replace these assumptions with specific data as it becomes available, the method should employ  
21 models, which can be continuously adapted and refined. The EeBGuide (Wittstock et al. 2012) distinguishes  
22 between three categories of building LCA: screening LCA, simplified LCA, and complete LCA. To facilitate the  
23 workflow, a consistent model is needed, which can be applied for screening purposes and be extended until it  
24 reaches the level of detail required for a complete LCA.  
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28 The results should be presented in a way that is understandable for users that do not have detailed knowledge of  
29 LCA. In general, the absolute results are not meaningful to non-experts: for example, a client is probably unable  
30 to interpret the statement “your building design has an acidification potential of 0.3 kg SO<sub>2</sub>-equivalent/m<sup>2</sup> a”. A  
31 more promising approach in this respect is to use the results of the LCA to compare different design variants. It  
32 is far easier to communicate that design A possesses 3.7 t CO<sub>2</sub>-equivalent less global warming potential than  
33 designs B and C with the same function. The client can then make an informed decision taking other parameters  
34 into consideration, such as costs.  
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## 40 2.2. Data sources and system boundaries

41 For LCA of buildings, two kinds of system boundaries have to be defined. Next to the system boundaries on the  
42 product/material level - the border between technosphere and biosphere - the system boundaries at the building  
43 level need to be determined. Therefore, the European standard for LCA of buildings, DIN EN 15978 (2012),  
44 divides the life cycle of buildings into four stages, with an additional stage for benefits beyond the system  
45 boundaries (see Figure 4).  
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49 In order to conduct LCA of buildings, different kinds of data on materials are needed. All data is combined in  
50 one spreadsheet-based databank (see Table 3). The data is divided into three categories: physical, environmental,  
51 and RSL. If the LCA is to be combined with other analyses, such as daylight, statics, or LCC analyses, additional  
52 data can easily be added to the databank.  
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### 57 2.2.1. Physical properties

58 The physical properties include the density needed to convert between volume and mass. Further properties, such  
59 as conductivity or heat capacity are needed to calculate the operational energy demand with thermal simulation.  
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### 2.2.2. Environmental data

In contrast to the LCA of products, which follows the four phases of ISO 14040, the life cycle inventory (LCI) and life cycle impact assessment (LCIA) are usually merged into one phase for building LCA, because predefined LCI data or EPDs are employed (Lasvaux & Gantner 2013). This data has already been aggregated into several environmental indicators. In this paper, this aggregated data is called “environmental data”.

Only certain life cycle modules are considered within this paper (see Figure 4). First of all, the product stage (A1-A3) is considered. According to Kellenberger & Althaus (2009), the transportation to the construction site (A4) can become relevant in some cases. However, here we are concerned with a simplified method for early design stages where the architect is unlikely to know the production location, which makes the calculation of transportation distances difficult. Environmental data on the construction process (A5) is also rare. Modules A4 and A5 were thus neglected. Similarly, the building’s end of life, including its demolition (C1) and the transportation of waste (C2) are neglected, but waste processing (C3) and disposal (C4) are considered. These modules and the replacement of products/components within the use of the building (B4) form the embodied impact. Module D can be optionally integrated.

Only the operational energy demand (B6) is integrated into the use stage. According to Wittstock et al. (2012), the operational water use should also be assessed. However, the design of the building has little influence on water use and it has thus been neglected.

Based on DIN EN 15978 (2012), the following indicators are integrated:

- PET Total primary energy
- PERT Total renewable primary energy
- PENRT Total non-renewable primary energy
- GWP Global Warming Potential for a time horizon of 100 years
- EP Eutrophication Potential
- AP Acidification Potential
- ODP Ozone Layer Depletion Potential
- POCP Photochemical Ozone Creation Potential
- ADPE Abiotic Resource Depletion Potential for elements

Data from the German ökobau.dat (BMUB 2015) and EPDs which comply with EN 15804 was employed. Some datasets in the ökobau.dat only provide cradle-to-gate (A1-A3) data and the adequate end-of-life process (C3, C4 and D) has to be chosen by the user. For this paper, the choice was based on the eLCA tool (BBSR 2014).

### 2.2.3. Reference service life

We used RSL data employed for German building certification DGNB (DGNB 2015) and BNB (BBSR 2015) which is provided by the German Federal Institute for Research on Building, Urban Affairs, and Spatial Development (BBSR 2013).

## 2.3. Parametric Model

The key element of the proposed method is a digital parametric model. The geometry, materials, building services, and boundary conditions are defined parametrically, permitting quick adaptability and variation. The workflow is shown in Figure 5.

### 2.3.1. Input

1 First of all, the geometry is input. The geometric model consists exclusively of 2D surfaces of the main building  
2 components. The materials and layer thickness of each component are input in the material editor. Next to the  
3 materials, the type of building services are chosen. Further boundary conditions, such as climate data, user  
4 profiles, and the reference service period (RSP) have to be defined. The RSP is also input parametrically, making  
5 it possible to quickly compare an RSP of 50 years to 100 years, for example, or to adapt the assessment for a  
6 specific building certification system.  
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### 2.3.2. Calculation

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11 The presented approach combines the primary energy demand and environmental impact of the building in the  
12 term ‘impact’. It distinguishes between the operational impact ( $I_O$ ) and the embodied impact ( $I_E$ ). The life cycle  
13 impact ( $I_{LC}$ ) is the sum of  $I_E$  and  $I_O$  (see Eq. 1). While this is a general formula, only the life cycle modules  
14 indicated in Figure 4 are integrated in the calculation in this paper.  
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$$19 \quad I_{LC} = I_O + I_E \quad (1)$$

20  
21  $I_O$  consists of the sum of all different kinds of energy demand during the use phase ( $ED_i$ ) divided by the  
22 performance factor ( $PF_i$ ) for the specific building service and multiplied by the impact factor of the energy  
23 carrier ( $IF_{O,i}$ ) (see Eq. 2). In general, there are two possibilities for determining ED: dynamic building  
24 simulation, such as EnergyPlus (DOE 2015) or TRNSYS (TRNSYS 2015) or a quasi-steady state method, such  
25 as DIN V 18599 (2011). Both can be equally employed here. ED is usually calculated with reference to one year  
26 of operation. Therefore, the sum is multiplied by the number of years of the RSP. The PF is introduced to  
27 describe different types of building services with one systematic method. It equals the annual performance factor  
28 for a heat pump or the efficiency for a gas-condensing boiler. The operational impact factor ( $IF_O$ ) is imported  
29 from the combined databank and depends on the energy carrier employed and the indicators chosen for the LCA.  
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$$35 \quad I_O = \sum_i (ED_i / PF_i \times IF_{O,i}) \times RSP \quad (2)$$

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37 The embodied impact is usually calculated by multiplying the BoQ with the respective LCI data. In the presented  
38 approach, the mass of each material ( $M_j$ ) is multiplied by the specific impact factor of the material ( $IF_{E,j}$ ). To  
39 determine the mass, first of all, the areas of the different building surfaces have to be calculated. The surface  
40 areas are then multiplied with the thickness and density of the specific material. The density is imported from the  
41 combined databank, together with the RSL and the specific environmental data. If the RSL of a building  
42 component is lower than the RSP of the building, the necessary number of replacements ( $R_j$ ) is added. In this  
43 way, the  $I_E$  of every component is calculated and added up to obtain the  $I_E$  of the complete building (see Eq. 3).  
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47

$$48 \quad I_E = \sum_j (M_j \times IF_{E,j} \times (1 + R_j)) \quad (3)$$

49  
50 The impact factors ( $IF_{O,i}$ ,  $IF_{E,j}$ ) depend on the indicator chosen for the LCA. If more than one indicator is used for  
51 the LCA, the impact factors are written as vectors of the indicators applied (see Eq. 4). In consequence, the  
52 resulting impact ( $I_O$ ,  $I_E$ ) is a vector, too. In this way, the impact factors can easily be adapted depending on the  
53 available data or the scope of the LCA.  
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$$IF_{O,i} = \begin{pmatrix} PET \\ PERT \\ PENRT \\ GWP \\ EP \\ AP \\ ODP \\ POCP \\ ADPE \end{pmatrix}, IF_{E,j} = \begin{pmatrix} PET \\ PERT \\ PENRT \\ GWP \\ EP \\ AP \\ ODP \\ POCP \\ ADPE \end{pmatrix} \quad (4)$$

All terms of the equations are assumed to be static, although some values might change in future, such as the PF of the building services. Furthermore, the electricity mix will change and as result the environmental data of the material will also change. Replaced building components will then have a lower embodied impact. All of these considerations could be integrated into the equations in the future, leading to a dynamic LCA, for example as described by Collinge et al. (2013).

To simplify the procedure, especially in the early design stages, only the most relevant aspects of both parts should be considered. In later stages of the design process more aspects can easily be added, continuously extending the model from a screening type towards a complete LCA. The relevance of specific aspects depends on the building type and boundary conditions, such as climate: different aspects are relevant for a single-family house in Norway than they are for an office building in Dubai. The simplification is explained in detail in section 3.2.

### 2.3.3. Output

The aim is to provide the architect with insight into the environmental impact of the design, and to indicate potential for improvement. In addition to the final LCA results, partial results, e.g. the operational impact of heating, or the embodied impact of windows can be output. A graphic representation is shown in Hollberg et al. (2016). The results are reported according to the indicators defined by the impact factors. Normalizing, weighting, and aggregating of several indicators into a single score is also possible. The parametric approach allows the users to define and adapt their own weighting factors. In this paper, weighting has not been applied, because no scientific method exists according to ISO 14040.

### 2.3.4. Optimization

There are two approaches to improve a design's environmental impact. In the first approach, the architect manually generates different variants and then compares the results to find those that indicate better environmental performance. The architect can then successively optimize the design in an iterative process. The architect can influence the environmental impact using two fundamental parameters: geometry and materials/building services. Usually, the design process starts with the definition of building volumes according to functional requirements and restrictions dictated by the urban context or building regulations, such as maximum amount of storeys. Step by step, the building volume is defined in more detail along with the general window layout. In most cases, this is done in stage 2, while the material is defined in stage 3 or 4. The parametric model uses default materials in order to calculate the LCA before the choice of material has been finalized. The aim is to evaluate and compare different geometries of the building and their environmental impact in stage 2. Sometimes the material has been chosen prior to the design phase, for example, if the client

1 specifies timber construction. In this case, the architect can choose this material and then start to vary and  
2 improve the design.

3 The second approach employs algorithms that automatically generate variants. A series of alterable parameters –  
4 for example determining the geometry or the material, the window layout or the material of the window frame –  
5 is assigned to the optimizer, which has the objective function of minimizing  $I_{LC}$ . The design is then optimized in  
6 an iterative process, beginning with the assessment of the environmental impact of the initial design. The  
7 optimizer then tries to lower the impact by varying the parameters until an abort criterion is fulfilled, typically a  
8 certain runtime or number of solutions. It is assumed that the optimum has then been found and the solution is  
9 output.  
10

11 Both approaches have their advantages and disadvantages. The optimizer can generate and evaluate a lot of  
12 variants in a short space of time and probably find a better solution than the architect's own experiments with  
13 manually generated variants (Szalay et al. 2014). But if the architect is not familiar with the algorithm that drives  
14 the optimization process, it may appear to be a 'black box'. Furthermore, the automatically derived solution may  
15 not appeal to the architect for other reasons, such as aesthetic appearance. Manually changing the design allows  
16 the architect to consider additional aspects and boundary conditions.  
17

#### 24 2.4. Parametric LCA tool

25 We implemented the parametric LCA model in Grasshopper3D (Rutten 2015), a parametric design software  
26 based on the 3D CAD Software Rhinoceros (Robert McNeel & Associates 2015). The geometry can either be  
27 built directly in Grasshopper3D or drawn in Rhinoceros and then transferred automatically to Grasshopper3D.  
28 The material and thickness is defined in the material editor in Grasshopper3D. The combined database (as shown  
29 in Table 3) is imported. For the calculation of energy demand, a quasi-steady state method based on DIN V  
30 18599 (2011) was employed, which was developed by Lichtenheld et al. (2015). The calculation of both  
31 operational energy demand and embodied impact are fully integrated into Grasshopper, making exporting and re-  
32 importing unnecessary. In this way, the parametric tool is able to calculate the LCA in real time. The results are  
33 displayed in the Rhinoceros viewport and simultaneously exported to a spreadsheet.  
34

### 40 3. Results: Examples of application

41 Two examples demonstrate the application of the parametric LCA model. The first employs the model to  
42 evaluate the environmental impact of different manually generated design proposals in the conceptual design  
43 stage of a multi-family house. The second describes the application of computational optimizers for investigating  
44 the optimum insulation in the detailed design stage of a single-family house retrofit.  
45

#### 48 3.1. Assumptions

49 To simplify the process and only consider the most relevant aspects, the following assumptions for operational  
50 and embodied impact assessment were made:  
51

52 Lützkendorf et al. (2015) distinguish between building-related operations, such as space heating and cooling, and  
53 user-related operations, such as appliances. The architect can influence the building-related operations and thus  
54 this aspect was considered. On the other hand, user-related operations were neglected, as the architect has little  
55 influence over them through the design.  
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1 Within building-related operations, only space heating was considered. According to DENA et al. (2012), the  
 2 energy needed for space heating amounts to 75 - 85% of total operational energy demand (see Figure 6). For  
 3 commercial and office buildings, the energy demand for lighting and cooling can also be relevant, especially in  
 4 other climate zones. However, for the purposes of analysing the residential buildings in the following examples,  
 5 they have been omitted.  
 6

7  
 8 For the simplified calculation of  $I_E$ , only the building envelope and primary load-bearing construction are  
 9 assessed. According to El Khouli et al. (2014), these account for about 75% of the embodied primary energy (see  
 10 Figure 7). The interior outfitting is very dependent on the occupant and is often replaced before the end of its  
 11 lifetime. This introduces a high level of uncertainty into the assumptions for the reference service lives of the  
 12 interior building components. In residential buildings, the embodied energy for building services currently still  
 13 plays a minor role and is therefore also omitted. This situation is likely to be different for office buildings and  
 14 will in general become more significant in future as building services, monitoring, or building automation  
 15 components become more common installations in domestic buildings. Next to the simplifications above, only  
 16 the life cycle modules indicated in Figure 4 are considered here. If in future data on the neglected modules are  
 17 available they can easily be integrated in a similar manner.  
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 24 With these simplifications, the  $I_E$  equals the sum of embodied impact for the building envelope ( $I_{E,env}$ ) and  
 25 primary construction ( $I_{E,pri}$ ). The whole  $I_{LC}$  can then be written in one simple formula:  
 26

$$27 \quad I_{LC} = ED_{heat} / PF_{heat} \times IF_{O,heat} \times RSP +$$

$$28 \quad \sum_{env}(M_{env} \times IF_{E,env} \times (1 + R_{env})) + \sum_{pri}(M_{pri} \times IF_{E,pri} \times (1 + R_{pri})) \quad (5)$$

## 32 33 3.2. Examples

### 34 3.2.1. Massing study for new residential building

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 36 This example demonstrates the application of the developed method for a notional conceptual design of a multi-  
 37 family house. The aim is to provide a decision tree as shown in Figure 3b to evaluate four different hypothetical  
 38 geometric variants in the conceptual design stage.  
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41  
 42 The notional building should provide six apartments with a gross floor area (GFA) of 150 m<sup>2</sup> each. The building  
 43 is located in a suburban context, without shading from neighbouring buildings, in Potsdam, Germany. The storey  
 44 height is 3 m, and there is no basement. The window area is 1/8 of the GFA of each storey, which is the  
 45 minimum requirement according to German state building regulations, cf. (BauO Bln 2011). DIN V 18599 is  
 46 employed for the energy demand calculation, and it is assumed that the ventilation occurs naturally. The RSP is  
 47 50 years.  
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51  
 52 For each of the geometric variants, a combination of the energy standard for the building envelope, the  
 53 construction material of the envelope and primary structure, and the heating systems was assumed. Two example  
 54 energy standards for the building envelope are chosen: one fulfils the minimum U-values of the German Energy  
 55 Saving Ordinance (Bundesregierung 2013) and one corresponds to the minimum U-values for the Passivhaus  
 56 standard (McLeod et al. 2015). Three material variants for the building envelope and primary structure are  
 57 compared (see Table 2). Two heating systems, a gas-condensing boiler with a PF of 0.98 and a heat pump  
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1 fuelled by electricity from the German energy mix with a PF of 4.8, are employed. The environmental, physical,  
2 and RSL data employed is shown in Table 3.

3 To demonstrate the method, only one environmental indicator (PENRT) is chosen, but the approach works  
4 similarly for all indicators. Combining all parameters results in 12 possible solutions for each geometry. The  
5 result for each solution in MJ/a is shown in the last column 'Heating system' of the decision tree in Figure 8. The  
6 column 'Material' shows the average of both solutions which can be achieved with this choice of material.  
7 Likewise, the column 'U-value' shows the average of solutions of the following steps. Finally, the column  
8 'Geometry' shows the average of possible solutions for the four geometric variants.  
9

### 10 3.2.2. Retrofitting of a single-family house

11 The second example demonstrates the application of the developed method for retrofitting a residential building  
12 using computational optimizers. The reference building is a typical single-family house in Potsdam, Germany  
13 from the 1960s, and the building task is to retrofit the thermal envelope of the building with insulation. The  
14 objective is to determine the optimum insulation material and optimum insulation thickness, taking into  
15 consideration the heating system, the energy carrier, and the location. Furthermore, an investigation as to  
16 whether the original windows should be exchanged will be undertaken.  
17

18 The objective of the optimization is to find the trade-off between  $I_O$  and  $I_E$ . Increasing the insulation thickness  
19 causes a reduction in  $I_O$  and a rise in  $I_E$ . With increasing thickness, the U-value of the building envelope  
20 converges asymptotically towards zero. Thus, each additional centimetre of insulation contributes less to  
21 reducing transmission heat loss than the previous one. Consequently, there is an 'environmental break-even  
22 point'. It is then no longer worthwhile to add further insulation because the added  $I_E$  cannot be amortised within  
23 the RSP.  
24

25 To define possible retrofitting solutions, 9 different insulation materials were chosen, which can be varied in  
26 thickness from 0 to 60 cm in steps of 1 cm in combination with 7 different heating systems. For simplicity, it  
27 was assumed that all building components that comprise the thermal envelope, e.g. basement ceiling, outer walls,  
28 roof, and uppermost ceiling are insulated with the same material and in the same thickness. Additionally,  
29 exchanging the windows was considered as an option. The original windows could be exchanged for either  
30 double- or triple-glazed windows in a PVC frame. The physical and environmental data employed is shown in  
31 Table 3. The embodied impact of the heating system is not considered. Furthermore, coolant leakage from the  
32 heat pump and a decrease in performance are also neglected.  
33

34 This results in a solution space of  $9 \times 61 \times 7 \times 3 = 11529$  possible solutions. Looping through all the possible  
35 solutions takes about 20 min on a standard PC, and the solutions are then exported to a spreadsheet and sorted  
36 according to the minimum impact for each heating system and each indicator. The results are shown in Figure 9.  
37

38 For the computer-based optimization, a plugin for Grasshopper3D called GOAT (Floery 2015) was used. The  
39 evolutionary algorithm CRS2 (Kaelo & Ali 2006) which is provided by the NLOpt library (Johnson 2010) was  
40 employed. The optimizer randomly varies the adjustable parameters within the given boundaries to find a first  
41 generation of possible solutions. These are evaluated according to the objective function. The best solutions are  
42 recombined and form a second generation of possible solutions, which is then re-evaluated. This iterative process  
43 is continued until an abort criterion is reached. In this case, it was set to a maximum run time of 6 minutes. To  
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1 verify that the optimizer finds the optimum within the given time limit, an initial simulation was run and  
2 compared to the loop of all solutions. The optimizer found the minimum within the given time limit.

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4 At this point, the optimizer was applied for an extended study. Additionally, each of the four building  
5 components can be insulated with a different thickness. For a given heating system – a heat pump fuelled by  
6 electricity from the German energy mix and a PF of 4.8 (HP 4.8 mix) – this results in a search space of  
7  $9 \times 61^4 \times 3 = 373.8$  million possible solutions. For this extended search space, the time limit for the optimizer was  
8 set to 15 minutes. The results for minimum  $PERNT_{LC}$  are displayed in Figure 10. Although the calculation of a  
9 single solution takes about 0.1 s, the calculation of all solutions would take 432 days on a standard PC.  
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11 Verification of the solution found by the optimizer by running a loop of all solutions is therefore impractical. It  
12 has therefore been assumed that it finds a nearly optimal solution as indicated by the converging solutions shown  
13 in Figure 10.  
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### 17 3.1. Discussion

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19 The first example shows the application of the developed method for evaluating geometric variants in the  
20 conceptual design stage. Figure 8 indicates a great range of results depending on the individual combination of  
21 energy standard, material, and heating system. The lowest  $PERNT_{LC}$  of 87242 MJ/a is achieved by geometry 3  
22 with Passivhaus standard, wood construction, and HP4.8. The highest  $PERNT_{LC}$  of 328608 MJ/a results from  
23 geometry 4 with EnEV standard, lime sand brick construction, and a gas-condensing boiler. Geometry 4  
24 achieves 133791 MJ/a using the same combination as the best solution of geometry 3. The difference of  
25 46549 MJ/a between the geometric variants corresponds to an increase of 53 % and shows the strong influence  
26 of the geometry. It is obvious that a compact building results in a lower environmental impact than six detached  
27 houses. In contrast, it was not anticipated that the results of geometry 2 would be better than those of geometry 1.  
28 In general, the notional geometric variants were chosen to exemplify the approach and do not necessarily  
29 represent realistic design variants.  
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37 The mean value of the results of possible solutions in the next steps represents one way to display the  
38 performance of a geometric variant. Other ways, such as the median, or ranges with minimum and maximum  
39 values, are possible too. Benchmarks from building certification could also be integrated. Further studies to  
40 investigate the most comprehensible way of displaying the results are necessary.  
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44 The results of the second example (see Figure 9) show a great variability in optimum insulation thickness  
45 depending on the heating system and insulation material. Without entering into a detailed discussion of all the  
46 indicators, the results clearly show the importance of considering boundary conditions such as the heating  
47 system.  
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50 The results also show a great divergence among the different indicators. According to ISO family 14000, eight  
51 indicators were evaluated in parallel. However, making a decision on which insulation material and thickness  
52 should be employed based on these results is difficult. This shows that the demand for a single score indicator  
53 that facilitates communication of the results to the architect or the clients.  
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57 In previous studies using the same reference building for retrofitting, EnergyPlus was employed to simulate the  
58 energy demand (Hollberg & Ruth 2014; Klüber et al. 2014). The optimization process took about 3 hours,  
59 because each run of the simulation took 10 seconds. The new approach finds the minimum environmental impact  
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1 in the first case within a time frame of 4 minutes, which demonstrates the great advantage of the quasi-steady  
2 state approach based on DIN V 18599. EnergyPlus building simulation may still be necessary for office  
3 buildings with more complex building services, or for determining cooling demand in other climate zones, but  
4 for the calculation of environmental impact for residential buildings in Central Europe, the quasi-steady state  
5 approach is sufficient. In future, whether other optimization algorithms are more time-efficient will be  
6 investigated.  
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8  
9 Numerous assumptions were made in order to reduce the amount of input data, simplify the process, and provide  
10 the results in real time. The chosen system boundaries conform to the certification systems DGNB (DGNB  
11 2015) and BNB (BBSR 2015). Nevertheless, the significance of the neglected modules A4, A5, C1 and C2  
12 should be investigated in the future. We neglected the embodied impact of interior outfitting and building  
13 services. Assuming that they will not differ much between the different design variants, the ranking of the  
14 variants will not change. This is also true for the neglected operational impact from water use, lighting, and  
15 appliances. They can become relevant in some cases, e.g. when the significance of an individual retrofit measure  
16 is quantified in relation to the LCA of the complete building. In those cases, these aspects can be integrated into  
17 the parametric model in the future.  
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#### 23 4. Conclusion

24 Many challenges for the application of LCA during the design process can be identified, including a lack in  
25 environmental data, a lack in LCA knowledge on the part of designers, and a lack in adequate LCA tools to  
26 optimize building designs. We assumed that data availability for building materials will improve and present a  
27 parametric method to allow non-LCA-experts to efficiently optimize a design. With the help of this method, the  
28 architect receives real-time feedback on the LCA results while designing the building. By incorporating a  
29 simplified LCA into the design process, the additional effort of performing LCA is minimized and allows the  
30 architect to focus on the main task of designing the building. Two examples of application prove the generation  
31 and comparison of design variants to be an effective form of optimization, either undertaken manually by the  
32 architect or automatically by an optimizer.  
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40 The first example uses the parametric approach to evaluate geometric variants in the conceptual design stage.  
41 The information needed for an LCA is usually not available at this stage. Therefore, assumptions for the energy  
42 standard, the material, and the heating system are based on typical solutions. With the help of the parametric  
43 LCA approach, the possible combinations are calculated for each geometry. The results are an estimation of the  
44 environmental impact of each variant when assessed at the end of the design stage. The parametric approach  
45 enables the application of LCA to be shifted from design stage 4 to stage 2, and therefore provides a solution for  
46 the dilemma described in the introduction. Based on assumptions for missing information, it is now possible to  
47 indicate the potential of a geometric solution in the early design stages.  
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53 The second example shows the application of the parametric approach with optimizers for the retrofitting of a  
54 single-family house in the detailed design stage. The task was to find the optimum insulation thickness under  
55 specific boundary conditions. Even without changing the geometry of the building, i.e. only combining different  
56 options for the insulation material, heating systems, and windows, millions of possible solution arise. The results  
57 indicate that there is no single optimum insulation thickness, but many optima, depending on the individual  
58 boundary conditions and the chosen indicator. It is crucial to integrate these boundaries. In order to communicate  
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1 the results, the choice of indicator becomes very important. For architects with only general knowledge of LCA,  
2 a single score indicator would be easier to understand. Once this indicator can be agreed on, it will be integrated  
3 in the parametric approach described here to facilitate the communication of results.

4  
5 Further analyses can be integrated in future. For example, daylight simulation modules can be applied to analyse  
6 daylight availability within the building in order to determine the additional artificial lighting needed and the  
7 resulting I<sub>o</sub>.  
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9  
10 In the future, the integration of Life Cycle Costing (LCC) will be investigated. Once a common ground for the  
11 evaluation of social aspects within the life cycle has been developed, the parametric method could also be  
12 extended for Life Cycle Sustainability Assessment (LCSA).  
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## Tables

*Table 1 Present computer-aided LCA tools*

Type	Name	3D model	Energy demand calculation	Embodied impact calculation	Optimization	Online / Offline	Country	Website
Generic LCA tools	Gabi			●		Off	Germany	<a href="http://www.gabi-software.com/software/">www.gabi-software.com/software/</a>
	SimaPro			●		Off	Netherlands	<a href="http://www.pre-sustainability.com/simapro">www.pre-sustainability.com/simapro</a>
	OpenLCA			●		Off	Germany	<a href="http://www.openlca.org/">www.openlca.org/</a>
	Umberto			●		Off	Germany	<a href="http://www.umberto.de/en/">www.umberto.de/en/</a>
Spreadsheet-based tools	Envest 2*			●	○	On	UK	<a href="http://www.envest2.bre.co.uk/index.jsp">www.envest2.bre.co.uk/index.jsp</a>
	SBS Building Sustainability		○	●		On	Germany	<a href="http://www.sbs-onlinetool.com">www.sbs-onlinetool.com</a>
	Ökobilanz Bau		○	●		On	Germany	<a href="http://www.oekobilanz-bau.de/oekobilanz/">www.oekobilanz-bau.de/oekobilanz/</a>
	eTOOL		○	●		On	Australia	<a href="http://www.etooglobal.com/about-etooldc/">www.etooglobal.com/about-etooldc/</a>
	Athena Impact Estimator		○	●		Off	Canada	<a href="http://www.athenasmi.org/our-software-data/overview/">www.athenasmi.org/our-software-data/overview/</a>
	Legep		●	●	○	Off	Germany	<a href="http://www.legep.de/">www.legep.de/</a>
	Elodie		●	●		Off	France	<a href="http://www.elodie-cstb.fr/">www.elodie-cstb.fr/</a>
	GreenCalc+			●		Off	Netherlands	<a href="http://www.greencalc.com/index.html">www.greencalc.com/index.html</a>
Component catalogues	EcoSoft			●		On	Austria	<a href="http://www.ibo.at/en/ecosoft.htm">www.ibo.at/en/ecosoft.htm</a>
	Bauteilkatalog			●		On	Switzerland	<a href="http://www.bauteilkatalog.ch/ch/de/Bauteilkatalog.asp">www.bauteilkatalog.ch/ch/de/Bauteilkatalog.asp</a>
	eLCA		○	●		On	Germany	<a href="http://www.bauteileditor.de/">www.bauteileditor.de/</a>
	BEES			●		On	US	<a href="http://www.nist.gov/el/economics/BEESSoftware.cfm">www.nist.gov/el/economics/BEESSoftware.cfm</a>
CAD integrated	Impact	●	○	●		On	UK	<a href="http://www.impactwba.com/index.jsp">www.impactwba.com/index.jsp</a>
	Cocon-BIM	○	●	●		Off	France	<a href="http://www.eosphere.fr/">www.eosphere.fr/</a>
	Lesosai	○	●	●		Off	Switzerland	<a href="http://www.lesosai.com/de/index.cfm">www.lesosai.com/de/index.cfm</a>
	360optimi	●	●	●		Off	Finland	<a href="http://www.360optimi.com/en/home">www.360optimi.com/en/home</a>
	Tally	●	○	●		Off	US	<a href="http://www.choosetally.com/">www.choosetally.com/</a>

○ Partial functionality / additional software needed / external calculation

● Full functionality

\* No new licenses sold, now integrated in Impact

Table 2 Material variants

	Wood			Lime sand brick (LSB)			Concrete		
	Material	Thickness [cm]*	U*	Material	Thickness [cm]*	U*	Material	Thickness [cm]*	U*
Exterior wall	Larch cladding	2.5	0.27 / 0.15	Syn. Plaster	1.0	0.26 / 0.15	Fibre cement panel	1.0	0.28 / 0.15
	WFIB	14.0 / 26.0		EPS	12.0 / 22.0		Rockwool	13.0 / 25.0	
	Timber frame	18.0		LSB	18.0		Reinforced concrete	18.0	
	Plasterboard	2.0		Gypsum Lime Plaster	1.0		Gypsum Lime Plaster	1.0	
Roof	Bitumen sealing	0.5	0.20 / 0.15	Bitumen sealing	0.5	0.20 / 0.15	Bitumen sealing	0.5	0.20 / 0.15
	WFIB	20.0 / 26.0		XPS	16.0 / 22.0		XPS	16.0 / 22.0	
	Timber frame	20.0		Concrete	18.0		Concrete	18.0	
	Plasterboard	2.0		Plasterboard	2.0		Plasterboard	2.0	
Floor	Reinforced concrete	20.0	0.32 / 0.15	Reinforced concrete	20.0	0.32 / 0.15	Reinforced concrete	20.0	0.32 / 0.15
	XPS	10.0 / 22.0		XPS	10.0 / 22.0		XPS	10.0 / 22.0	
Ceiling	OSB	3.8		Concrete	18.0		Concrete	18.0	
	Timber frame	18.0							
Interior wall	Plasterboard	2.0		Gypsum Lime Plaster	1.0		Gypsum Lime Plaster	1.0	
	WFIB	6.0		LSB	14.0		Concrete	14.0	
	Timber frame	14.0		Gypsum Lime Plaster	1.0		Gypsum Lime Plaster	1.0	
	Plasterboard	2.0							
Window	Double/Triple glazing wood frame		1.3 / 0.8	Double/Triple glazing PVC-U frame		1.3 / 0.8	Double/Triple glazing PVC-U frame		1.3 / 0.8

\* Energy standard (EnEV / Passivhaus)

Table 3 Physical and environmental data of materials used

		Unit	Physical properties		Environmental data A1-A3 + C3-C4									RSL		
			ρ	λ	PET	PERT	PENRT	GWP	ODP	AP	EP	POCP	ADPE			
					[MJ]	[MJ]	[MJ]	[kg CO2-equiv.]	[kg RT1-equiv.]	[kg SO2-equiv.]	[kg PO43-equiv.]	[kg C2H4-equiv.]	[kg Sb-equiv.]			
					[a]	[a]	[a]	[a]	[a]	[a]	[a]	[a]	[a]			
Insulation materials	EPS	1 kg	15.5	0.035	85.78	0.54	85.24	2.99	9.9E-08	0.00645	0.00068	0.01677	5.4E-07	40		
	XPS	1 kg	32.0	0.035	97.65	1.97	95.68	3.27	1.7E-05	0.00690	0.00064	0.00285	0.00086	40		
	PUR	1 kg	30.0	0.030	93.88	1.63	92.25	4.47	7.3E-08	0.01417	0.00147	0.00237	0.00034	40		
	GW	1 kg	60.0	0.035	31.57	2.43	29.14	1.80	3.8E-09	0.00366	0.00063	0.00042	7.0E-05	40		
	SW	1 kg	90.0	0.040	16.41	2.45	13.96	0.92	3.6E-08	0.00682	0.00116	0.00042	2.5E-07	40		
	FG	1 kg	117.0	0.042	28.86	8.80	20.05	1.30	4.2E-10	0.00282	0.00035	0.00024	7.1E-06	40		
	WFIB	1 kg	200.0	0.040	36.12	22.87	13.26	-1.55	1.8E-06	0.00117	0.00015	0.00025	1.2E-07	40		
	CIB	1 kg	80.0	0.040	44.82	16.10	28.72	0.85	9.4E-06	0.00636	0.00125	0.00046	0.00023	40		
	VIP	1 kg	145.0	0.007	235.97	47.85	188.11	9.33	1.3E-06	0.02989	0.00299	0.00253	0.00034	30		
Structure	Timber frame	1 kg	529	0.110	15.49	19.28	-3.79	-0.4872	-5.4E-10	0.00043	6.3E-05	3.3E-05	-0.0016	>50		
	Reinforced concrete	1 kg	2400	1.400	0.66	0.06	0.60	0.1020	5.1E-08	0.00016	3.7E-05	1.9E-05	2.1E-06	>50		
	LSB	1 kg	2000	1.040	1.42	0.08	1.34	0.0028	2.1E-12	0.00002	4.4E-06	2.7E-06	1.1E-08	>50		
Cladding	Plaster board	1 kg	800	0.23	4.22	0.23	3.99	0.2527	8.2E-10	0.00040	0.00009	0.00003	0.23214	20		
	Larch cladding	1 kg	661	0.12	12.94	17.99	-5.06	-0.4878	1.6E-09	0.00050	8.9E-05	2.7E-05	-0.0020	20		
	OSB	1 kg	605	0.13	30.43	22.56	7.87	-1.5388	2.6E-08	0.00141	0.00027	0.00024	1.6E-06	20		
	Bitumen sealing	1 kg	1190	0.16	44.33	0.62	43.71	4.3113	3.8E-09	0.00247	0.00023	0.00057	2.2E-07	20		
	Cement panel	1 kg	1300	0.70	9.56	2.16	7.39	0.4350	2.2E-08	0.00128	0.00020	0.00044	9.6E-05	40		
	Gyps.Lime Plaster	1 kg	1600	0.70	2.40	0.10	2.30	0.2340	1.7E-10	0.00025	0.00005	8.4E-05	3.8E-08	20		
	Syn. Plaster	1 kg	1300	0.70	13.28	0.51	12.76	0.6383	1.6E-09	0.00176	0.00021	0.00178	3.4E-06	20		
Windows			U g		A1-A3 + C3-C4									RSL		
					PET	PERT	PENRT	GWP	ODP	AP	EP	POCP	ADPE			
	Double PVC-U	1 m²	1.30	0.60	1314.15	45.40	1268.75	70.59	3.1E-06	0.34672	0.07527	0.02010	0.00214		40	
		Triple PVC-U	1 m²	0.80	0.50	1533.17	52.63	1480.54	84.19	3.6E-06	0.40237	0.07875	0.02399		0.00235	40
		Double wood	1 m²	1.30	0.60	866.86	266.88	599.96	31.92	7.3E-07	0.17873	0.03050	0.02782		0.00087	40
Triple wood		1 m²	0.80	0.50	1085.88	274.12	811.76	45.53	1.3E-06	0.23438	0.03399	0.03170	0.00108	40		
Energy carriers					B6									RSL		
					PET	PERT	PENRT	GWP	ODP	AP	EP	POCP	ADPE			
	Gas	1 kWh			4.29	0.01	4.28	0.2606	1.1E-11	0.00021	3E-05	3.3E-05	1.3E-08			
Electricity mix	1 kWh			10.26	1.49	8.77	0.6230	3.1E-09	0.00103	9.9E-05	7.6E-05	5.1E-08				
Electricity wind	1 kWh			9.15	9.01	0.14	0.0118	4.1E-11	0.00003	2.5E-06	4.5E-06	-2.2E-07				

\*coolant leakage and decrease in performance are not considered

Figures

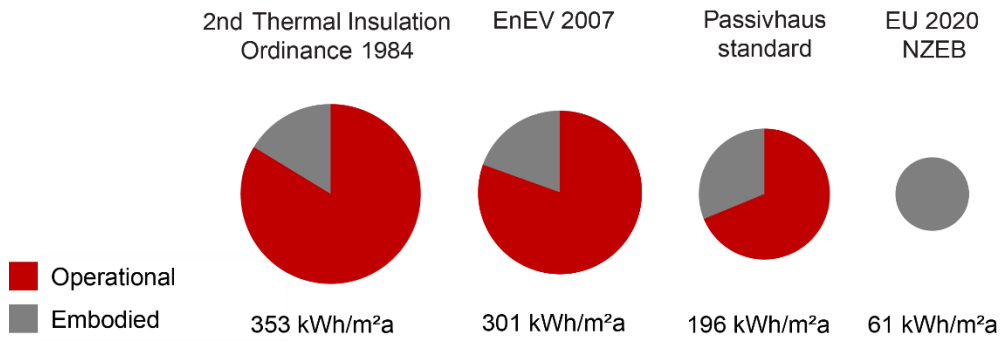


Figure 1 The proportion of operational and embodied energy in the primary energy demand of residential buildings in different German energy standards for a reference service period of 50 years based on Fuchs et al. (2013)

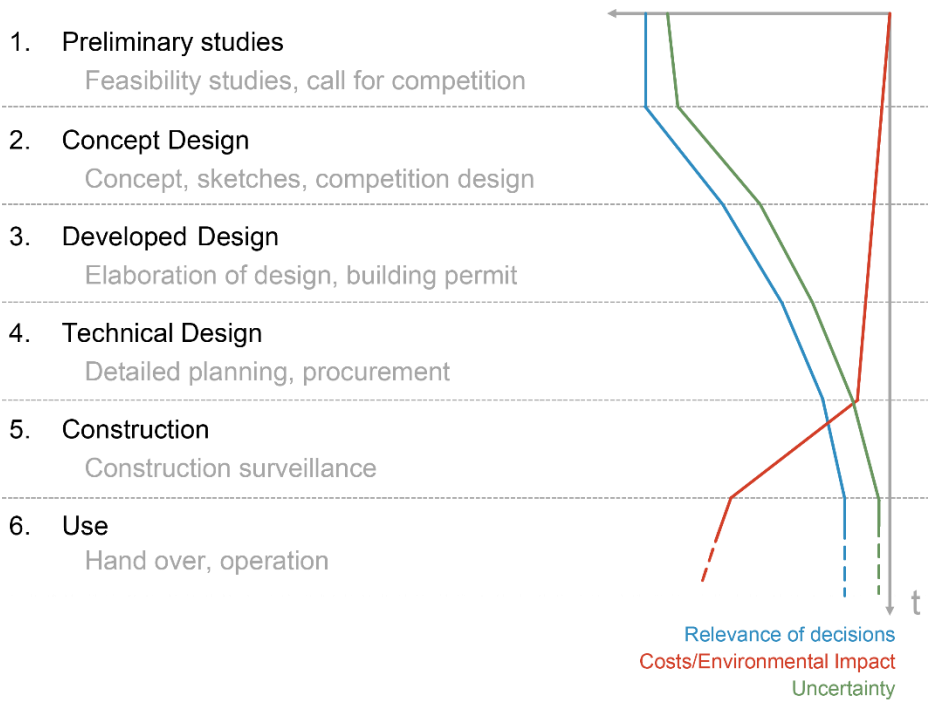


Figure 2 Six stages in the architectural design process, after Hegger et al. (2007) and El Khouli et al. (2014)

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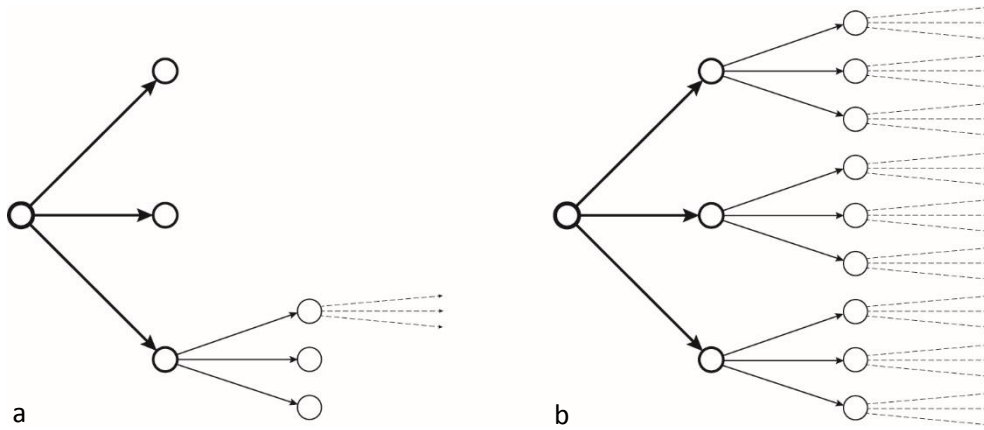


Figure 3 Traditional process with variants (a) and decision tree (b), based on Rittel & Reuter (1992)

Product			Construction		Use Stage							End of Life				Benefits and loads beyond the system boundary
A1*	A2*	A3*	A4	A5	B1	B2	B3	B4*	B5	B6*	B7	C1	C2	C3*	C4*	D
Raw material supply*	Transport*	Manufacturing*	Transport	Construction	Use	Maintenance	Repair	Replacement*	Refurbishment	Operational energy use*	Operational water use	Demolition	Transport	Waste processing*	Disposal*	Re-use recovery and recycling potential

\*Life cycle modules that are integrated in the calculation

Figure 4 Life cycle stages considered (CEN/TC 350 2012)

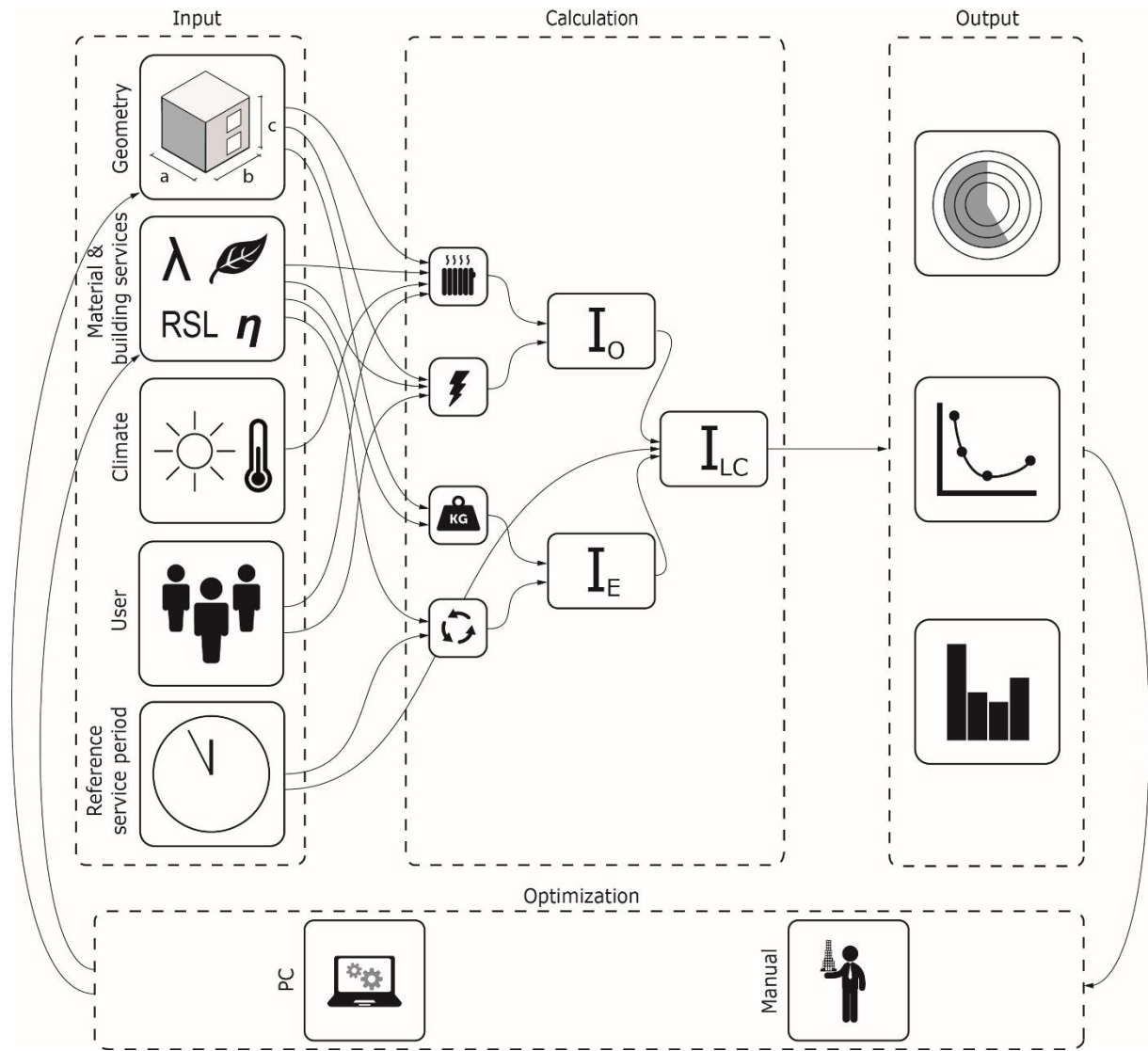


Figure 5 Concept of the parametric workflow

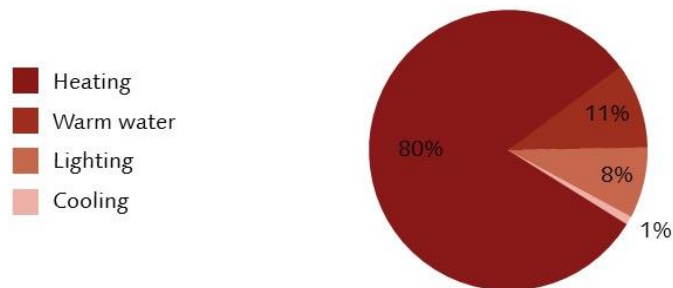


Figure 6 Building energy demand in Germany 2010 (DENA et al. 2012)

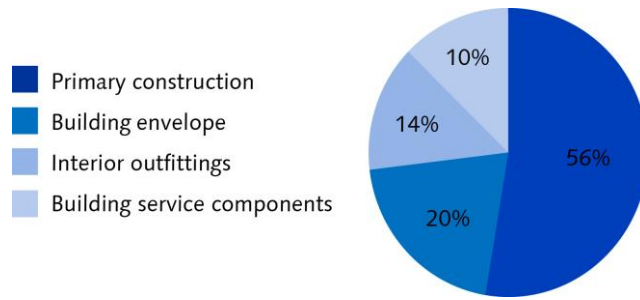


Figure 7 Embodied primary energy for different groups of building components (El Khouli, John, and Zeumer 2014)

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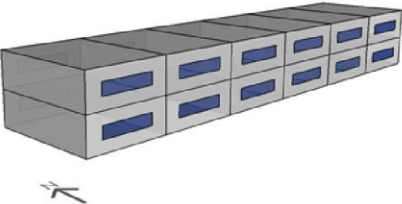
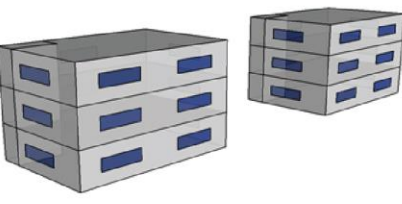

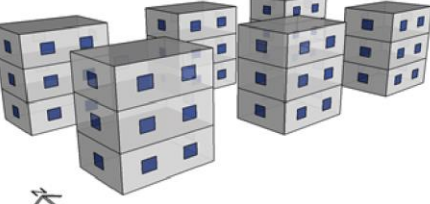
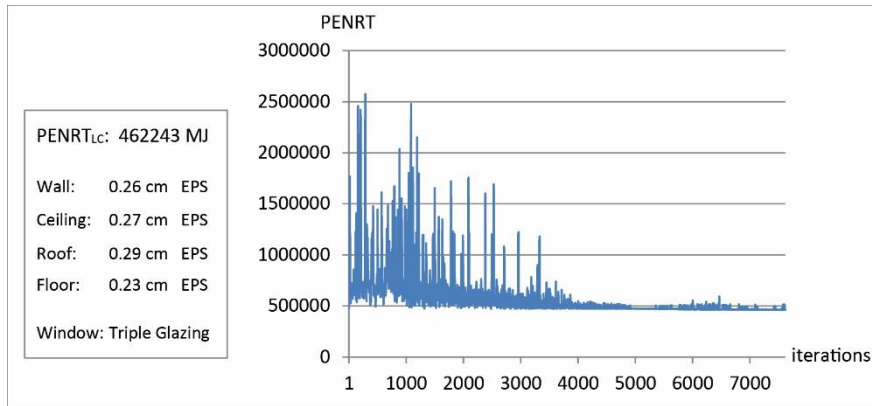
Geometry	U-value	Material	Heat. System
1 	EnEV	Wood	Gas 223040
		169332	HP4.8 115624
		LSB	Gas 248944
		194240	HP4.8 139535
		Concrete	Gas 242327
	183440	186748	HP4.8 131170
	Passivhaus	Wood	Gas 192203
		152326	HP4.8 112449
		LSB	Gas 212477
		172177	HP4.8 131877
Concrete		Gas 201759	
172698	161363	HP4.8 120967	
2 	EnEV	Wood	Gas 209233
		157359	HP4.8 105486
		LSB	Gas 235107
		182215	HP4.8 129323
		Concrete	Gas 228139
	171192	174002	HP4.8 119866
	Passivhaus	Wood	Gas 175575
		138312	HP4.8 101048
		LSB	Gas 194135
		156355	HP4.8 118575
Concrete		Gas 181304	
158605	143388	HP4.8 105471	
3 	EnEV	Wood	Gas 186288
		139361	HP4.8 92434
		LSB	Gas 209540
		161790	HP4.8 114040
		Concrete	Gas 202319
	151505	153363	HP4.8 104407
	Passivhaus	Wood	Gas 155420
		121331	HP4.8 87242
		LSB	Gas 171853
		137298	HP4.8 102742
Concrete		Gas 158935	
139565	124247	HP4.8 89560	
4 	EnEV	Wood	Gas 287165
		213929	HP4.8 140693
		LSB	Gas 328608
		253762	HP4.8 178916
		Concrete	Gas 316787
	235768	239614	HP4.8 162440
	Passivhaus	Wood	Gas 236640
		185215	HP4.8 133791
		LSB	Gas 265242
		212912	HP4.8 160582
Concrete		Gas 242552	
215900	189967	HP4.8 137381	

Figure 8 Results for PENRT<sub>LC</sub> in [MJ/a]

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Figure 9 Results for minimum  $I_{LC}$  depending on heating system and indicator



**Figure 10 Best combination of insulation material and thickness for  $PENRT_{LC}$  and HP 4.8 mix and process of optimization**

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Reviewers comments	Action taken
<p>Abstract: This is a little bit long and loose esp. in the concluding section. The whole Abstract can be rewritten much tighter from top to bottom. The Purpose can be distilled with a statement of need, purpose and value/benefit. Methods should be rewritten to focus on the research methodology employed to address the purpose. Conclusion should be about conclusions, and then a bit about implications to next step(s) of research and to practice.</p>	<p>The abstract has been rewritten according to the recommendations</p>
<p>Introduction: On challenges (section 1.3), the foremost challenges are the lack of data and the lack of LCA expertise by designers. While the paper does not address the former, this can be justified by a simple phrase such as "assuming relevant local LCA datasets are available..." The latter is, of course, addressed by LCA experts incorporating their knowledge in design tools that allow the designers to focus on their capability/expertise (like what this paper is about, etc)</p>	<p>The proposed points have been added to section 1.3</p>
<p>The notes on, or mention of, "architecture competitions" in the text and Fig. 2 should be qualified as special case (normal design projects do not involve an architecture competition, esp. for the type and size of building projects as given in the examples in this paper).</p>	<p>The sentence "in the case of an architectural competition...." has been added. Figure 2 has been adapted and mentions the typical tasks in each stage.</p>
<p>Methods: There are two types of 'system boundaries' in buildings: one pertains to a material/product LCI/LCA and the other relates to the whole building life cycle as reflected in Figure 4. This should be noted in section 2.2 and Fig. 4 should be introduced here. Table 3 refers to Fig. 4. The paper should be clear in both the text and in Fig. 4, that the current approach only includes stages A1-A3, etc -- for clarity and transparency.</p>	<p>This point is now mentioned in section 2.2 with reference to figure 4. The life cycle modules integrated in the calculation have been indicated with a * in Figure 4.</p>
<p>And that while in section 2.3.2, Eq (1) is the general formula, in the current paper the embodied impact part only covers those noted/marked in Fig. 4.</p>	<p>Section 2.3.2 now mentions "While this is a general formula only the life cycle modules indicated in Figure 4 are integrated in the calculation in this paper."</p>
<p>Conclusion: Should reflect the adjustments due to all the suggestions above.</p>	<p>The first paragraph of conclusion has been rewritten.</p>
<p>Overall, there may also be some minor English editorial checks and adjustments (e.g. a stray word that needs to be deleted, adding 'the' where needed, etc)</p>	<p>The paper has been proof-read by a native English speaker</p>

Three additional tools have been added to Table 1